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## Keynote and Distinguished Speaker Addresses and Policy Discussions:

- "Increased Importance of Models: Disclosure, Fair Value and Accounting" presented as a discussion at the "Model Governance and Model Validation" Roundtable at University of Pennsylvania on June 1, 2007. http://www.sec.gov/news/speech/2007/spch060107css.htm
- "Challenges to the Structure and Regulation of the Financial Markets," presented as an opening address for the afternoon of the Conference of the Autorite des Marche Financiers on "Structure and Regulation of the Financial Markets" on May 14, 2007. http://www.sec.gov/news/speech/2007/spch051407css.htm
- "Shareholder Voting and Corporate Governance: Economic Perspectives" presented at the Rutgers University Conference on "Improving Corporate Governance: Markets vs. Regulation" on April 20, 2007. <u>http://www.sec.gov/news/speech/2007/spch042007css.htm</u>
- "Public Plan Investment and the Role of Indexing" presented as an Address to the Pennsylvania Association of Public Employee Retirement Systems Forum on April 12, 2007. http://www.sec.gov/news/speech/2007/spch041207css.htm
- "Economic Analysis and Cost-Benefit Analysis: Substitutes or Complements?" presented as a Luncheon Address at the meeting of the Society for Government Economists and the National Economists Club on March 15, 2007. http://www.sec.gov/news/speech/2007/spch031507css.htm
- "The Economics of FIN 48: Accounting for Uncertainty in Income Taxes" presented at the Global Knowledge Congress Teleconference on March 8, 2007 <u>http://www.sec.gov/news/speech/2007/spch030807css.htm</u>
- "Penalties and Sanctions for Securities Fraud" presented at the American Economic Association meetings on January 6, 2007. <u>http://www.sec.gov/news/speech/2007/spch010607css.htm</u>
- "Agency, Disclosure and the Nature of Shareholdings" presented at the e-learning forum of the National Investor Relations Institute on December 12, 2006. <u>http://www.sec.gov/news/speech/2006/spch121206css.htm</u>

- "Remarks Concerning the Pilot Analysis of Removing Pricing Restrictions on Short Sales: SEC Open Meeting" presented on December 4, 2006. <u>http://www.sec.gov/news/speech/2006/spch120406css.htm</u>
- "Volatility, Price Discovery and Markets," prepared as a Keynote Address at the Wilton Park Conference on "Capital Flows and the Safety of Markets" on November 10, 2006. <u>http://www.sec.gov/news/speech/2006/spch11006css.htm</u>
- "Regulatory Competition, Integration and Capital Markets," prepared as a Keynote Address for the Calgary Center for Research in Finance at the Haskayne School of Business at the University of Calgary on October 23, 2006. <u>http://www.sec.gov/news/speech/2006/spch102306css.htm</u>
- "Financial Regulation: Economic Margins and 'Unintended Consequences'," prepared as a Keynote Address for the Washington Area Finance Conference at George Washington University on March 17, 2006. <u>http://www.sec.gov/news/speech/spch031706css.htm</u>
- "Discussion: An Overview of Bond Market Transparency," presented as a discussion at the American Finance Association meetings on January 6, 2006. <u>http://www.sec.gov/news/speech/spch010606css.htm</u>
- "The Growth of Derivative Securities," prepared as a Keynote Address for the "Derivatives-Based Investments" Conference on December 8, 2005. <u>http://www.sec.gov/news/speech/spch120805css.htm</u>
- "Why Private Pensions Matter to the Public Capital Markets," organized by the Capital Markets Research Center of the McDonough School of Business, Georgetown University on November 16, 2005. <u>http://www.sec.gov/news/speech/spch111605cs.htm</u>
- "Economic Aspects of Hedge Funds," prepared for a Panel Discussion on "Demystifying Hedge Funds," at the American Enterprise Institute on July 26, 2005. <u>http://www.sec.gov/news/speech/spch072605css.htm</u>
- "Governance, the Board and Compensation," prepared for the Keynote Address at the Carnegie Mellon University - Jones Day Conference on June 9, 2005 for "Getting Back to Business: Beyond Sarbanes-Oxley" Conference. <u>http://www.sec.gov/news/speech/spch060905css.htm</u>
- "Conflicts of Interest in Asset Management," presented at the Hedge Fund Regulation and Compliance Conference in New York City on May 12, 2005. <u>http://www.sec.gov/news/speech/spch051205css.htm</u>

- "Broad Themes in Market Microstructure," presented at the Market Microstructure Meeting - National Bureau of Economic Research, May 6, 2005. <u>http://www.sec.gov/news/speech/spch050605css.htm</u>
- "Regulatory Issues and Economic Principles," presented at the Sixth Maryland Finance Symposium. "Governance, Markets, and Financial Policy," at the University of Maryland in College Park on April 1, 2005. <u>http://www.sec.gov/news/speech/spch040105css.htm</u>
- "Frictions in the Bond Market," presented at the Second MTS Conference on Financial Markets: "The Organization and Performance of Fixed-Income Markets," in Vienna on December 16, 2004. http://www.sec.gov/news/speech/spch121604cs.htm
- "Executive Compensation and Contracting," presented at the Ohio State-Federal Reserve Bank of New York - Journal of Financial Economics Conference on "Agency Problems and Conflicts of Interest in Financial Intermediaries," December 3, 2004. <u>http://www.sec.gov/news/speech/spch120304cs.htm</u>