

**INDIVIDUAL RATE COMPONENTS**

Institution: Carnegie Mellon University FY Covered by Rate: 2021

Rate is Applicable to: Organized Research - ON CAMPUS

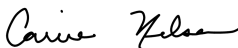
Type of Rate: Fixed with Carry-Forward Provisions

Negotiation Base: \$105,270,384 ON CAMPUS MTDC (CAPPED) \$ 5,166,847 (UNCAPPED)

RATE COMPONENTS:	PERCENTAGE	
	<u>Capped</u>	<u>UnCapped</u>
1. ADMINISTRATIVE		
A. G&A	8.08%	8.08%
B. DA	11.00%	11.00%
C. DA Allowance	3.60%	3.60%
B. SPA	3.65%	3.65%
D. STUDENT SERVICES	1.09%	1.09%
E. Administrative Cap Adjustment	<u>(1.42%)</u>	<u>0.00%</u>
Subtotal (should not exceed 26%)	26.00%	27.42%
2. DEPRECIATION		
A. Building Depreciation	7.15%	7.15%
B. Equipment Depreciation	2.69%	2.69%
3. INTEREST	3.76%	3.76%
4. O&M	9.89%	9.89%
5. Utility Cost Adjustment (UCA)	1.11%	1.11%
6. LIBRARY	4.04%	4.04%
Rounding		
Rate Before Carry-forwards	<u>54.64%</u>	<u>56.06%</u>
7. CARRY-FORWARD ADJUSTMENTS		
Final FY18 over-recovery of \$236,466	(0.22%)	
Estimated FY19 under-recovery of \$1,077,437	1.02%	
Final FY18 over-recovery of \$14,526		(0.28%)
Estimated FY19 over-recovery of \$100,972		<u>(1.95%)</u>
TOTAL	<u><u>55.4%</u></u>	<u><u>53.8%</u></u>

FOR CARNEGIE MELLON UNIVERSITY:

FOR THE U.S. GOVERNMENT:

  
 \_\_\_\_\_  
 CARRIE NELSON  
 Associate Vice President for Finance and Controller

\_\_\_\_\_  
 LINDA MORGAN WOOD  
 Contracting Officer

6/24/20  
 \_\_\_\_\_  
 Date

6/26/20  
 \_\_\_\_\_  
 Date

**INDIVIDUAL RATE COMPONENTS**

Institution: Carnegie Mellon University

FY Covered by Rate: 2021

Rate is Applicable to: Organized Research - OFF CAMPUS

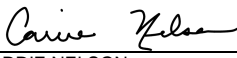
Type of Rate: Fixed with Carry-Forward Provisions

Negotiation Base: \$2,579,700 (CAPPED)

<u>RATE COMPONENTS:</u>	<u>PERCENTAGE</u>
	<u>Capped</u>
1. ADMINISTRATIVE	
A. G&A	8.08%
B. DA	11.00%
C. DA Allowance	3.60%
B. SPA	3.65%
D. STUDENT SERVICES	1.09%
E. Administrative Cap Adjustment	(1.42%)
Subtotal (should not exceed 26%)	26.00%
2. DEPRECIATION	
A. Building Depreciation	
B. Equipment Depreciation	
3. INTEREST	
4. O&M	
5. Utility Cost Adjustment (UCA)	
6. LIBRARY	
Rates Before Carry-forwards	26.00%
7. CARRY-FORWARDS	
Estimated FY19 over-recovery of \$1,318	(0.05%)
TOTAL	<u>26.0%</u>

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**INDIVIDUAL RATE COMPONENTS**

Institution: Carnegie Mellon University FY Covered by Rate: 2021

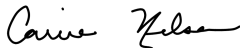
Rate is Applicable to: Organized Research - National Robotics Engineering Center (NREC) OFF CAMPUS

Type of Rate: Fixed with Carry-Forward Provisions

Negotiation Base: \$22,391,666 (CAPPED) \$2,641,757 (UNCAPPED)

RATE COMPONENTS:	PERCENTAGE	
	Capped	UnCapped
1. ADMINISTRATIVE		
A. G&A	8.08%	8.08%
B. DA	11.00%	11.00%
C. DA Allowance	3.60%	3.60%
B. SPA	3.65%	3.65%
D. STUDENT SERVICES	1.09%	1.09%
E. Administrative Cap Adjustment	(1.42%)	
Subtotal (should not exceed 26%)	26.00%	27.42%
2. DEPRECIATION		
A. Building Depreciation		
B. Equipment Depreciation	0.14%	0.14%
3. INTEREST		
4. O&M	0.09%	0.09%
5. Utility Cost Adjustment (UCA)		
6. LIBRARY		
Rounding	0.01%	0.01%
Rates Before Carry-forwards	26.24%	27.66%
7. CARRY-FORWARDS		
Estimated FY19 over-recovery of \$84,382	(0.38%)	
Final FY18 over-recovery of \$1,228		(0.05%)
Estimated FY19 over-recovery of \$160,721		(6.08%)
TOTAL	25.9%	21.5%

FOR CARNEGIE MELLON UNIVERSITY:



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CARRIE NELSON  
Associate Vice President for Finance and Controller

6/24/20

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LINDA MORGAN WOOD  
Contracting Officer

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**INDIVIDUAL RATE COMPONENTS**

Institution: Carnegie Mellon University

FY Covered by Rate: 2021

Rate is Applicable to: Organized Research - Silicon Valley (OFF CAMPUS)

Type of Rate: Fixed with Carry-Forward Provisions

Negotiation Base: \$1,668,437

<u>RATE COMPONENTS:</u>	<u>PERCENTAGE</u>
	<u>Capped</u>
1. ADMINISTRATIVE	
A. G&A	8.08%
B. DA	11.00%
C. DA Allowance	3.60%
B. SPA	3.65%
D. STUDENT SERVICES	1.09%
E. Administrative Cap Adjustment	(1.42%)
Subtotal (should not exceed 26%)	26.00%
2. DEPRECIATION	
A. Building Depreciation	10.56%
B. Equipment Depreciation	2.84%
3. INTEREST	
4. O&M	2.75%
5. Utility Cost Adjustment (UCA)	
6. LIBRARY	
Rounding	(0.01%)
Rate Before Carry-forwards	42.14%
7. CARRY-FORWARDS	
Final FY17 under-recovery \$125,361	7.51%
Final FY18 under-recovery \$170,503	10.22%
Estimated FY19 over-recovery \$163,874	(9.82%)
TOTAL	50.1%

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INDIVIDUAL RATE COMPONENTS

Institution: Carnegie Mellon University

FY Covered by Rate: 2021

Rate is Applicable to: Software Engineering Institute (SEI)

Type of Rate: Fixed with Carry-Forward Provisions

Negotiation Base: \$128,032,354

RATE COMPONENTS:	Percentages
1. ADMINISTRATIVE	
A. G&A	5.16%
B. DA	0.54%
C. DA Allowance	0.00%
B. SPA	2.13%
D. STUDENT SERVICES	0.00%
Subtotal (should not exceed 26%)	7.83%
2. DEPRECIATION	
A. Building Depreciation	0.82%
B. Equipment Depreciation	
3. INTEREST	0.24%
4. O&M	1.39%
5. Utility Cost Adjustment (UCA)	
6. LIBRARY	0.18%
Rate Before Carry-forwards	10.46%
7. CARRY-FORWARDS	
Final FY18 over-recovery of \$11,291	(0.01%)
Estimated FY19 under-recovery of \$946,430	0.74%
TOTAL	11.2%

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