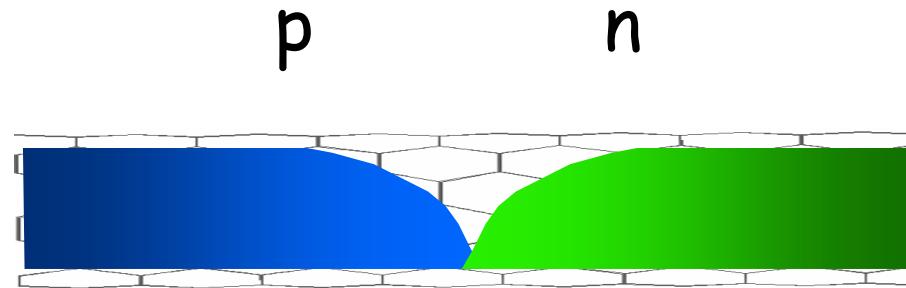


Optical Spectroscopy of Carbon Nanotube *p-n* Junction Diodes

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University at Albany-SUNY



6th US-Korea Forum on Nanotechnology
April 28-29, 2009



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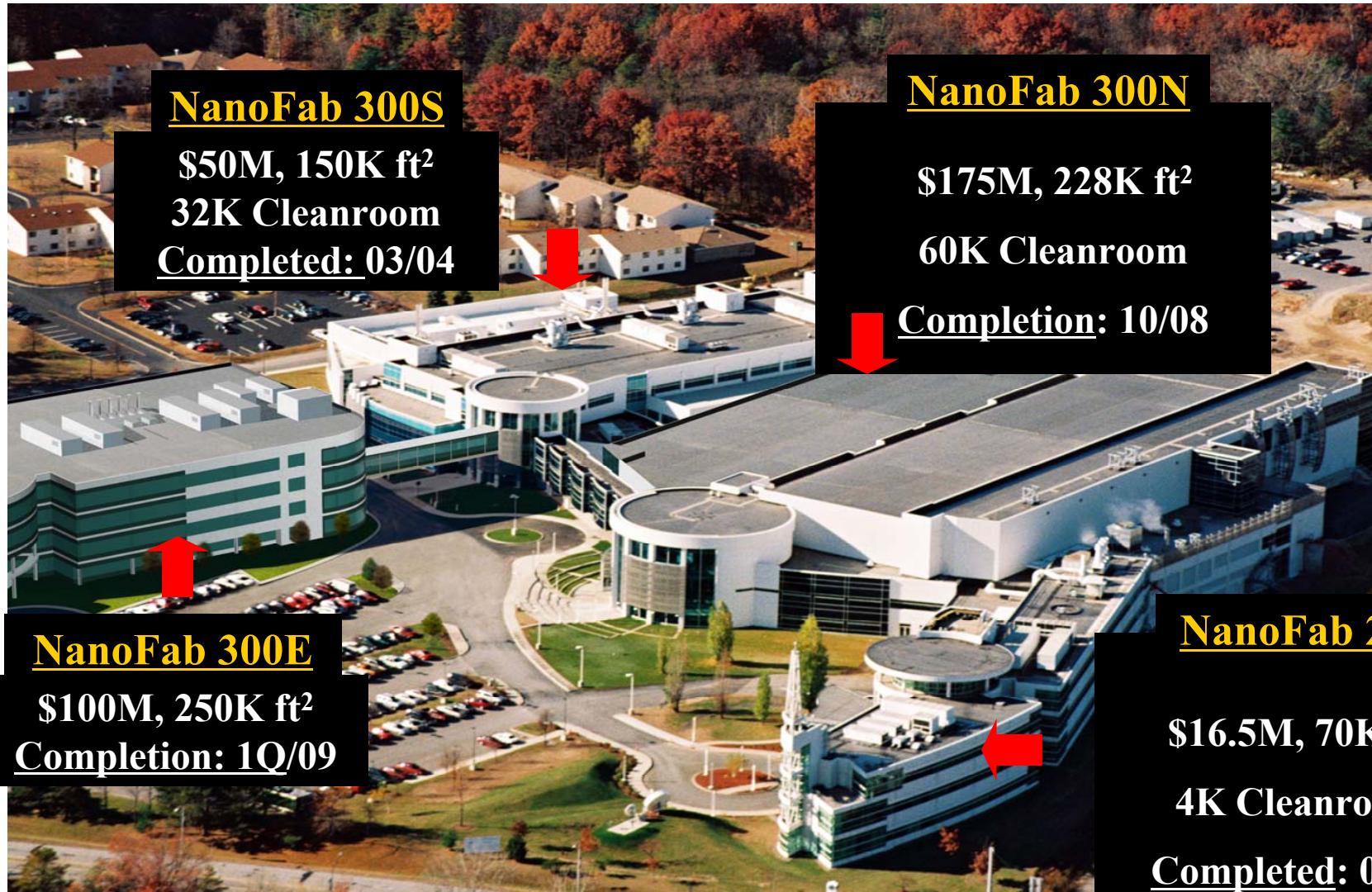
UNIVERSITY AT ALBANY State University of New York

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The College of Nanoscale Science & Engineering and Albany NanoTech Complex at the University at Albany



State-of-the-Art Infrastructure



\$4.5B investments and 2500 R&D jobs on site.

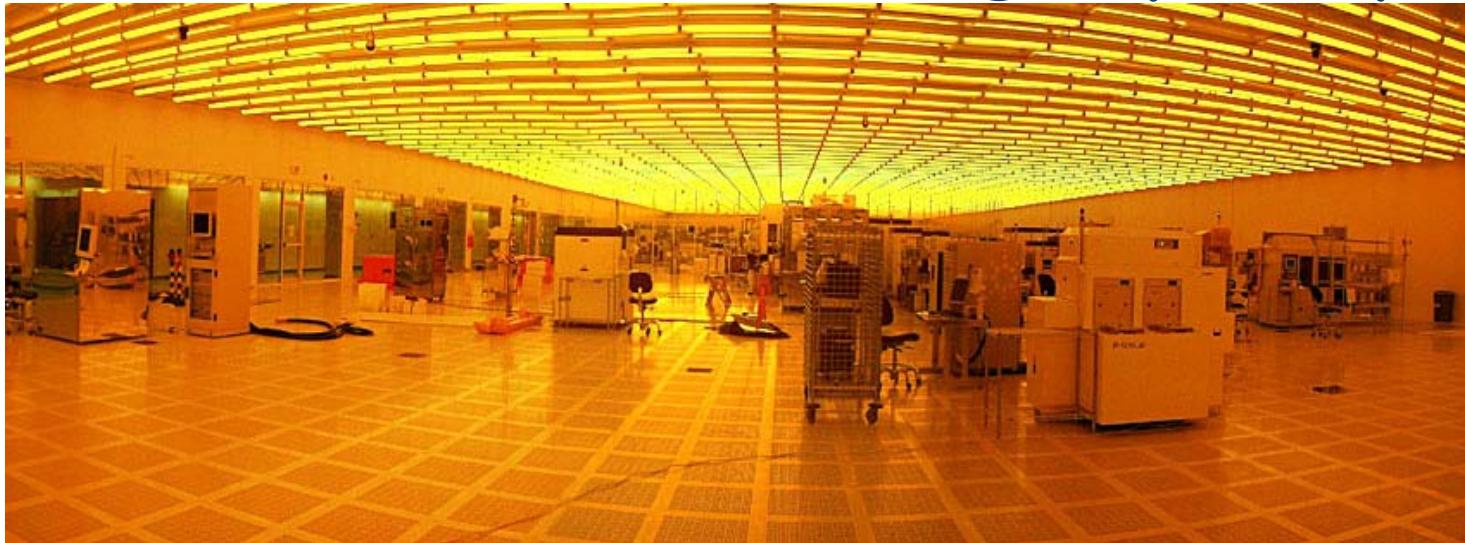


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300 mm Wafer Processing Capability



ANT/CNSE will house over 125 state-of-the-art 300mm wafer tools when build out is completed.

Designed for 32nm node & beyond but compatible with previous generations.

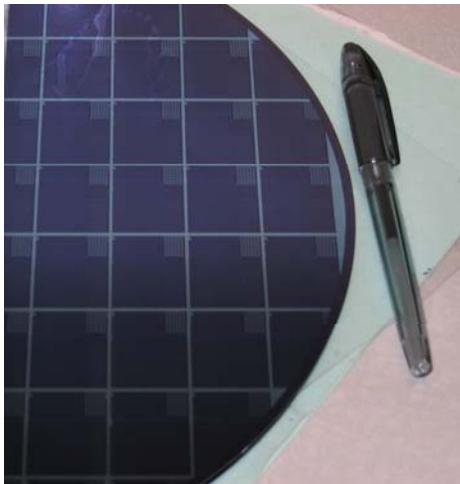
- Unit process, module integration, and full flow capability.
- Facility will have a 45nm baseline process for use by partners.

Facility capable of 25 integrated wafer starts (WSD)
per day.

- 24/7 operation, wafer release 6 Days / Week



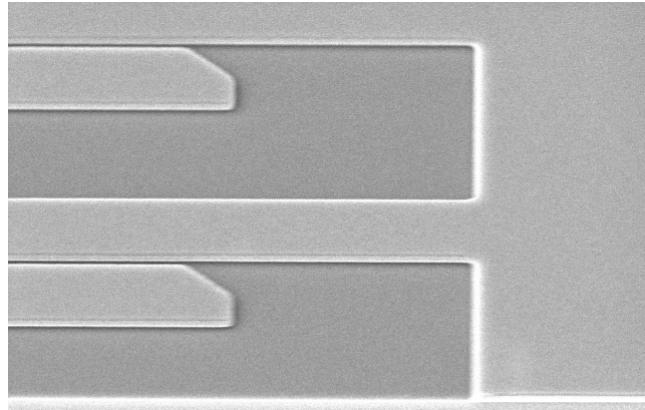
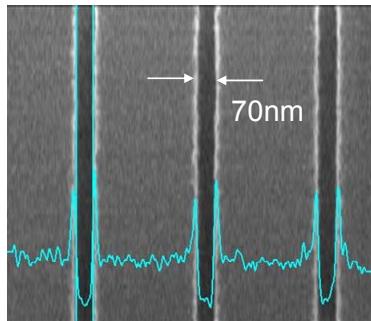
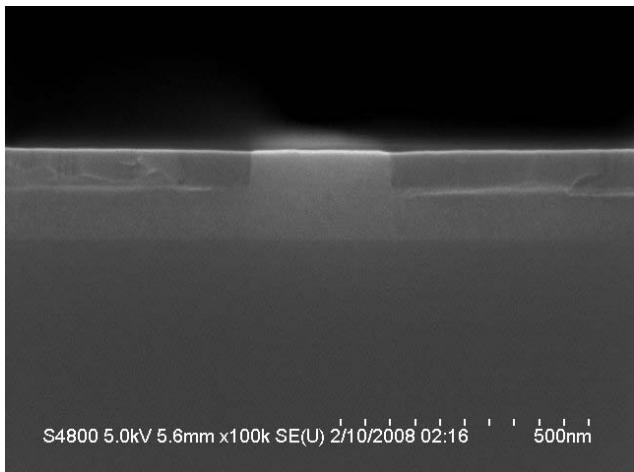
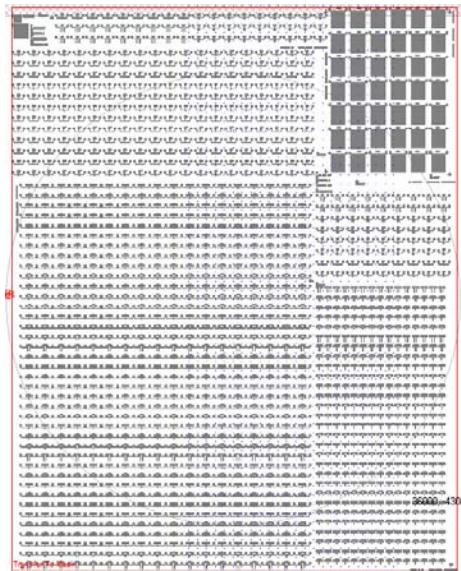
Device fabrication on 300mm wafers



>1000 devices/die

~100 nm features

Advanced processes



S4800 5.0kV 15.4mm x9.00k SE(M,LA0) 10/24/2007 14:26 5.00um



Why study the p-n diode:

- The p-n junction diode is the most fundamental of all the semiconductor devices - it is the basis for the majority of solid state devices.
- For fundamental understanding of semiconductors:
Example: Hall-Shockley-Read Theory.

For any new semiconductor, a proper characterization of the p-n diode is important.

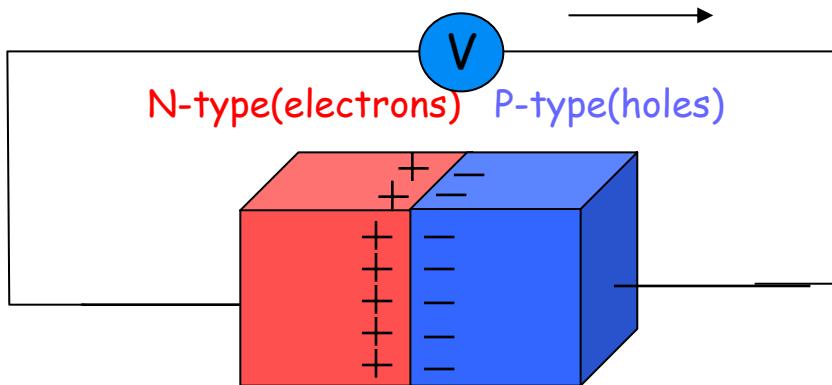


Interplay between transport and optical properties:

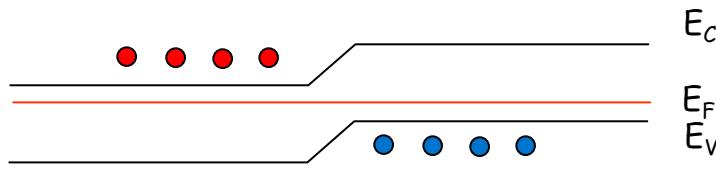
- SWNT Diode Fabrication and DC Characteristics
- Optical Properties:
 - { Photovoltaic Effect
 - Enhanced Optical Absorption - Excitons
- Origin of the Ideal Diode Behavior (BGR-Bandgap Shrinkage)



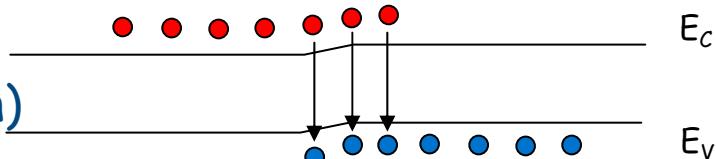
Bulk p-n junction diode basics:



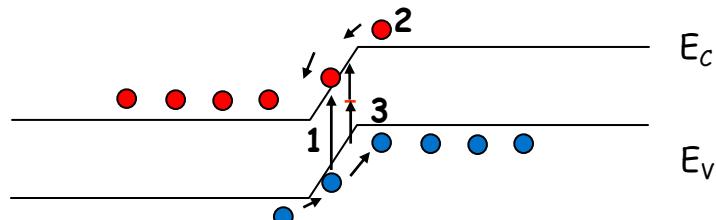
Equilibrium



Forward Bias
(Recombination)

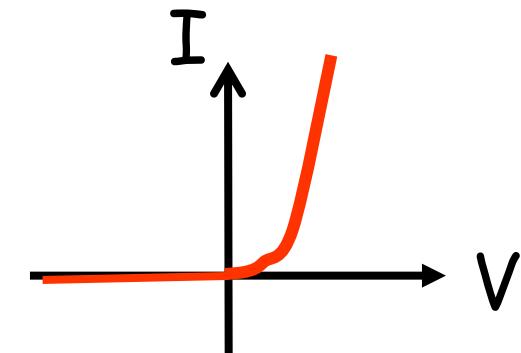


Reverse Bias
(Generation)

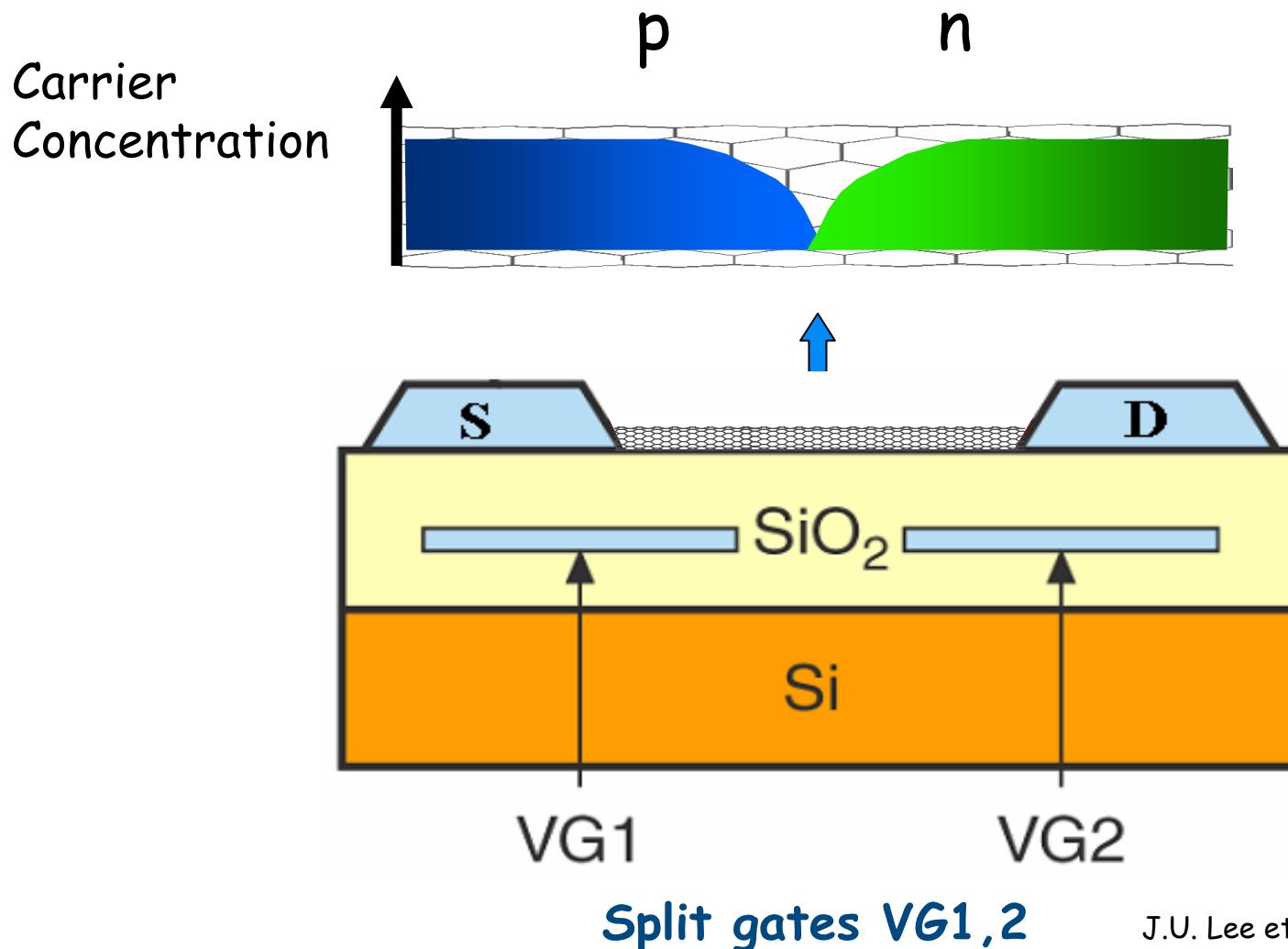


Diode Equation:
(ideal if $n=1$)

$$I = I_0(e^{qV/nkT} - 1)$$



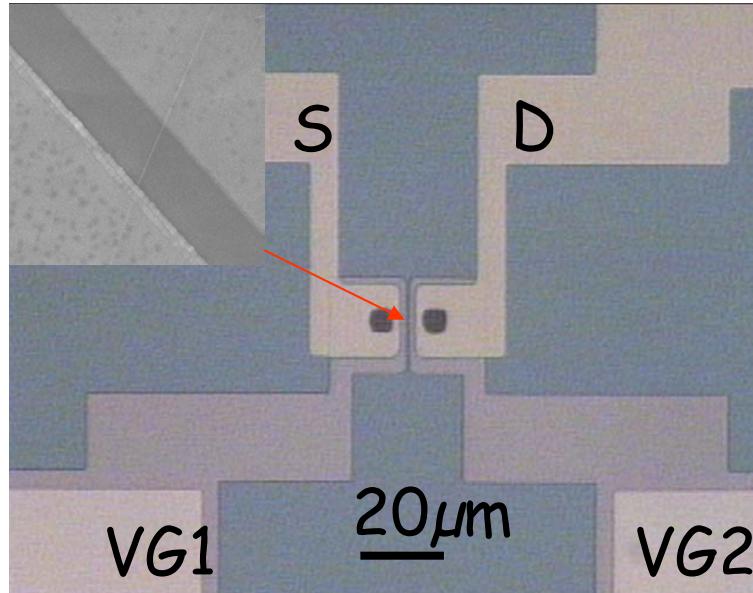
Electrostatic doping:



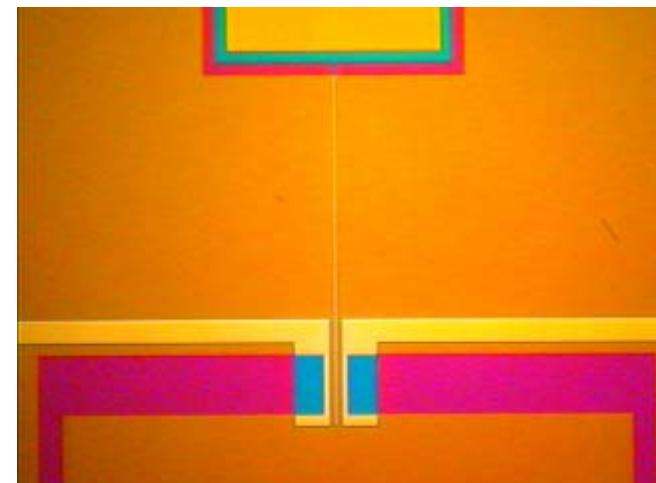
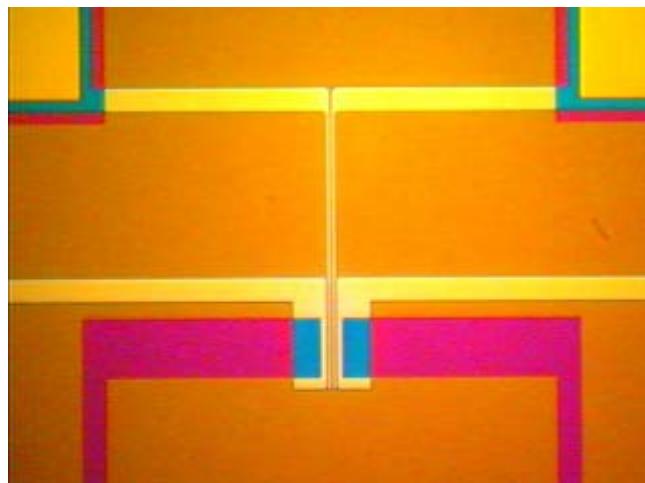
J.U. Lee et. al., APL: July 5, 2004



2 gate device



**3 and 4
gate devices**

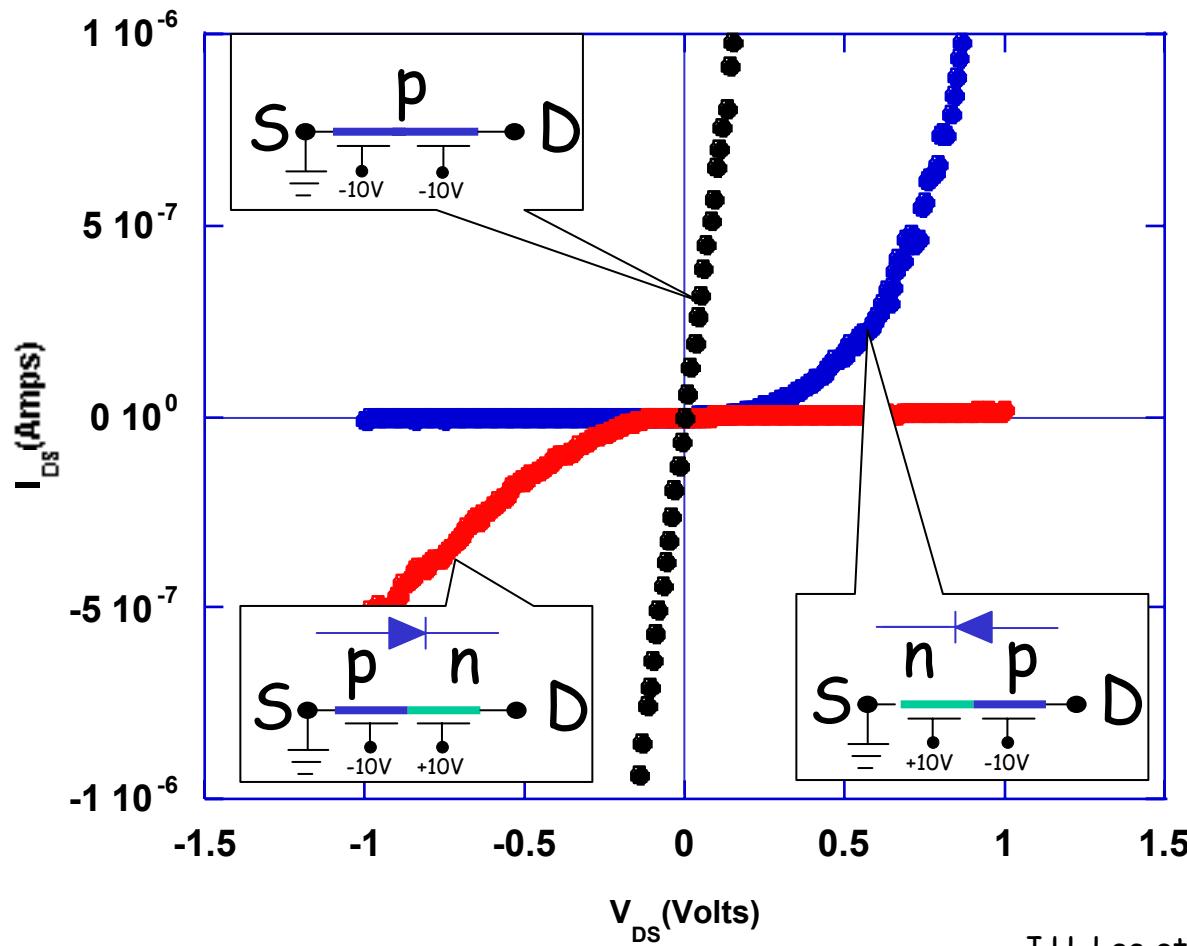


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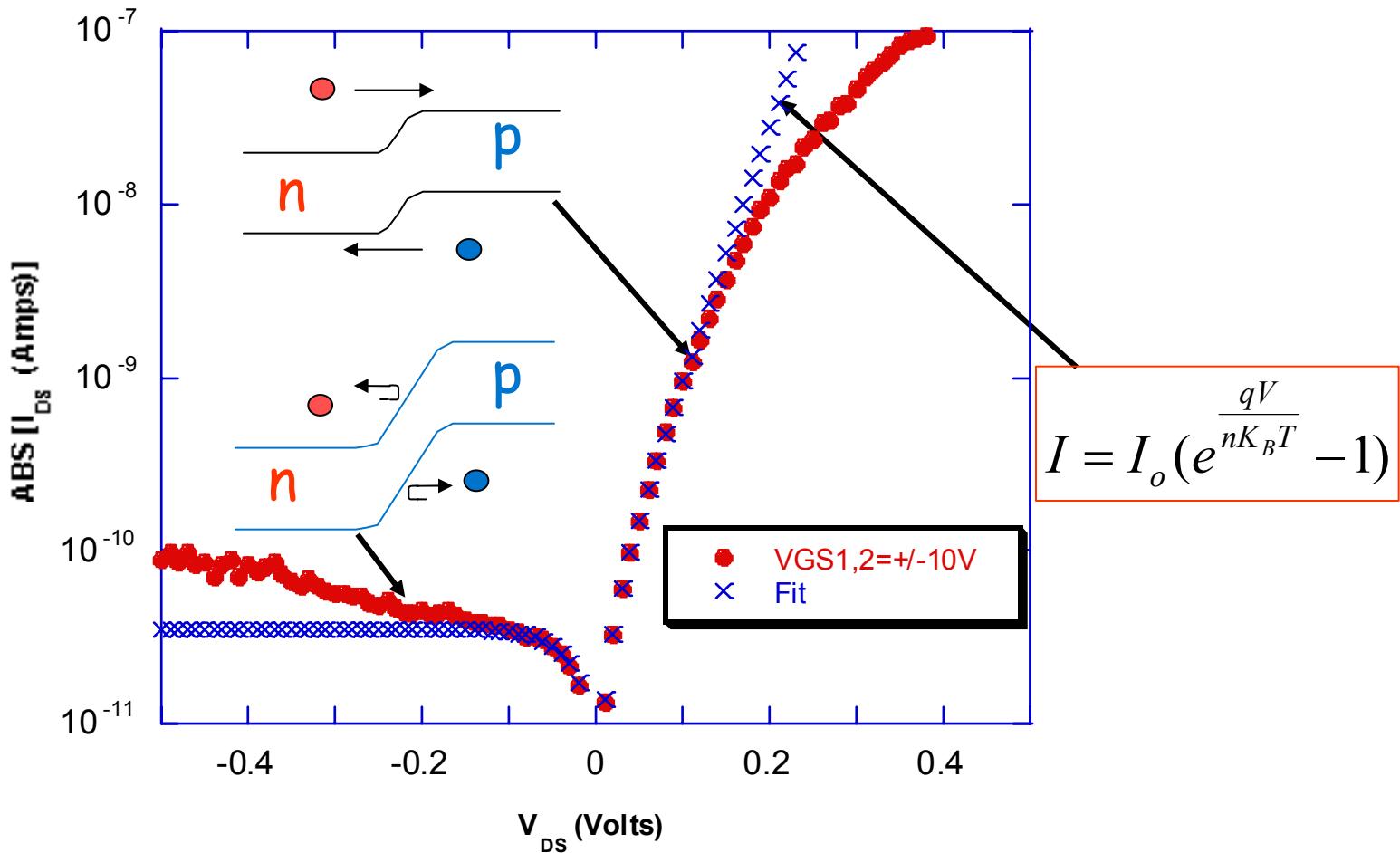
CNT diode/rectifier: (p-n or n-p diode devices)



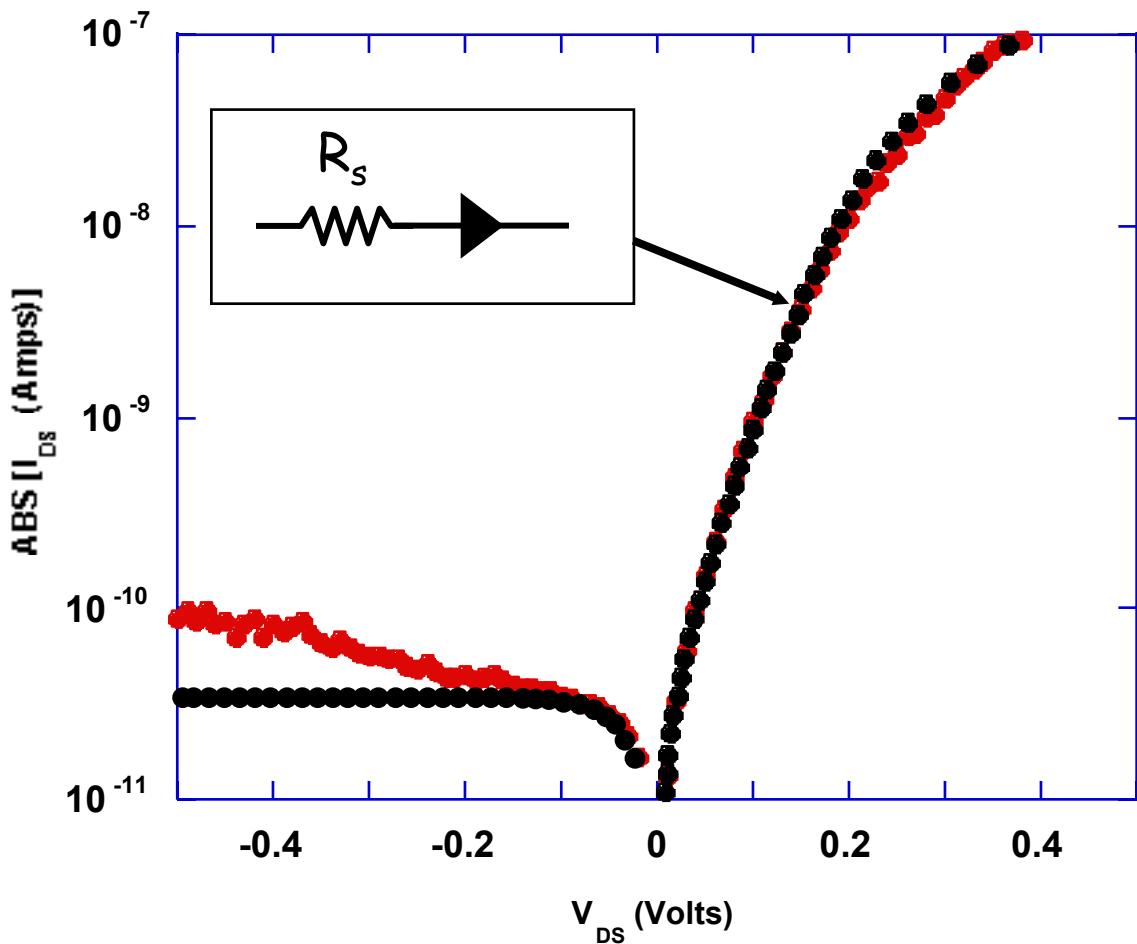
J.U. Lee et. al., APL: July 5, 2004



Nearly Ideal Diode Characteristics with $n \sim 1$ (1.2)



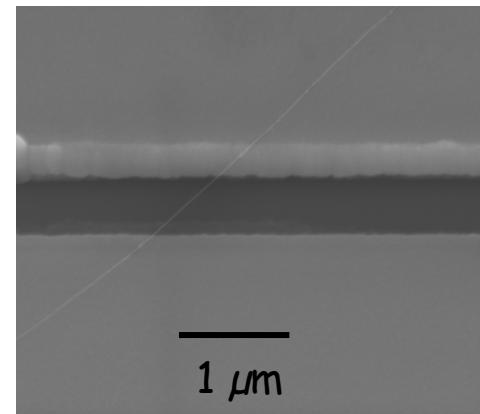
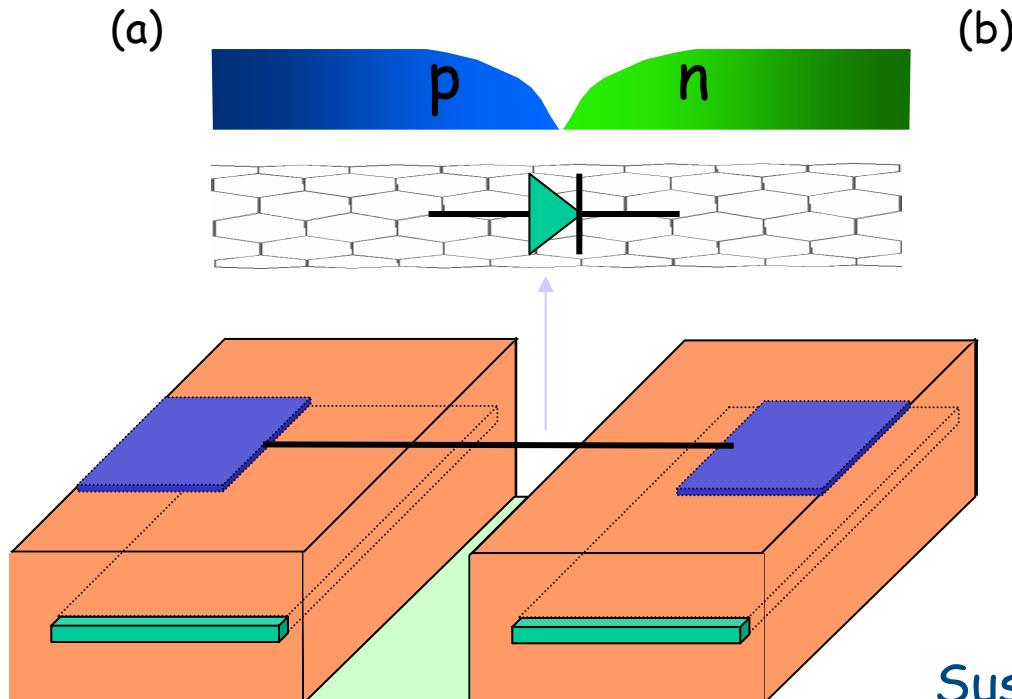
Series Resistance Limits Current:



R_s :
measured
from the
resistive
mode - due
to n-type to
metal
contact
resistance.



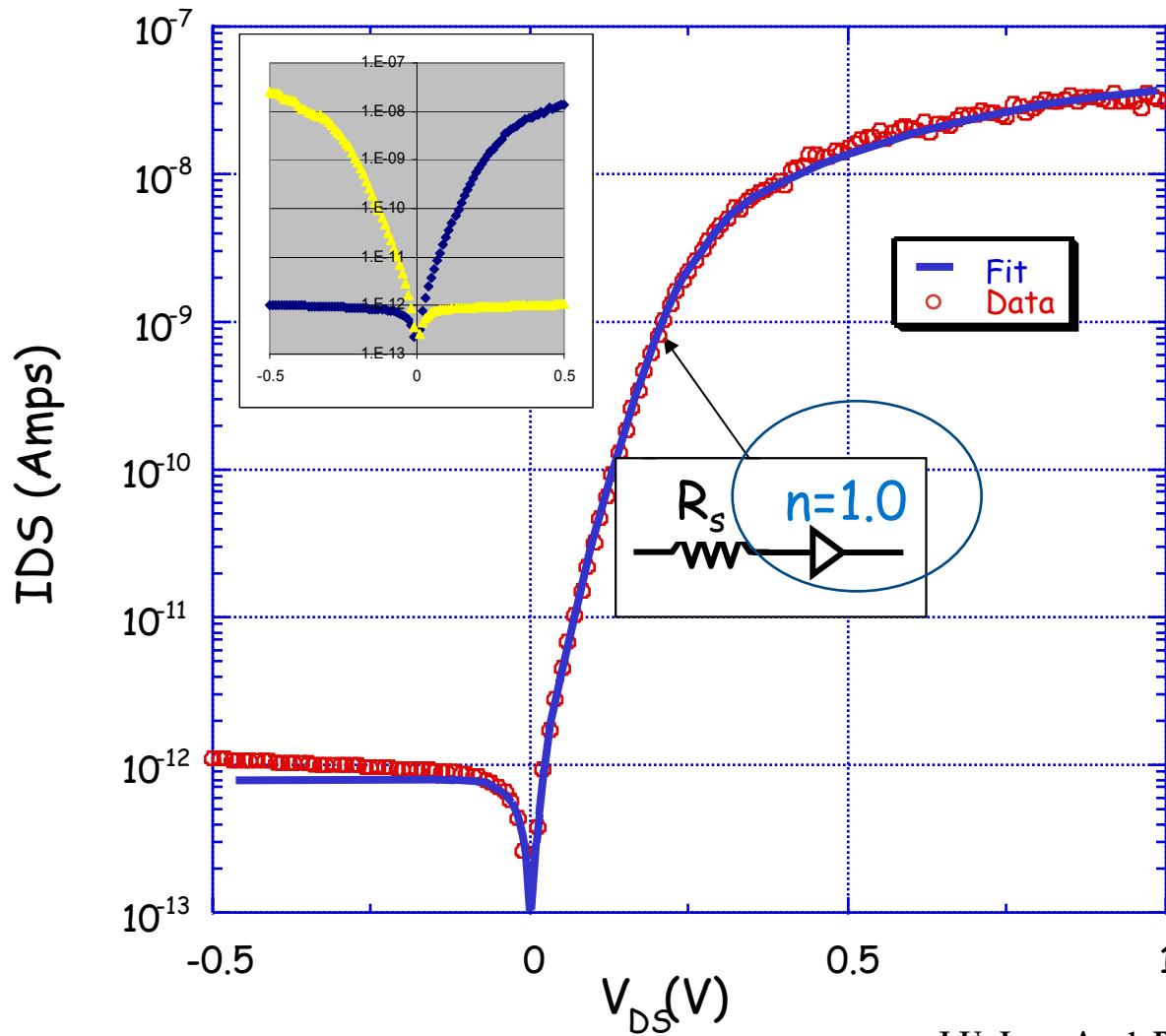
Suspended SWNT Diodes:



Suspended tube formed
based on a self-registering
technique



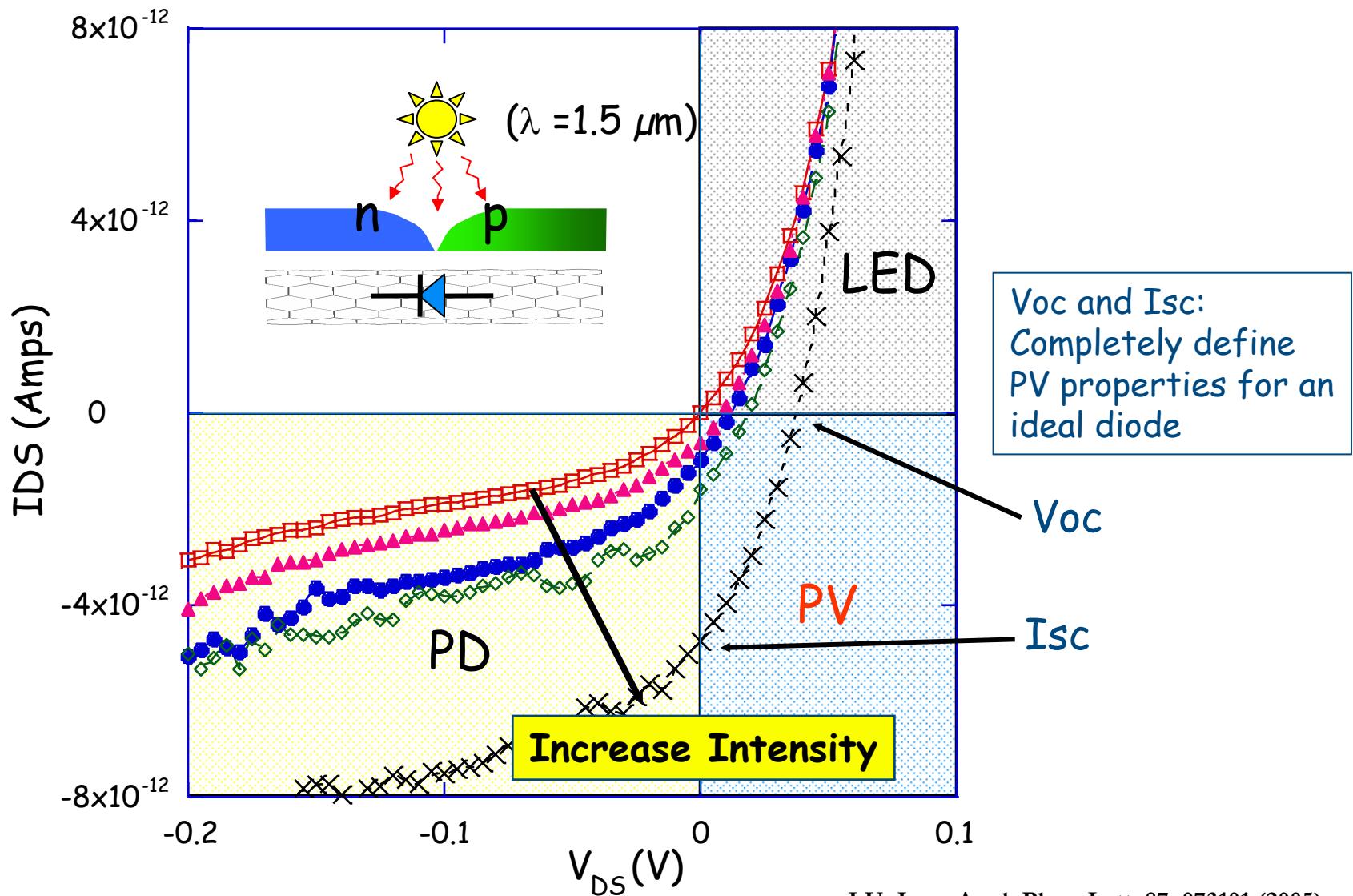
Ideal Diodes with Ideality Factor n=1.0 for Suspended Diodes



J.U. Lee, Appl. Phys. Lett. 87, 073101 (2005)



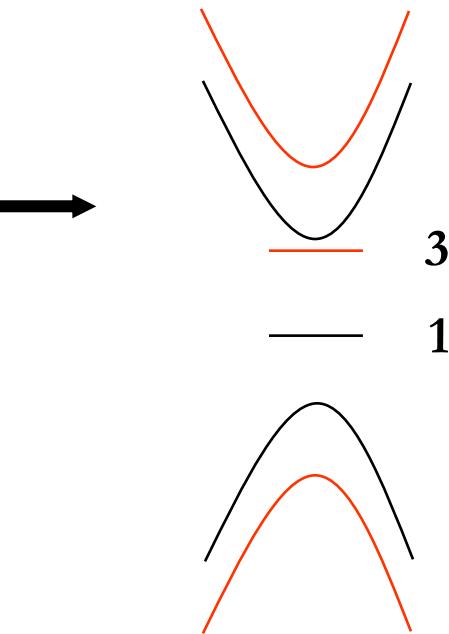
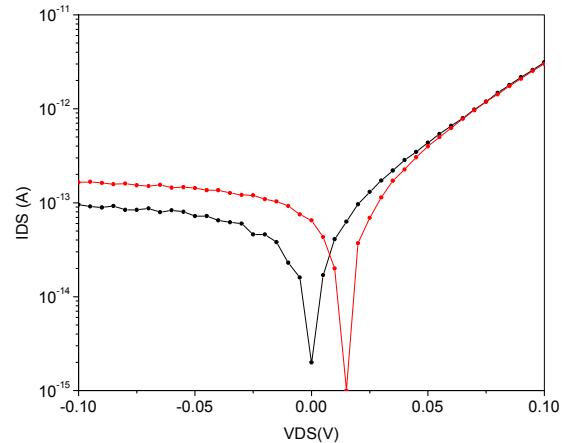
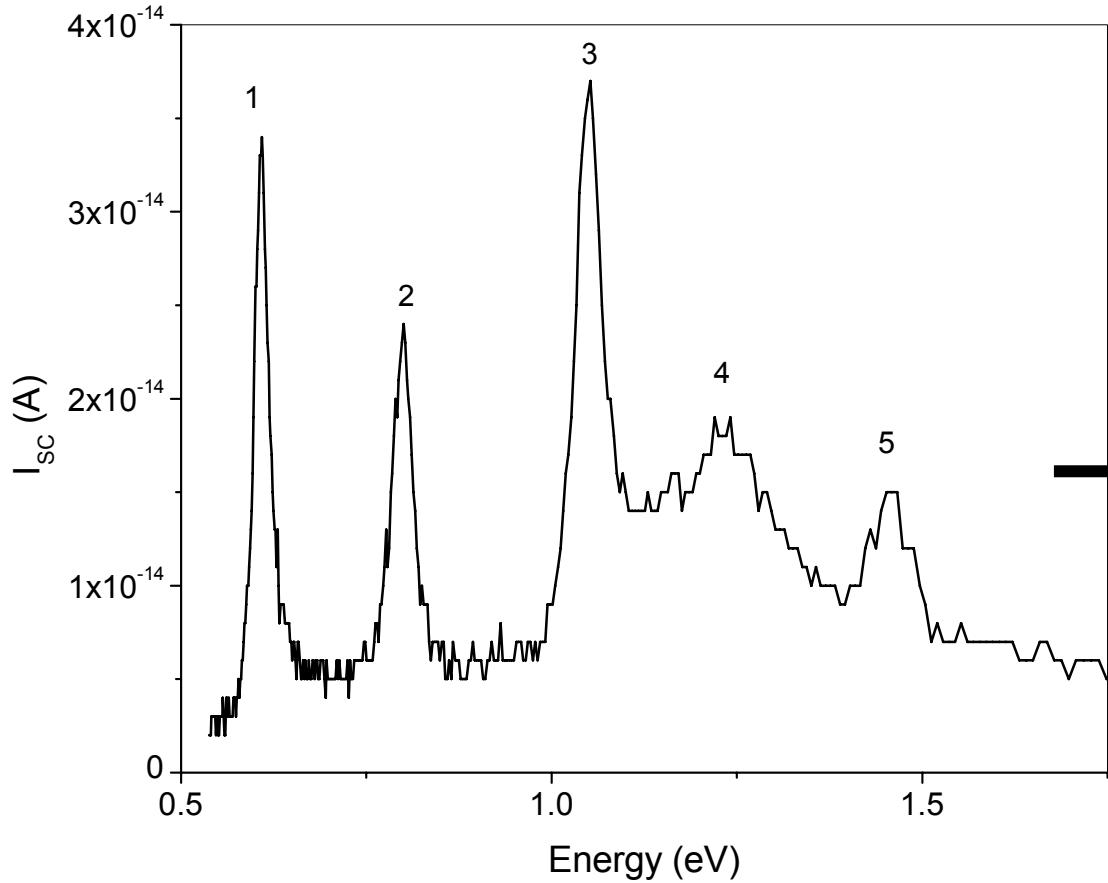
Photovoltaic Effect



J.U. Lee, Appl. Phys. Lett. 87, 073101 (2005)



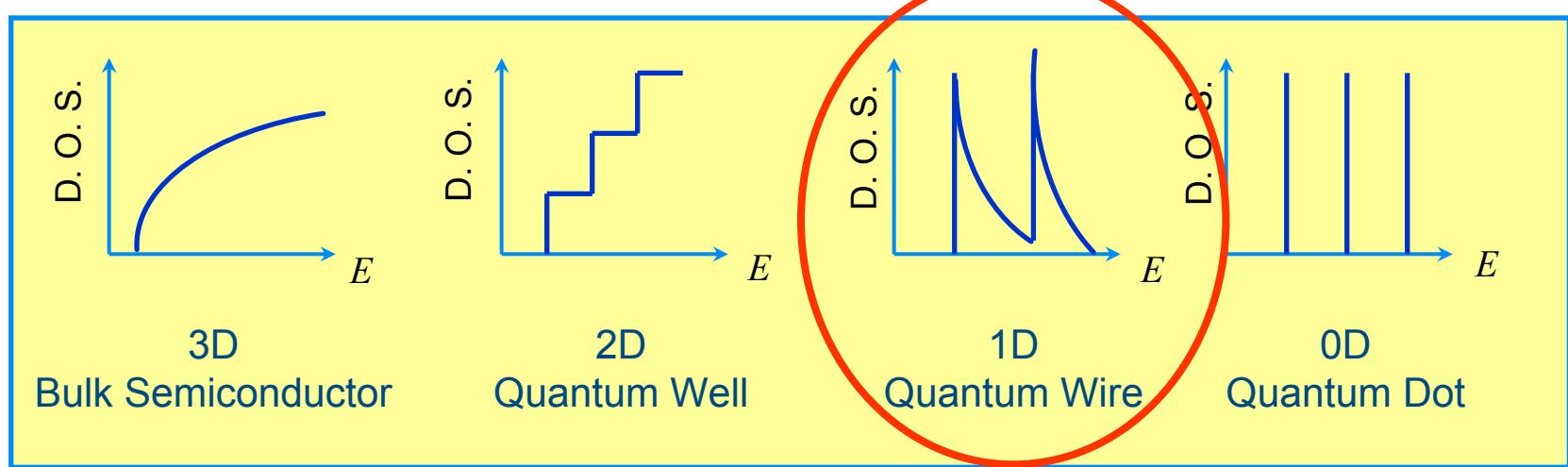
Exciton Peaks in the Photocurrent Spectra (similar to SWNTs in solution)



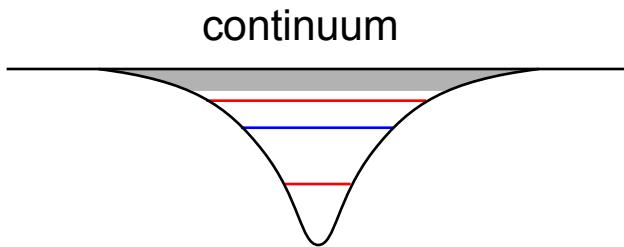
J.U. Lee et.al., Appl. Phys. Lett. 90, 053103 (2007)



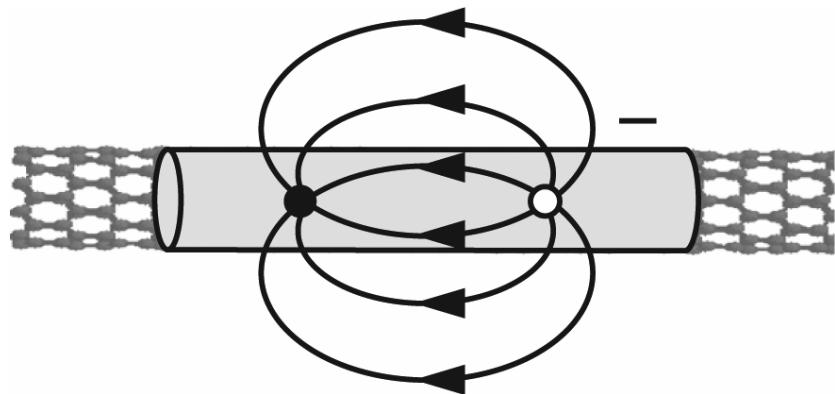
DOS: One Electron Model



EXCITONS IN CARBON NANOTUBES



Exciton Hydrogenic Levels
 $n=1,2,3\dots$



Electron-Hole Coulomb Interaction

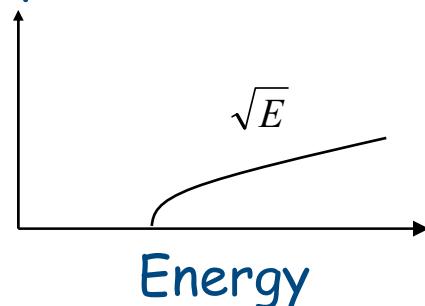
$$H_{eh} = - \frac{e^2}{\epsilon |\mathbf{r}_e - \mathbf{r}_h|}$$

results in the electron-hole binding that forms the exciton states below the conduction subband edge



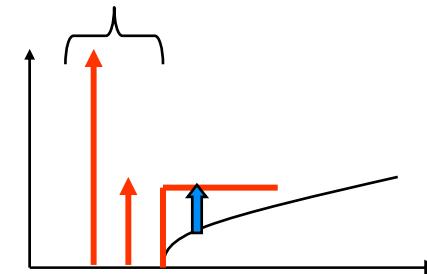
Sommerfeld Factor: Coulomb Interaction

3D: Absorption

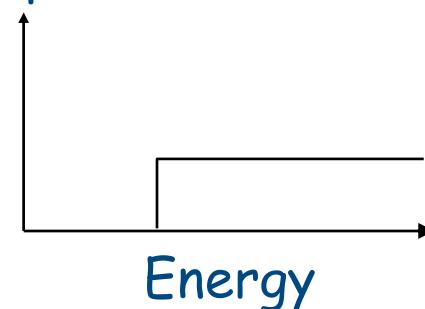


Coulomb Effects

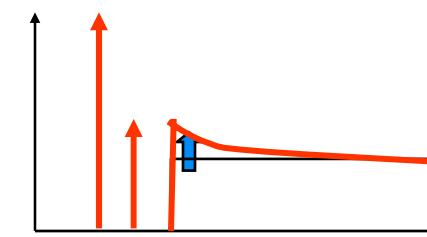
Excitons



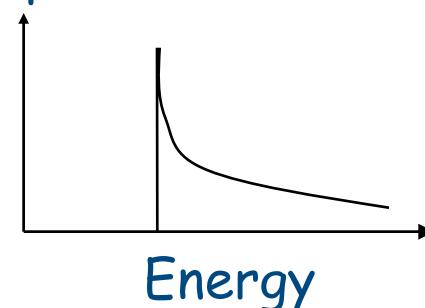
2D: Absorption



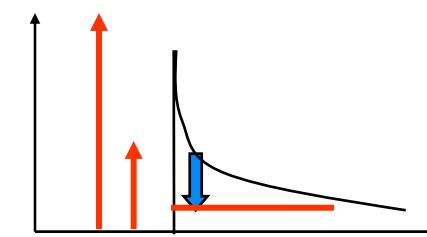
Coulomb Effects



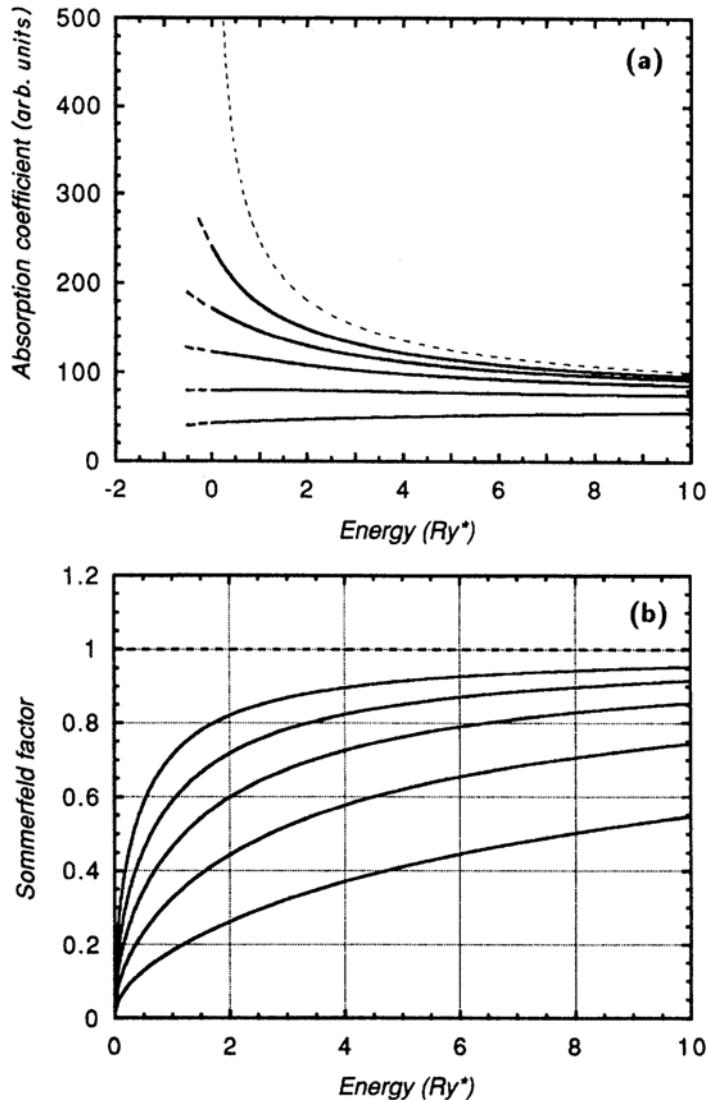
1D: Absorption



Coulomb Effects



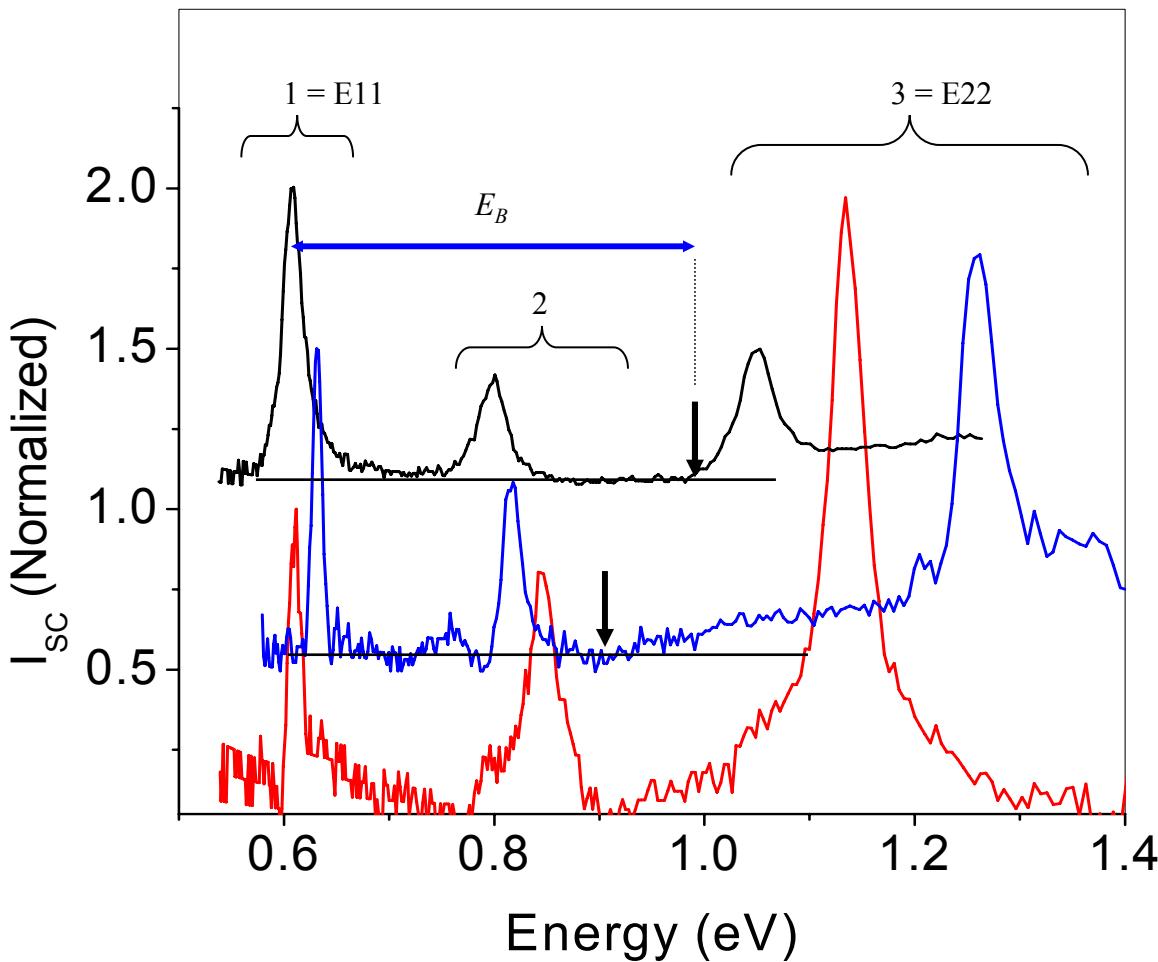
Sommerfeld Factor in 1D $\rightarrow 0$ at E_g



T. Ogawa and T. Takaghara, Phys. Rev. B 43, 14325 (1991)



Spectra with similar first energies



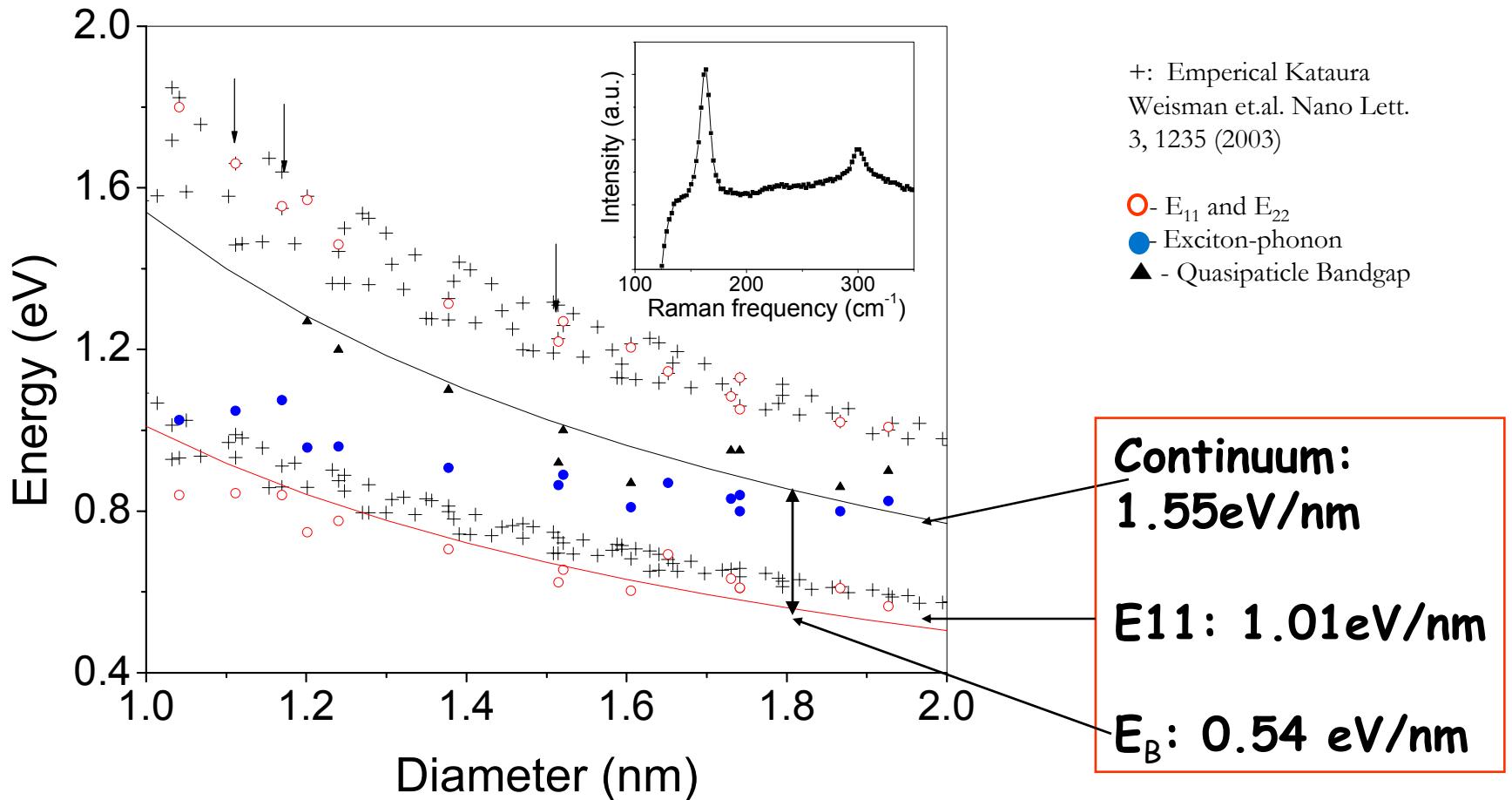
Lack of any features at E_g due to Sommerfeld factor < 1

Side bands measure dark exciton

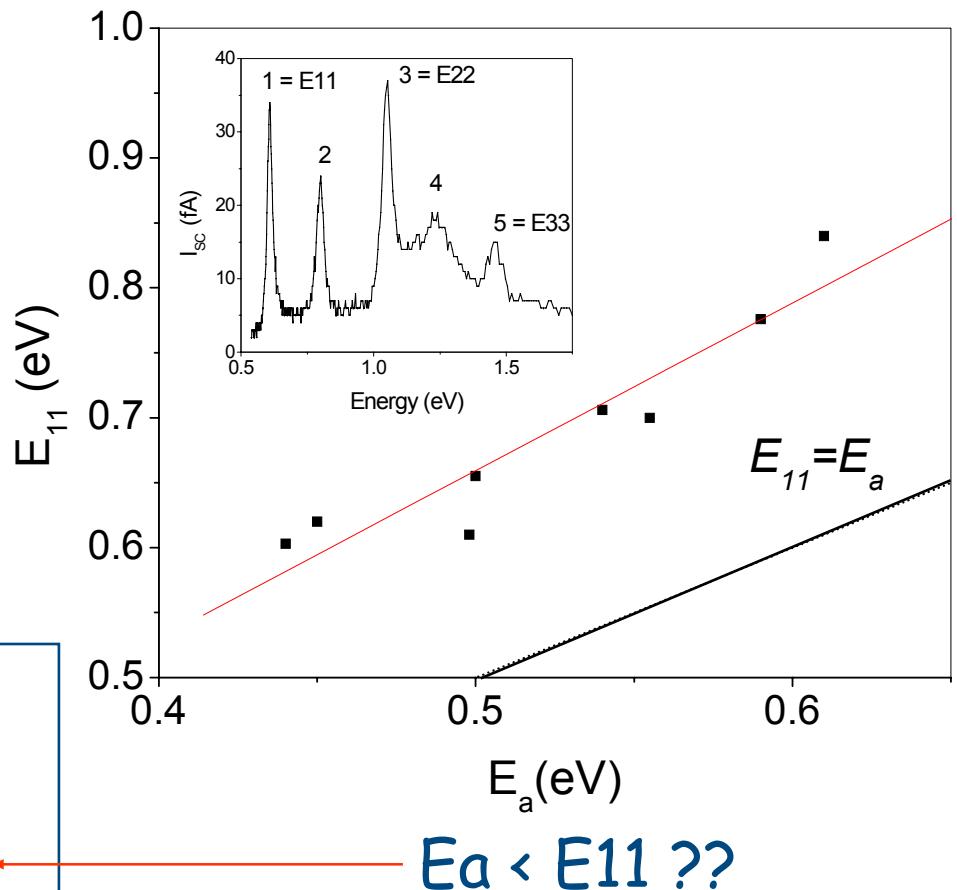
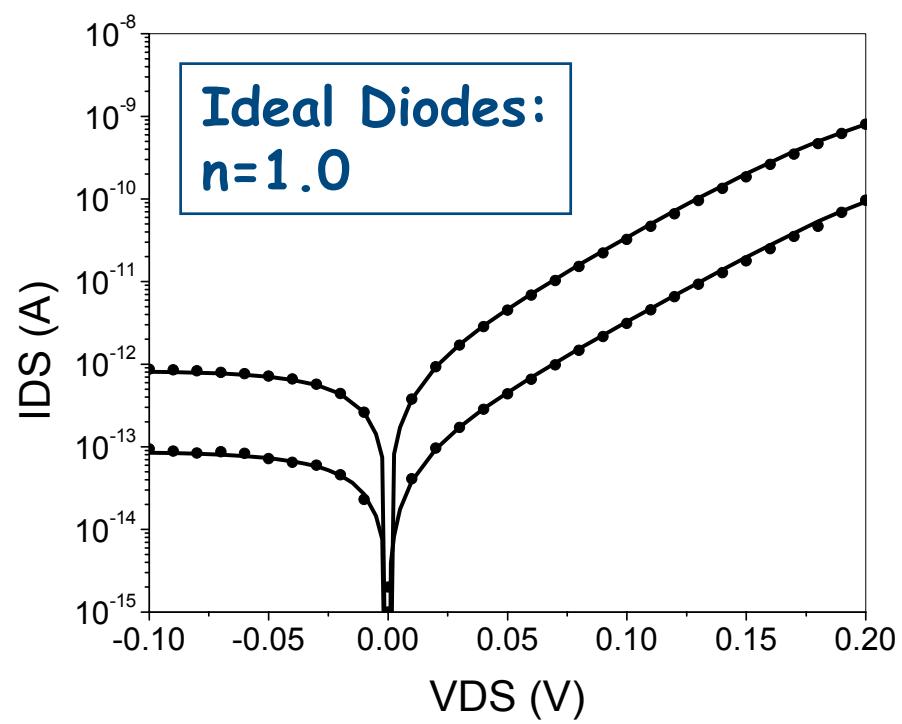
J.U. Lee et.al., Appl. Phys. Lett. 90, 053103 (2007)



Comparison to Photoluminescent Data:



Origin of the Ideal Diode Behavior and Exciton Dissociation:

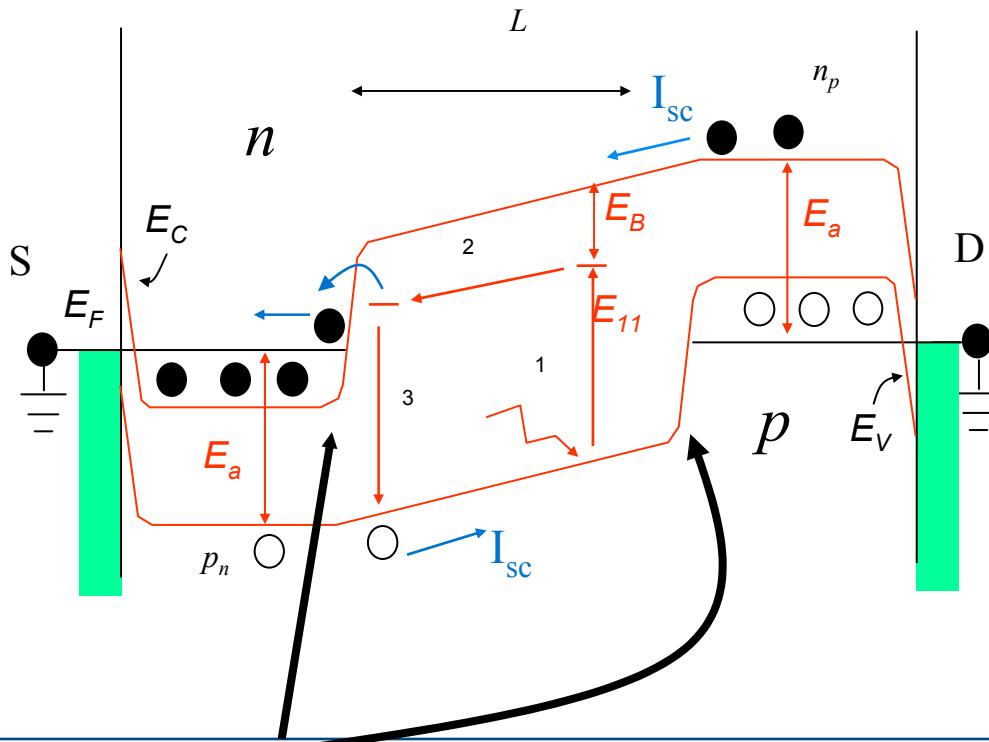


Two mechanism for $n=1.0$:

- 1) Direct Band-to-Band
- 2) Diffusion of Minority Carriers from the doped regions



Many-Body Renormalization of Band structure (BGR - band gap renormalization) and Proposed Mechanism for Exciton Dissociation:



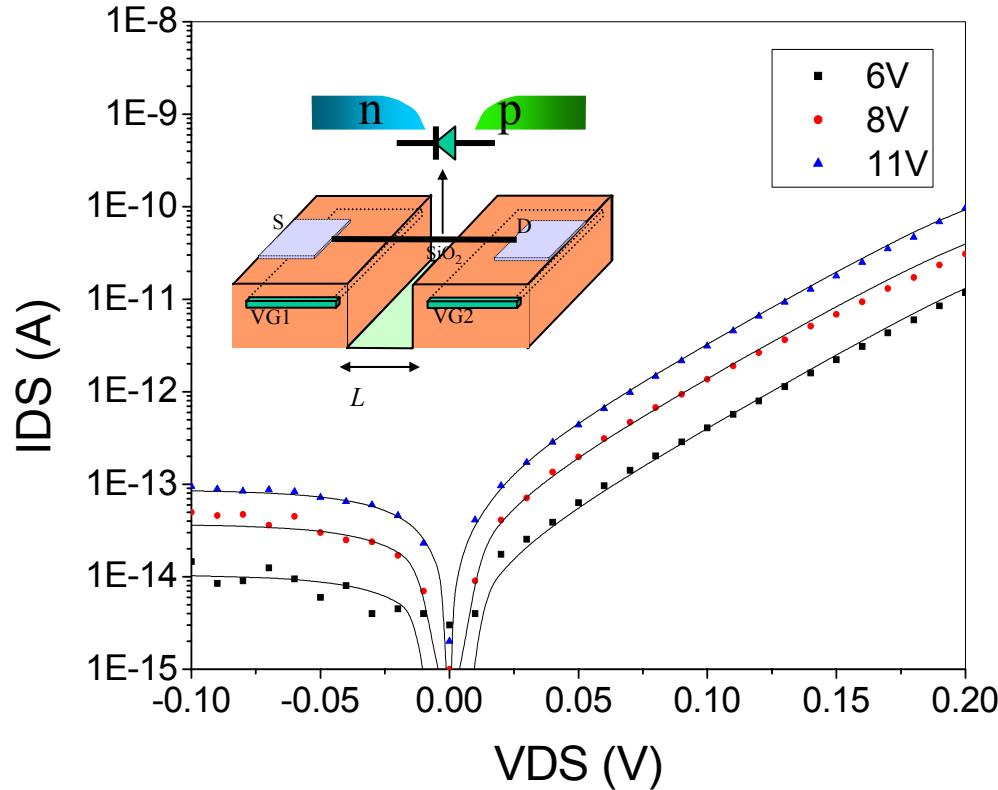
Formation of heterointerfaces along a homogenous material

J.U. Lee, Phys. Rev. B 75, 075409 (2007)

jlee1@uamail.albany.edu



Device Ideal for Studying BGR:

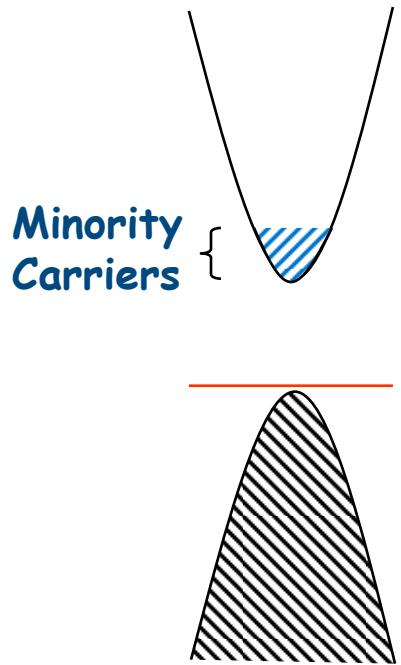


Variable Doping with $VG_1,2$:

- Diode follows ideal relation with doping.
- Evidence of strong BGR: $I_o \uparrow$ when Doping \downarrow .
w/o BGR $I_o \downarrow$ when Doping \uparrow .

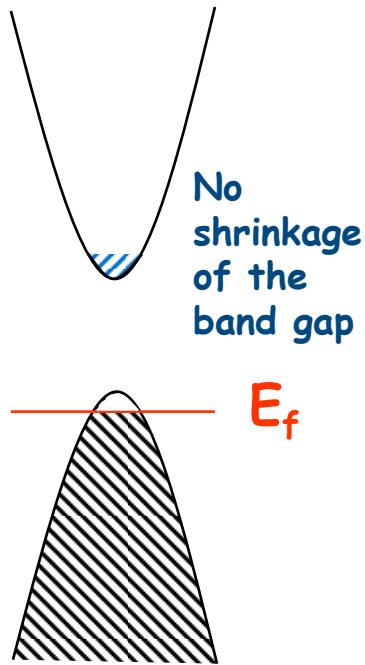


Origin of increase in I_o with Doping:

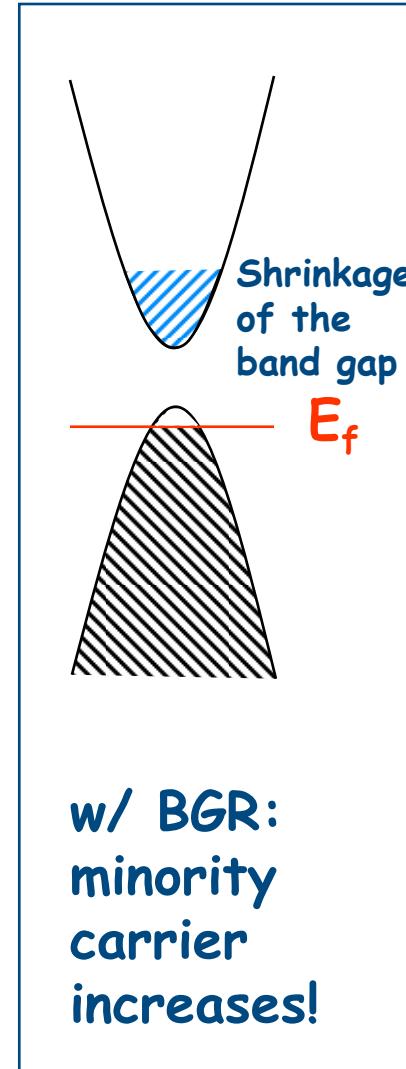


P type
semiconductor

Increase
Doping



w/o BGR:
minority carrier
decreases



w/ BGR:
minority
carrier
increases!



Conclusions:

- Bipolar devices are more fun to study.
- How do neutral excitons dissociate to generate large photocurrents?
- Window to the study of many-body effects:
BGR, biexcitons, etc...

Funding: NSF, NRI/INDEX, IFC, IBM and
UAlbany



Future Work: Graphene p-n junctions: Optics-like manipulation of electrons

