

**Basic Exam in Set Theory**  
**September 3, 2019**

**Note:** You may request elaborations on notation but not hints.

**Problem 1 (10 points)**

Let  $\lambda$  be an uncountable regular cardinal. Let

$$\langle A_\alpha \mid \alpha < \lambda \rangle$$

and

$$\langle B_\alpha \mid \alpha < \lambda \rangle$$

be two sequences of subsets of  $\lambda$  such that

$$\{A_\alpha \mid \alpha < \lambda\} = \{B_\alpha \mid \alpha < \lambda\}.$$

Prove there exists a set  $C$  that is closed and unbounded in  $\lambda$  and

$$C \cap \Delta_{\alpha < \lambda} A_\alpha = C \cap \Delta_{\alpha < \lambda} B_\alpha.$$

**Reminder about notation:**  $\Delta$  is the diagonal intersection operator.

**Problem 2 (20 points)**

Let  $\lambda$  be an uncountable cardinal. Prove the following are equivalent.

- (1)  $\lambda$  is a strongly inaccessible cardinal.
- (2) For every  $0 < \kappa < \lambda$  and sequence  $\langle A_\alpha \mid \alpha < \kappa \rangle$  of subsets of  $\lambda$ , there exists  $\langle B_\alpha \mid \alpha < \kappa \rangle$  such that
  - (a)  $\bigcap_{\alpha < \kappa} B_\alpha$  has cardinality  $\lambda$  and
  - (b) for every  $\alpha < \kappa$ , either  $B_\alpha = A_\alpha$  or  $B_\alpha = \lambda - A_\alpha$ .

**Remark on terminology:** Please use the phrase

$$\langle B_\alpha \mid \alpha < \kappa \rangle \text{ is a flip of } \langle A_\alpha \mid \alpha < \kappa \rangle$$

to refer to property (2)(b) in your solution.

**Hint for (1) implies (2):**

Let  $\mathcal{F}$  be the family of flips of  $\vec{A}$ . Prove that  $\lambda = \bigcup_{\vec{B} \in \mathcal{F}} \bigcap_{\alpha < \kappa} B_\alpha$ .

### Problem 3 (40 points)

Assume  $V = L$ . Let  $\kappa$  be an infinite cardinal and  $\lambda = \kappa^+$ . For each ordinal  $\alpha$  such that  $\kappa < \alpha < \lambda$ , let  $h(\alpha)$  be the least  $\eta > \alpha$  such that

$$L_\eta \models \text{ZFC} - \text{P} + \text{“There exists a surjection from } \kappa \text{ onto } \alpha\text{.”}$$

Define

$$\mathcal{F}_\alpha = \mathcal{P}(\alpha) \cap L_{h(\alpha)}$$

and

$$\mathcal{G}_\alpha = \mathcal{P}(\alpha) \cap L_{h(\alpha)+1}.$$

(1) Prove that  $|\mathcal{G}_\alpha| = \kappa$  whenever  $\kappa < \alpha < \lambda$ .

(2) Consider an arbitrary  $A \subseteq \lambda$ .

(a) Prove there exists an ordinal  $\alpha$  such that  $\kappa < \alpha < \lambda$  and

$$A \cap \alpha \in \mathcal{F}_\alpha.$$

(b) Prove there is a club subset  $C$  of  $\lambda$  so that, for every  $\alpha \in C$ ,

$$A \cap \alpha \in \mathcal{F}_\alpha.$$

(c) Prove there is a club subset  $C$  of  $\lambda$  so that, for every  $\alpha \in C$ ,

$$A \cap \alpha \in \mathcal{F}_\alpha$$

and

$$C \cap \alpha \in \mathcal{G}_\alpha.$$

**What you are allowed to use for Problem 3:** You may cite the theorem that  $L$  is a model of ZFC + GCH and specific facts and lemmas about  $L$  and  $<_L$  that went into the proof of this theorem in 21-602 in Fall, 2018. For example, you may state the Condensation Lemma and simply write, “This was proved in 21-602”. However, nothing about  $\diamond$  principles may be cited without definitions and proofs.

### Hints and remarks regarding Part (2)

- Obviously, (2)(c) implies (2)(b) implies (2)(a).
- The proof I have in mind involves the cardinal  $\mu = \lambda^+$  and certain elementary substructures  $Y \prec H_\mu$ .
- The proof that  $\diamond_\lambda$  holds in  $L$ , which was given in 21-602, shares ideas with the solution to Problem 3 but there are differences. The proof you saw of  $\diamond_\lambda$  is related but not the same!

**Problem 4 (10 points)**

Let  $M$  be a transitive class model of ZFC and  $T$  be a tree on  $\omega$  such that  $T \in M$ . Prove that at least one of the following holds.

(1)  $[T] \subseteq M$ .

(2) There is a perfect subtree  $S$  of  $T$  such that  $S \in M$ .

**Additional instructions for Problem 4:** You may use machinery from the proof the Cantor Perfect Set Theorem but you must explain your notation.

Your solution must be sufficiently attentive to the difference between truth in  $V$  and truth in  $M$ . If you are claiming a statement is absolute, then you need to be precise about which statement is absolute and why it is absolute, citing results from 21-602 when appropriate.

**Problem 5 (10 points)**

Let  $M$  be a transitive class model of ZFC. Let  $\mathfrak{A}$  and  $\mathfrak{B}$  be two structures of the same finite language, both of which belong to  $M$ . Assume that

$$M \models \text{The universe of } \mathfrak{A} \text{ is countable.}$$

Suppose that there is an elementary embedding from  $\mathfrak{A}$  to  $\mathfrak{B}$ . Prove that there exists  $\pi \in M$  such that  $\pi$  is an elementary embedding from  $\mathfrak{A}$  to  $\mathfrak{B}$ .

**Additional instruction for Problems 5:** Your solution must be sufficiently attentive to the difference between truth in  $V$  and truth in  $M$ . If you are claiming a statement is absolute, then you need to be precise about which statement is absolute and why it is absolute, citing results from 21-602 when appropriate.

**Problem 6 (10 points)**

Let  $\lambda$  be a regular cardinal and

$$S \subseteq \{\alpha < \lambda \mid \alpha \text{ is a limit ordinal of uncountable cofinality}\}.$$

Assume that  $S$  is stationary in  $\lambda$ . Let

$$T = \{\alpha \in S \mid S \cap \alpha \text{ is not stationary in } \alpha\}.$$

Prove that  $T$  is stationary in  $\lambda$ .