Carnegie Mellon University

Department of Materials Science & Engineering

> AY 2021 – 2022 Ph.D. Student Handbook

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<u>Welcome</u>

Welcome to the graduate program in Materials Science and Engineering at Carnegie Mellon University. The Department of Materials Science and Engineering (MSE) is one of seven academic departments in Carnegie Institute of Technology, the engineering college at Carnegie Mellon. MSE has a long and distinguished tradition in materials education and research, and today our faculty continue to address the more important and challenging issues at the forefront of science and technology.

Materials Science and Engineering is an interdisciplinary activity that applies the principles of basic sciences and engineering to understanding the behavior of materials, their development and applications. Both our undergraduate and graduate students are exposed to this interdisciplinary approach.

While this handbook and the <u>College of Engineering handbook</u> are specific to your academic experience in the department, there are several other resources and offices graduate students are encouraged to consult during their tenure at Carnegie Mellon University. Information about The Word, the student handbook, the Office of Graduate and Postdoc Affairs, the Office of the Dean of Student Affairs and others are included in Appendix A of this handbook.

Carnegie Mellon University

Vision: Carnegie Mellon University will have a transformative impact on society through continual innovation in education, research, creativity, and entrepreneurship.

Mission: To create a transformative educational experience for students focused on deep disciplinary knowledge; problem solving; leadership, communication, and interpersonal skills; and personal health and well-being.

To cultivate a transformative university community committed to (a) attracting and retaining diverse, world-class talent; (b) creating a collaborative environment open to the free exchange of ideas, where research, creativity, innovation, and entrepreneurship can flourish; and (c) ensuring individuals can achieve their full potential.

To impact society in a transformative way — regionally, nationally, and globally — by engaging with partners outside the traditional borders of the university campus.

University Policies & Expectations

It is the responsibility of each member of the Carnegie Mellon community to be familiar with university policies and guidelines. In addition to this departmental graduate student handbook, the following resources are available to assist you in understanding community expectations:

o The Word/Student Handbook: <u>www.cmu.edu/student-affairs/theword//index.html</u>

- Academic Integrity Website: <u>https://www.cmu.edu/student-affairs/ocsi/academic-integrity/index.html</u>
- University Policies Website: <u>www.cmu.edu/policies/</u>
- o Graduate Education Website: <u>http://www.cmu.edu/graduate/policies/index.html</u>
- College Of Engineering Website: <u>https://engineering.cmu.edu/education/academic-policies/index.html</u>

Carnegie Mellon University Statement of Assurance

Carnegie Mellon University does not discriminate in admission, employment, or administration of its programs or activities on the basis of race, color, national origin, sex, handicap or disability, age, sexual orientation, gender identity, religion, creed, ancestry, belief, veteran status, or genetic information. Furthermore, Carnegie Mellon University does not discriminate and is required not to discriminate in violation of federal, state, or local laws or executive orders.

Inquiries concerning the application of and compliance with this statement should be directed to the vice president for campus affairs, Carnegie Mellon University, 5000 Forbes Avenue, Pittsburgh, PA 15213, telephone 412-268-2056.

Obtain general information about Carnegie Mellon University by calling 412-268-2000. The Statement of Assurance can also be found on-line at: <u>https://www.cmu.edu/policies/administrative-and-governance/statement-of-assurance.html</u>

The Carnegie Mellon Code

Students at Carnegie Mellon, because they are members of an academic community dedicated to the achievement of excellence, are expected to meet the highest standards of personal, ethical and moral conduct possible.

These standards require personal integrity, a commitment to honesty without compromise, as well as truth without equivocation and a willingness to place the good of the community above the good of the self. Obligations once undertaken must be met, commitments kept.

As members of the Carnegie Mellon community, individuals are expected to uphold the standards of the community in addition to holding others accountable for said standards. It is rare that the life of a student in an academic community can be so private that it will not affect the community as a whole or that the above standards do not apply.

The discovery, advancement and communication of knowledge are not possible without a commitment to these standards. Creativity cannot exist without acknowledgment of the creativity of others. New knowledge cannot be developed without credit for prior knowledge. Without the ability to trust that these principles will be observed, an academic community cannot exist.

The commitment of its faculty, staff and students to these standards contributes to the high respect in which the Carnegie Mellon degree is held. Students must not destroy that respect

by their failure to meet these standards. Students who cannot meet them should voluntarily withdraw from the university.

The Carnegie Mellon Code can also be found on-line at: <u>http://www.cmu.edu/student-affairs/theword/code.html</u>

<u>The Word</u>

https://www.cmu.edu/student-affairs/theword/

The Word is Carnegie Mellon University's student on-line handbook and is considered a supplement to the department (and sometimes college) handbook. The Word contains campus resources and opportunities, academic policy information and resources, community standards information and resources. It is designed to provide all students with the tools, guidance, and insights to help you achieve their full potential as a member of the Carnegie Mellon community. Information about the following is included in The Word (not an exhaustive list) and graduate students are encouraged to bookmark this site and refer to it often:

- Carnegie Mellon Vision and Mission
- Carnegie Code
- Academic Standards, Policies and Procedures
- Campus Resources & Opportunities
- Community Standards, Policies and Procedures

Graduate Study in Materials Science & Engineering: General Information

Graduate education in the Department of Materials Science and Engineering (MSE) at Carnegie Mellon is established upon a very broad basis. Emphasis is given to a spectrum of material areas, including nanotechnology, biomaterials, materials processing, structural and electronic, magnetic and optical materials. Along with the fundamentals of structure, defect state, thermodynamics and transport phenomena that underlie the entire field, education is focused equally between the materials classes of metals, ceramics, semiconductors and composite materials. Thus, students interested in topics such as nano- or bio-technology, material synthesis and processing, mechanical behavior of materials, the interrelationship between structure and properties, ceramic-science and electronic, magnetic and optical materials will get a solid grounding in the fundamentals of these areas through the graduate curriculum. Courses in special technical subjects in other engineering and science departments, as well as specific research topics, provide the breadth needed for a balanced program and special degree options. The comprehensive nature of the overall program is indicated by departmental faculty interests, which are balanced between engineering and science, and focused on contemporary and future topics of relevance to the application of materials in society.

Graduate study in MSE is designed to provide students with a systematic development of the fundamental scientific and engineering principles that govern the behavior and application of all materials. Entering graduate students typically have undergraduate and sometimes graduate degree backgrounds in a wide range of disciplines in engineering and science.

Programs of study are planned individually in consultation with the faculty and academic advisor. These programs may be directed toward fundamental science, engineering or a blend of the two, depending on the preference, capabilities and professional career goals of the student. Recommended courses often include those offered by other departments within College of Engineering or by other colleges within Carnegie Mellon.

Degrees Offered

The department offers the following graduate degree programs:

Master of Science in Materials Science and Engineering

- + Master of Science in Engineering & Technology Innovation Management
- + Master of Science in Technology Ventures

Master of Science in Materials Science

Master of Science in Computational Materials Science and Engineering

+ Integrated Study in Computer Science

Master of Science in Additive Manufacturing

Doctor of Philosophy in Materials Science and Engineering

Department Personnel

The Main office of the MSE department is located in 3325 Wean Hall. MSE Staff members are located in both Wean Hall and Roberts Hall. MSE staff are here to assist you in your pursuit of graduate study.

Faculty:

The MSE faculty are listed on our website: http://www.cmu.edu/engineering/materials/people/faculty/index.html

Staff:

Please see our staff "point of contact" webpage to determine which staff member to contact for different items:

https://www.cmu.edu/engineering/materials/people/staff/index.html

Doctor of Philosophy

The Doctoral degree emphasizes the creation of new knowledge through extensive independent research, the interpretation of phenomena revealed by research, and the extraction of general principles upon which predictions can be made. In the MSE department, doctoral research can be conducted in a range of areas, including nanomaterials, biomaterials, materials for energy applications, metals, ceramics, electronic materials, and magnetic materials. Each Doctoral student's research is guided by a faculty advisor and a dissertation committee with milestones that allow graduation in four years or less. The milestones and expectations for doctoral students are described below.

Admission to the Doctoral Program

Applicants must supply the following materials to qualify for admission:

- Unofficial transcripts from previous degree programs
- Essay questions
- The results of GRE exam
- English proficiency exams, if a non-native English speaker
- Three letters of recommendation
- The application fee

All admissions decisions are made by the Department Head, based on recommendations from the graduate recruiting committee. A typical student qualifying for admission will have an undergraduate GPA greater than 3.0, a verbal GRE score greater than 146, a quantitative GRE score greater than 159, and an analytical GRE score greater than or equal to 3.0. For non-native English-speaking applicants, an IELTS should exceed 6.5 and TOEFL should exceed 84 (with 22 as individual sub-score).

Each year, we enroll between 15 and 25 doctoral students. The average quantitative characteristics of the students who enrolled in the doctoral program in 2020 are shown in the table below.

	GRE verbal	GRE quantitative	GRE Analytical	TOEFL	GPA*
Enrolled	158	164.5	4	101.5	3.7

* GPA at the time of application and, for averaging purposes, all were converted to a 4.0 scale.

Selection of Thesis Topic and Advisor

There are two mechanisms for the selection of a Ph.D. advisor: (1) pre-selection: an incoming graduate student communicates with one or more potential research advisors during the Summer months after admission to the program, and comes to a mutual agreement with a particular advisor before the first day of classes in August; or (2) graduate student pool: those students who do not pre-select an advisor must spend time during the month of September talking to potential research advisors, based on a list of available research projects that will be made available by the department. Individual faculty members who have open slots will give short presentations describing their research projects, ranked from top choice to third choice. The MSE faculty will then attempt to assign all pool students to one of their top choices by the end of October.

First Year Qualification

At the end of each academic year, the MSE faculty will review the academic and research performance of each first year doctoral student to determine if they are qualified for continued study. The main factors are the candidate's core and two-semester QPAs, and their advisor's assessment of research performance. If the candidate passes this review, then he/she will continue as a student in good standing. If the candidate fails the review they will leave the doctoral program at the end of the year. This decision is based on faculty consensus. The review process will be based on the MSE Graduate Qualification Checklist document found in Appendix A.

Research Requirements for the Doctoral Degree

Doctoral degrees are awarded primarily for demonstrated excellence in research. Each candidate's progress toward a doctorate is monitored by written reports submitted annually at the beginning of the Fall semester (see template in Appendix B). The candidate's accomplishments are evaluated by the student's advisor and by the Department Head. There are four major steps in a candidate's advancement toward a doctoral degree: A Research Performance Evaluation, Selection of a Dissertation Committee, a Thesis Overview, and a Dissertation Defense. Each of these steps is described in detail below.

Research Performance Evaluation (RPE)

Before the end of the third semester, each candidate must take a research performance evaluation. The research performance evaluation committee consists of three MSE faculty members, at least two of whom have primary affiliations in the MSE Department; two committee members are selected by the candidate and the advisor, and one is appointed by the Department Head.

The candidate will prepare a written proposal of not more than 15 pages that will be delivered to the committee members at least ten days prior to the evaluation; if the deadline is not met, then the committee shall cancel the scheduled event, and a new date shall be set. Formatting instructions can be found in Appendix F. The candidate must also bring the yellow exam confirmation card to the meeting (for the faculty to sign). During the exam, the candidate makes an oral presentation of their thesis proposal lasting not more than 30 minutes. The slides for the presentation must be numbered sequentially. The presentation is followed by questions from the committee. Only MSE faculty members are permitted to attend the Research Performance Evaluation.

During the research performance evaluation, the candidate is expected to demonstrate:

- a fundamental understanding of the research goals of the project
- a knowledge of background literature related to the project
- an understanding of the research tools that are used to accomplish project goals
- a hypothesis for the experiments performed to date and for the immediate future
- an ability to develop a research plan
- an ability to produce and analyze their own research results
- an ability to integrate materials fundamentals that are relevant to the project

The RPE committee members each fill out an "MSE RPE Committee Checklist and Evaluation Instructions" form (see Appendix C). At the conclusion of the evaluation, the candidate receives immediate oral feedback on both the strengths and the weaknesses in all areas listed above, and the results are communicated to the Department. The candidate will be provided with a written summary of the RPE within two weeks. Passing this evaluation allows candidates to remain in the Ph.D. program.

If a candidate fails this evaluation, they may be permitted to make a second attempt before the end of the fourth semester. In such cases, a new committee is selected with one member from the original committee and two new members.

Selection of Dissertation Committee

The candidate, in consultation with the advisor, should arrange a doctoral thesis committee before the beginning of the fifth semester. The doctoral thesis committee will be chaired by the advisor, and will have at least three additional members. The requirements for the committee are that at least two of the members have a primary affiliation with the MSE department¹ and at least one of the members is not primarily affiliated² with the MSE department. The candidate should carefully choose committee members who can provide supplemental resources, stimulate critical thinking, and assist in the candidate's development. As such, the candidate is strongly encouraged to interact regularly with his/her committee members.

The candidates should continue to further develop their proposal presented at the RPE detailing the research plan and updating the timeline, results, and analysis sections. These developments should be discussed with doctoral thesis committee members, either individually or as a group, by the beginning of the fifth semester.

¹ "primarily affiliated" MSE faculty are those whose salary is at least partially paid by the MSE department.

² "not primarily affiliated" includes qualified people who may not be employed by CMU, CMU faculty from other departments, CMU faculty who have a courtesy appointment in MSE, and MSE adjunct faculty.

Thesis Overview

Before the beginning of the seventh semester, the candidate must convene their thesis committee for an overview of her/his dissertation.

The candidate should prepare a written document and an oral presentation that convey and justify her/his plan for completing her/his dissertation. The written document and the 20-30 minute presentation should be prepared in accordance with the standards for a final dissertation but are expected to be briefer; the document must be distributed to committee members at least ten (10) days prior to the oral presentation; if the deadline is not met, then the committee shall cancel the scheduled event, and a new date shall be set. A plan and a projected timeline to carry out the necessary work to complete their dissertation should be given in the presentation. It is the candidate's responsibility to post a public announcement in the department at least two weeks prior to the date of the exam that includes the following information: the date, time, place, candidate name, title, and dissertation committee. The candidate must also bring the yellow exam confirmation card to the meeting (for the faculty to sign).

It is important to emphasize that, at the time of the overview, there may be considerable work remaining before the thesis is completed and conclusive findings may not yet have been reached. However, the overview presentation and document should demonstrate the following five items:

- The candidate is able to place their research in the context of the background literature and defend how their research represents (will represent) an advancement of the state of knowledge in the field.
- A clear hypothesis (or clear hypotheses) has guided the production and analysis of publishable research results.
- The path to reach the stated goals of the thesis is clear and the candidate has mastered the skills required to complete the research; questions of feasibility should be largely absent.
- The scope of the research, analysis, and integration are deemed appropriate by the committee to form an acceptable Ph.D. dissertation.
- The student must attach as a separate appendix or a clearly labeled chapter within the main body of the document, a copy of each manuscript for which that student is an author. The candidate must attach a detailed plan for how the research results will be disseminated in peer-reviewed journals. The committee will provide feedback on the publication plan.

At the conclusion of the overview, the committee shall meet in private to prepare written comments for the candidate that include feedback on the four points above, as well as suggestions for enhancing the quality of the thesis. Each committee member will fill out a Thesis Overview Feedback Form (see Appendix D); after the overview, the advisor fills out a Thesis Overview Feedback Summary Form (see Appendix E) that is afterwards provided to the student. This form, with a written response, must accompany the final thesis hard copy when submitted to the committee. If the committee is not satisfied that the overview demonstrates a feasible plan for the thesis, the candidate may be asked to repeat the overview between four and six months after the initial examination. Approval of the committee is a requirement for continuation in the doctoral program.

Ph.D. Dissertation

The doctoral dissertation must embody the results of extended research, be an original contribution to knowledge, and include material worthy of publication. It should demonstrate the candidate's ability to conduct an independent investigation, to abstract principles upon which predictions can be made, and to interpret in a logical manner facts and phenomena revealed by the research.

The written dissertation must be prepared according to the college guidelines summarized <u>here</u>. The thesis document (hard copy + soft copy) along with a response to the Thesis Overview Feedback Summary Form must be submitted to the committee at least three weeks (i.e., 21 days) before the tentative defense date; if the deadline is not met, then the committee shall cancel the scheduled event, and a new date shall be set. The committee members have one week to verify that the overview comments were properly incorporated in the thesis document. If the dissertation is accepted by the Committee, the candidate is eligible for a final public examination. If not, then the committee informs the student in writing of deficiencies that need to be resolved before a new thesis defense date can be scheduled. The committee decides by majority vote, with a tied vote resolved by the

Department Head. It is the candidate's responsibility to post a public announcement in the department at least two weeks prior to the date of the defense that includes the following information: the date, time, place, candidate name, title, and dissertation committee. The candidate must also bring the yellow exam confirmation card to the meeting (for the faculty to sign).

Upon satisfactorily passing this examination, the candidate will be recommended for the doctoral degree. One original and three copies of the dissertation must be presented to the Department Head. The original must be forwarded to the Dean of the College of Engineering for approval. The dissertation must be acceptable to University Microfilms, Inc. for the microfilming of doctoral dissertations, in which all candidates are required to participate.

Course Requirements for the Doctoral Degree

In addition to the research requirements, doctoral students are also required to complete 96 units of course work. This includes 36 units of MSE core classes that must be completed in the first semester (see table below). The remaining units may be made up of graduate level classes in the MSE department or graduate level classes in the other departments within CIT (Carnegie Institute of Technology) SCS (School of Computer Science) or MCS (Mellon College of Science).

Classes outside of MSE must be approved by the student's advisor. While the 96 unit curriculum should be comprised entirely of graduate classes, it is generally permissible to include one senior level MSE undergraduate class, with the exception of 27-454, 27-555, and 27-556.

Number	Title	units
27-798	Thermodynamics I	6
27-799	Thermodynamics II	6
27-788	Defects in Materials	6
27-766	Diffusion in Materials	6
27-796	Structure of Materials	6
27-797	Bonding in Materials	6

Core Courses (required)

To remain a candidate for the Ph.D. degree after the first Fall semester, a student must: have a letter grade in each of the six MSE core courses; have a core QPA greater than or equal to 3.0; have no more than two core grades below a B; and have no core grades of C- or below.

If the student does not satisfy any one of these criteria, then continuation in the Ph.D. program will be at the discretion of the MSE faculty. In addition to these criteria, to remain a candidate for the Ph.D. degree at any point after the first Fall semester, and at graduation, the overall QPA, excluding independent project and research grades, must be 3.0 or above.

Summer Off-Campus Internships

Doctoral students wishing to participate in an off-campus summer internship are responsible to secure their position and must have completed their Thesis Overview prior to the start of the internship. The Internship must be integral to the student's doctoral curriculum and have the approval of their faculty thesis advisor.

Students may register* for 3 units of "27-995 Internship" during the summer session and those units may be used toward the degree requirements. For the 3 units of 27-995 to satisfy the degree requirements, students must submit the "Internship Plan" (attached) to their thesis advisor before the summer session begins. At the completion of the internship, students must submit to their thesis advisor, the "Internship Report" (attached) along with a 1-2 page summary of the accomplishments achieved during the internship. The faculty advisor will be responsible for assigning a letter grade for these units at the end of the Summer semester.

•International student must consult with the Office of International Education for eligibility before seeking an internship or signing an offer contract. It is also recommended that international students review the CPT handout: https://www.cmu.edu/oie/foreign-students/docs/cpt.pdf

*Prior to registering for any Summer units, please consult with the doctoral student program coordinator.

Statute of Limitations

As outlined on the <u>university policy website</u>, students will complete all requirements for the Ph.D. degree within a maximum of ten years from original matriculation as a doctoral student, or less if required by a more restrictive department or college policy. Once this time-to-degree limit has lapsed, the person may resume work towards a doctoral degree only if newly admitted to a currently offered doctoral degree program under criteria determined by that program.

Under extraordinary circumstances, such as leave of absence, military or public service, family or parental leave, or temporary disability, a school or college may, upon the relevant department's recommendation and with the written approval of the dean, defer the lapse of All But Dissertation status for a period commensurate with the duration of that interruption. Students, who are pursuing the Ph.D. degree as part-time students for all semesters of their program, as approved by their program, may also appeal to their program or department for extension of the time to degree limit.

Withdrawal of a Degree

The university reserves the right to withdraw a degree even though it has been granted should there be discovery that the work upon which it was based or the academic records in support of it had been falsified. In such a case, the degree will be withdrawn promptly upon discovery of the falsification. The complete reference to this university policy is available at: <u>https://www.cmu.edu/policies/student-and-student-life/withdrawal-of-a-degree.html</u>.

Registration Policies and Procedures

Course Registration

Course registration is available at the HUB website. Full-time students should be registered for between 36-48 units per semester. Full-time students must request permission from program director to enroll in more than 48 units.

Drop/Add/Withdraw procedures

Students must follow the procedures and deadlines for adding, dropping, or withdrawing from courses as identified on the academic calendar. Information can be found at <u>https://www.cmu.edu/hub/registrar/course-changes/index.html</u> There is a separate calendar for Ph.D. level courses.

Audit Courses and Pass/Fail Courses

Auditing a course is "presence in the classroom without receiving academic credit". To audit a course, a student must register for the course and complete the course audit form (available through the Hub forms page) and submit before the deadline. Audit units count toward the maximum units, but do not count toward the degree requirements.

Pass/No Pass grades are an alternative to course audit as it indicates a greater level of engagement in the course than the audit. Students who wish to receive pass/fail grading must register for the course, obtain the permission of their advisor, and complete and return the <u>Pass/Fail Approval (pdf)</u> form to the University Registrar's Office by the pass/fail deadline for that course. Pass/No Pass grades will not be counted toward completion of MS degree requirements.

Transfer Credit

https://www.cmu.edu/policies/student-and-student-life/masters-student-status.html.

"Carnegie Mellon University offers students the opportunity to take courses for credit through a cross-registration program (see Pittsburgh Council on Higher Education (PCHE) and Cross-registration below) and through the receipt of transfer credit from other accredited institutions. The Carnegie Mellon University transcript will include information on such courses as follows: Carnegie Mellon courses and courses taken through the university's cross-registration program will have grades recorded on the transcript and be factored into the QPA. All other courses will be recorded on this transcript indicating where the course was taken, but without grades. Such courses will not be taken into account for academic actions, honors or QPA calculations."

Suspended students may take courses elsewhere; however, they may receive transfer credit only if their college's and department's policies allow this.

Protocol for evaluation of transfer credit

The Department of Materials Science and Engineering will accept up to 24 units (2 courses) of graduate transfer credit toward the M.S. degree in according to the policies outlined in the College of Engineering website:

https://engineering.cmu.edu/education/academic-policies/graduate-policies/registrationgrading-credit.html#transfer-credit-&-special-students

Only official and final college or university transcripts will be accepted for the awarding of transfer credit. Grade reports, letters and the like are not acceptable. Official transcripts for the awarding of transfer credit will reside in the student's permanent academic folder in the department.

Transfer units will be accepted for:

- Graduate level courses that have not been counted toward a previous degree at another university
- Courses with a 3.0 GPA or higher
- Courses that can be considered part of the M.S. graduate program in the MSE department
- o Grades for transfer units will not be counted in the Carnegie Mellon GPA

*Students must have successfully completed at least 36 units in the graduate program before the units can be transferred.

Policy on Grades for Transfer Units

The Carnegie Mellon University transcript will include information on such courses as follows: Carnegie Mellon courses and courses taken through the university's cross-registration program will have grades recorded on the transcript and be factored into the QPA. All other courses will be recorded on this transcript indicating total units, but without grade. Such courses will not be taken into account for academic actions, honors or QPA calculations.

Additional Requirements

Graduate Seminar

All full-time graduate students must also enroll, attend and actively participate in the Graduate Seminar course, 27-774, each semester in which they are registered as full-time students. The goal of the seminar is to broaden each student's doctoral education through exposure to research performed by other graduate students in the department

and by an international cadre of highly respected external scientists. Students are graded according to the following guidelines:

- 1. The grade for the course is PASS or FAIL.
- To pass, the only requirement is attendance, with no more than two unexcused absences. Unexcused include doctor's appointments, vacations, and any other absences that are not research related. Absences resulting from conference trips, visits to research sponsors, etc. are excused, but the seminar coordinator must be notified.
- 3. Students with more than two unexcused absences are expected to make this up by attending seminars given in other departments or talks by external speakers at the University. Students should send the seminar coordinator the details of the talk before attending for approval.

Course Assistants

All graduate students in the department are expected to act as "course assistants." The duties of course assistants include grading homework, providing assistance to faculty and staff in laboratories and proctoring exams. Graduate students who are in their first or final semester of studies or are part-time are excused from participation in this activity. This activity, when averaged over the entire semester, should require no more than 3 hours per week.

English Language Requirement

In a continuing effort to improve technical and interpersonal communication, it is the policy of the department that all international students, whose first language is not English, should attempt to pass the Intercultural Communications Center's International Teaching Assistant (ITA) test before graduation.

https://www.cmu.edu/icc/language-certification/index.html

Performance Requirements to Maintain Status as a Doctoral Student

Compliance with University policy and standards for research ethics.

All university academic policies, non-academic policies, and community standards are detailed in the student handbook: <u>http://www.studentaffairs.cmu.edu/theword/university/.</u> In addition, students are expected to maintain ethical standards in their research. For reference, see: On being a scientist: Responsible Conduct in Research, which is available online at: <u>http://newton.nap.edu/html/obas/.</u> Violations of University policy or accepted ethical standards may result in immediate withdraw of financial support. To remain in the doctoral program, all students must complete the "Responsible Conduct of Research Certification, which is a web-based ethics training class.

Adequate progress in dissertation research.

Adequate progress is determined by the student's research advisor and committees for the RPE, thesis overview, and final dissertation. The research advisor and Department

Head will notify the student if their progress is judged to be inadequate. If inadequate progress persists for a semester or more after notification, the student is no longer eligible for financial support or participation in the doctoral degree program. Submission of a written semester report.

Each graduate student shall submit a research report each semester by the last day of classes, using the template provided in Appendix B. The advisor will evaluate the report and assign a letter grade, which then becomes the student's research grade for that semester. If the student's research progress is insufficient, according to the expectations of the advisor, then a letter grade no higher than a "C" will be assigned and the advisor will spell out in writing, as part of the research report, which aspects of research the student is expected to improve upon. A "C" grade also signifies department intervention. A student who has received a "C" grade will receive close scrutiny during the next semester's research report evaluation; the student is expected to specifically describe how he/she has addressed any issue(s). Two consecutive semesters with a "C" or below grade automatically imply termination from the graduate degree program.

Completion of Research Performance Evaluation by the end of the third semester. Doctoral students are required to take a Research Performance Evaluation by the end of the third semester. Students who do not complete the exam are not eligible for financial support. If a student fails this evaluation, there may be a possibility for a second attempt before the end of the fourth semester. If the exam has not been passed by the end of the fourth semester, the student is no longer eligible for financial support or participation in the doctoral degree program.

Completion of the thesis overview before the beginning of the seventh semester.

Doctoral students are required to complete their thesis overview before the beginning of the seventh semester. Students who do not complete the overview are not eligible for financial support. If the overview is judged to be inadequate, the candidate has no more than six months to satisfy the committee. If at the end of the six months the committee still has not approved of the overview, the student is no longer eligible for financial support or participation in the doctoral degree program.

Students must have a QPA greater than or equal to 3.0 for the MSE core courses taken in the first semester or have a QPA greater than or equal to 3.0 by the end of the first two semesters in graduate level coursework that includes the MSE core courses, but does not include independent study, supervised reading, or similar courses. The QPA is one of the factors considered in the candidate's first year qualification and annual review.

Academic Integrity

https://www.cmu.edu/policies/student-and-student-life/academic-integrity.html

Students at Carnegie Mellon are engaged in intellectual activity consistent with the highest standards of the academy. The relationship between students and instructors and their shared commitment to overarching standards of respect, honor and transparency determine the integrity of our community of scholars. The actions of our students, faculty and staff are a representation of our university community and of the professional and personal communities that we lead. Therefore, a deep and abiding commitment to academic integrity is fundamental to a Carnegie Mellon education. Honesty and good faith, clarity in the communication of core values, professional conduct of work, mutual trust and respect, and fairness and exemplary behavior represent the expectations for ethical behavior for all members of the Carnegie Mellon community.

Please review the University Policy on Academic Integrity (https://www.cmu.edu/policies/student-and-student-life/academic-integrity.html). The policy includes the University expectations around academic integrity and provides definitions of cheating, plagiarism, and unauthorized assistance.

A review of the University's Academic Disciplinary Actions procedures (https://www.cmu.edu/student-affairs/theword/academic-discipline/index.html) is also recommended. These procedures outline the process for investigating, reporting, and adjudicating violations of the University Policy on Academic Integrity. The procedures also outline the appeal process.

Policy Statement

In any manner of presentation, it is the responsibility of each student to produce her/his own original academic work. Collaboration or assistance on academic work to be graded is not permitted unless explicitly authorized by the course instructor(s). Students may utilize the assistance provided by Academic Development, the Global Communication Center, and the Academic Resource Center (CMU-Q) unless specifically prohibited by the course instructor(s). Any other sources of collaboration or assistance must be specifically authorized by the course instructor(s).

In all academic work to be graded, the citation of all sources is required. When collaboration or assistance is permitted by the course instructor(s) or when a student utilizes the services provided by Academic Development, the Global Communication Center, and the Academic Resource Center (CMU-Q), the acknowledgement of any collaboration or assistance is likewise required. This citation and acknowledgement must be incorporated into the work submitted and not separately or at a later point in time. Failure to do so is dishonest and is subject to disciplinary action.

Instructors have a duty to communicate their expectations including those specific to collaboration, assistance, citation and acknowledgement within each course. Students likewise have a duty to ensure that they understand and abide by the standards that apply in any course or academic activity. In the absence of such understanding, it is the student's responsibility to seek additional information and clarification.

Policy Violations

Cheating occurs when a student avails her/himself of an unfair or disallowed advantage which includes but is not limited to:

- 1. Theft of or unauthorized access to an exam, answer key or other graded work from previous course offerings.
- 2. Use of an alternate, stand-in or proxy during an examination.
- 3. Copying from the examination or work of another person or source.
- 4. Submission or use of falsified data.
- 5. Using false statements to obtain additional time or other accommodation.
- 6. Falsification of academic credentials.

Plagiarism is defined as the use of work or concepts contributed by other individuals without proper attribution or citation. Unique ideas or materials taken from another source for either written or oral use must be fully acknowledged in academic work to be graded. Examples of sources expected to be referenced include but are not limited to:

- 1. Text, either written or spoken, quoted directly or paraphrased.
- 2. Graphic elements.
- 3. Passages of music, existing either as sound or as notation.
- 4. Mathematical proofs.
- 5. Scientific data.
- 6. Concepts or material derived from the work, published or unpublished, of another person.

Unauthorized assistance refers to the use of sources of support that have not been specifically authorized in this policy statement or by the course instructor(s) in the completion of academic work to be graded. Such sources of support may include but are not limited to advice or help provided by another individual, published or unpublished written sources, and electronic sources. Examples of unauthorized assistance include but are not limited to:

- 1. Collaboration on any assignment beyond the standards authorized by this policy statement and the course instructor(s).
- 2. Submission of work completed or edited in whole or in part by another person.
- 3. Supplying or communicating unauthorized information or materials, including graded work and answer keys from previous course offerings, in any way to another student.
- 4. Use of unauthorized information or materials, including graded work and answer keys from previous course offerings.
- 5. Use of unauthorized devices.
- 6. Submission for credit of previously completed graded work in a second course without first obtaining permission from the instructor(s) of the second course. In the case of concurrent courses, permission to submit the same work for credit in two courses must be obtained from the instructors of both courses.

Procedures for dealing with allegations of these policy violations are detailed in the university's Academic Disciplinary Action Procedures for Undergraduate Students and the Academic Disciplinary Action Procedures for Graduate Students, which are published in The WORD student handbook. Periodic review of these procedures will be overseen by the Dean of Student Affairs or her/his designee in consultation with Faculty Senate and the relevant student governing bodies. Any amendments to these procedures are subject to the approval of Faculty Senate. Additional guidelines and procedures for graduate students may exist at the college/department/program level, in which case they are communicated in the college/department/program graduate student handbook

University Policies

Policy Against Sexual Harassment and Sexual Assault

The University prohibits sex-based discrimination, sexual harassment, sexual assault, dating/ domestic violence and stalking. The University also prohibits retaliation against individuals who bring forward such concerns or allegations in good faith.

The University's Sexual Misconduct Policy is available at <u>https://www.cmu.edu/policies/administrative-and-governance/sexual-misconduct/index.html</u>

The University's Policy Against Retaliation is available at https://www.cmu.edu/policies/administrative-and-governance/whistleblower.html

If you have been impacted by any of these issues, you are encouraged to make contact with any of the following resources:

- Office of Title IX Initiatives
 - <u>http://www.cmu.edu/title-ix/</u>, 412-268-7125, tix@cmu.edu
- University Police
 - o https://www.cmu.edu/police/, 412-268-2323

Additional resources and information can be found at: <u>https://www.cmu.edu/title-ix/resources-and-information/index.html</u>

Maternity Accommodation

https://www.cmu.edu/graduate/programs-services/maternity-accommodation-protocol.html

Students whose anticipated delivery date is during the course of the semester may consider taking time away from their coursework and/or research responsibilities. Any student who gives birth to a child while engaged in coursework or research is eligible to take either a short-term absence or formal leave of absence. Students are encouraged to consult with relevant university faculty and staff as soon as possible as they begin making plans regarding time away.

- Students must contact the Office of the Dean of Student Affairs to register for Maternity Accommodations. Students will complete an information form and meet with a member of the Dean's Office staff to determine resources and procedures appropriate for the individual student.
 - Planning for the student's discussion with appropriate academic contact(s) (advisor, associate dean, etc.) may be reviewed during this meeting.
- Students in course work should consider options for the semester of the anticipated birth such as working with their course instructors to receive

incomplete grades, electing to drop to part-time status or taking a full semester leave of absence.

• Students engaged in research must work with their faculty to develop plans for the research for the time they are away and for resuming upon return.

Master's students who receive an academic stipend funded by Carnegie Mellon are eligible to continue to receive stipend funding for up to six (6) weeks during a Short-Term Maternity Accommodation or a Formal Leave of Absence. Continued academic stipend funding may be extended by two (2) weeks, for a total of eight (8) weeks, if an absence longer than six weeks is medically necessary. To receive this support students must be registered with the Office of the Dean of Students.

Consensual Intimate Relationship Policy Regarding Undergraduate Students

https://www.cmu.edu/policies/student-and-student-life/consensual-relationships.html This policy addresses the circumstances in which romantic, sexual or amorous relationships/interactions with undergraduate students, even if consensual, are inappropriate and prohibited. The purpose of this policy is to assure healthy professional relationships. This policy is not intended to discourage consensual intimate relationships unless there is a conflicting professional relationship in which one party has authority over the other as in the policy.

Department Policies

Grading Policy

The general grading policy used by the MSE Department is described on the <u>university</u> <u>grading policy page</u>. The following are college-specific policies for graduate grading.

Project work may be given an S (Satisfactory) grade on a semester-by-semester basis, but a letter grade (A, A-, B+, B, B-, C+, C, C-,D+, D, or R) must be given in the final semester for a multi-semester project. The units with an S grade are counted toward degree requirements but are not included in computing the average grade. http://engineering.cmu.edu/current_students/graduates/policies.html#grading

Process for Appealing Final Grades

https://www.cmu.edu/graduate/policies/appeal-grievance-procedures.html Final grades will be changed only in exceptional circumstances and only with the approval of the instructor and the department, unit or program. Grading is a matter of sound discretion of the instructor and final grades are rarely changed without the consent of the instructor who assigned the grade. The following circumstances are the unusual exceptions that may warrant a grade appeal: (a) the final grade assigned for a course is based on manifest error (e.g. a clear error such as arithmetic error in computing a grade or failure to grade one of the answers on an exam), or (b) the faculty or staff member who assigned the grade did so in violation of a University policy.

Academic Actions

First Year Qualification

At the end of each academic year, the MSE faculty will review the academic and research performance of each first year doctoral student to determine if they are qualified for continued study. The main factors are the candidate's core and two-semester QPAs, and their advisor's assessment of research performance. (*see Appendix B of Ph.D. Student Handbook*) If the candidate passes this review, then he/she will continue as a student in good standing. If the candidate fails the review they will leave the doctoral program prior to the start of the next academic year. This decision is based on faculty consensus.

For additional information on course requirements, view the MSE webpage: <u>https://www.cmu.edu/engineering/materials/graduate/doctor_of_philosophy/index.html</u>

Financial Aid

Doctoral students generally receive financial support for their tuition and stipend from fellowships, traineeships, government grants, graduate assistantships, or scholarships. The vast majority of graduate assistantships that cover stipend and tuition are paid for by competitive external research grants. Hence, continued financial assistance for doctoral students is contingent upon both satisfactory research progress and academic performance.

Graduate Student Time Off Policy

Students with graduate assistantships are expected to continue with their research during academic breaks (including summer months) with the exception of official University holidays*.

*University Holidays:

- New Year's Day
- Martin Luther King Day
- o Memorial Day
- Independence Day
- o Labor Day

- Thanksgiving Day
- o Day After Thanksgiving
- Day Before Christmas
- Christmas Day
- Day Before New Year's Day

A supported graduate student who wants to take a short break (up to ten days) must get approval for that break from his/her advisor and, if required by the terms of the student's support package, must make up the work. Supported graduate students wishing to take longer periods of personal time off must do so without financial support and must receive approval from their advisor at least five weeks prior to the requested time off. The advisor will notify the Department's Business Office of any such arrangements so that an appropriate adjustment in the student's support package can be processed.

*Prior to making any travel plans, students must check with their research advisor regarding any restrictions that might be imposed on travel due to the terms of the grant that is supporting the student.

Stipend Payments

A stipend is not a payment for work performed or a salary. It is a scholarship that is provided by the department to facilitate a graduate student's education. Stipends are only awarded to full-time students. A student receiving a stipend may not work for other remuneration either on or off campus.

There are two types of stipends that can be awarded to a student. One is a taxable stipend known also as a Graduate Research Assistantship; which is subject to federal tax withholding. The second type of stipend is a tuition stipend. A tuition stipend is awarded to a student when the department is paying the tuition charges.

Please note that should you receive any amount of pay greater than or less than your usual taxable stipend, an error has occurred. If you see that this has happened please report it to the MSE Business Office immediately. You must return additional money to the department, without exception and regardless of when the error is caught. Likewise, if you were mistakenly underpaid, then we will fix the problem and pay the amount to you as quickly as possible. Please note that it is your responsibility to verify that you have received the correct amount of stipend each month.

Graduate students who are admitted with support will receive a monthly stipend. This payment is paid semi-monthly. The university runs a paperless payroll system; so all employees and students must sign up for direct deposit in Workday.

Enrollment Verification, Leave of Absence and Withdrawal

Enrollment Services is the only University office that can provide an official letter of enrollment, official transcript and enrollment verification. Enrollment verification can be requested online through The HUB at <u>https://www.cmu.edu/hub/registrar/student-records/verifications/</u>.

University process for Taking & Returning from Leave of Absence: https://www.cmu.edu/hub/registrar/leaves-and-withdrawals/ University Process for withdrawal from program: https://www.cmu.edu/hub/registrar/leaves-and-withdrawals/

Change of Address

The MSE Department encourages students to keep their current local address up-todate in SIO. Students will be held responsible for any failure to receive official college notices due to not having a correct address on file; F-1 students may jeopardize their status if address information is not kept current. Students can change their address using SIO, which is available via the HUB website: <u>http://www.cmu.edu/hub/index.html</u>.

This supports a university initiative to have accurate living information for students for official program/department/college/university notices, the ability to facilitate wellness checks, ensure international students are in compliance with visa requirements, etc.

Departmental Purchases

Each faculty member's administrator is authorized to place the order, but you must provide a complete order form. *Students are not authorized buyers on behalf of the University.* An account number for allocating the purchase to the proper contract can be supplied to you by your advisor. The same order form is used for both PNC Card & Purchase Orders – which you can obtain from your advisor's administrator.

Before presenting the order form to your advisor's administrator, please be sure to complete the "Requestor's Name" line and obtain your advisor's signature on the "Faculty's Signature" line.

Reimbursements

You may be reimbursed for work-related purchases. These should have the approval of your faculty advisor prior to purchase. *Please note: CMU will not reimburse sales tax on any purchases you made even if the purchase was for university related work.* Present the receipt(s) and account number to your faculty advisor's administrator. **Please note that it is university policy to submit a receipt for reimbursement within 30 days of incurring the expense.** *You are strongly recommended to sign up for expense reimbursement direct deposit.*

Department Resources

Graduate Ombudsperson

In the course of graduate studies, situations sometimes arise where students need advice on some aspect of their activities or interactions with others in the department, yet may not feel that it is appropriate to speak with their advisor or another member of the faculty. While close friends and family members are important resources in such situations, it is also true that their scope of experience might not include working toward a doctoral degree in an Engineering School. For this reason, the MSE department relies on a Graduate Ombudsperson. The Ombudsperson is available to consult with students about any situation that affects their work and, where appropriate, offer advice or attempt to resolve a problem. Conversations with the Ombudsperson are confidential and the Ombudsperson will not communicate information to the Department Head or other faculty members without the student's consent.

Dr. Betsy Clark (eac1@andrew.cmu.edu) serves as ombudsperson for graduate students to assist with difficult academic or personal situations where a confidential sounding board and/or an intermediary can be helpful.

Financial Aid

Graduate students should consult the graduate student financial aid information found on The HUB website: <u>http://www.cmu.edu/finaid/graduate/index.html.</u> Students will find the Graduate Financial Aid Guide, information about funding options and how to apply for financial aid and other helpful links.

Graduate students who find themselves in need of immediate funds for emergency situations should contact the Office of the Dean of Student Affairs (see Appendix A) to inquire about an Emergency Student Loan.

Taxes

The deadline for local, state, and federal taxes is April 15. Questions about your tax status should be addressed to the <u>IRS</u> or the <u>Pennsylvania Department of Revenue</u>. Although subject to federal taxes, student stipends are generally not assessed local or state taxes.

Graduate Student Advisory Committee (GSAC)

GSAC consists of a group of students who volunteer to help in coordinating academic and social activities for other students, and provides a mechanism for communication and input to the Department on policy or other matters.

Miscellaneous Items of Interest

Academic Calendar

The Academic Calendar can be found at <u>https://www.cmu.edu/hub/calendar/index.html</u> and provides information on all deadlines including registration dates, class start dates, add/drop deadlines, exam dates and more.

General Office Procedures

Keys

If you are working on a research project, your advisor must request a key for you for each specific lab.

Mail

You are assigned a mailbox at the end of the 3300 corridor of Wean Hall for receiving campus mail. If you have outgoing mail, either campus or regular, the postal clerk picks up the mail at 8:00 am.

Addressing Campus Mail

All campus mail should be addressed with the person's name, department, and building only.

Example: First Name Last Name

Materials Science and Engineering Wean Hall 3325

Carnegie Mellon's Mailing Address

To ensure that mail and/or packages arrive to the department without any problems, you should advise that shippers address the package in the following way:

First Name Last Name Carnegie Mellon University 5000 Forbes Avenue Materials Science and Engineering Department Wean Hall 3325 Pittsburgh, PA 15213

If space does not allow for that many lines - you can omit the department.

Telephone Calls

Campus calls You must dial "8" and only the last four digits of a campus number. Outside calls You must dial "9" when dialing an outside toll-free line.

Copying and Scanning

The department has 2 color copiers/scanners you can use for research related-work in Wean Hall or in Roberts "Take 5" area. These machines perform many functions such as scanning, two-sided copying, collation, reduction and enlargements.

Appendix A Highlighted University Resources for Graduate Students

Key Resources for Graduate Student Support

- Office of Graduate and Postdoc Affairs
- Office of the Dean of Students
- <u>Center for Student Diversity and Inclusion</u>
- Assistance for Individuals with Disabilities
- Eberly Center for Teaching Excellence and Educational Innovation
- Graduate Student Assembly
- Office of International Education
- Veterans and Military Community
- Ethics Reporting Hotline
- Policy Against Retaliation

Key Resources for Academic and Research Support

- Computing and Information Resources
- Student Academic Success Center
- University Libraries
- Research at CMU
- Office of Research Integrity & Compliance

Key Resources for Health, Wellness & Safety

- <u>Counseling and Psychological Services</u>
- University Health Service
- Campus Wellness
- Religious and Spiritual Life Initiatives
- University Police
- Shuttle and Escort Services

Office of Graduate and Postdoc Affairs

www.cmu.edu/graduate; grad-ed@cmu.edu

The Office of Graduate and Postdoc Affairs provides central support for all master's and doctoral students, as well as academic programs, with a focus on supporting graduate student success at Carnegie Mellon.

Examples of resources offered through the Office of Graduate and Postdoc Affairs include- but are not limited to:

- Website with university resources, contact information for CMU programs and services, calendar of events related to graduate students
- Bi-monthly newsletter to all graduate students with information on activities, resources and opportunities
- Professional Development Seminars and Workshops
- GSA/Provost Conference Funding Grants
- GSA/Provost Small Research Grants (GuSH)
- Consultations on issues related to the graduate student experience

The Office of Graduate and Postdoc Affairs also works with the colleges and departments by informing and assisting in developing policy and procedures relevant to graduate students and working with departments on issues related to graduate students. Additionally we partner with many other offices and organizations, such as the Graduate Student Assembly, to support the holistic graduate student educational experience.

Office of the Dean of Students <u>https://www.cmu.edu/student-affairs/dean</u>

The Office of the Dean of Students provides central leadership of the metacurricular experience at Carnegie Mellon including the coordination of student support. Vice President of Student Affairs and Dean of Students Gina Casalegno leads the Division of Student Affairs which includes the offices and departments listed below (not an exhaustive list).

Graduate students will find the enrollment information for <u>Domestic Partner</u> <u>Registration and Maternity Accommodations</u> in the Office of the Dean of Students or on their <u>website</u>. This Office also manages the <u>Student Emergency Support Funding</u> process. There are three forms of support funding for enrolled students: emergency student loans, maternity loans, and the Tartan Emergency Support Fund. These funds are made available through generous gifts of alumni and friends of the university as well as support from student organizations, Undergraduate Student Senate and the Graduate Student Assembly. Students will be provided with additional information about the various types of funding during a consultation meeting with a member of the Dean of Students team. Tuition costs are not eligible for Student Emergency Support Funding. Additional resources for graduate students include <u>College Liaisons</u> and the <u>Student</u> <u>Support Resources</u> team. <u>College Liaisons</u> are senior members of the Division of Student Affairs who work with departments and colleges addressing student concerns across a wide range of issues. College Liaisons are identified on the student SIO page in the Important Contacts list. The Student Support Resources team offers an additional level of support for students who are navigating any of a wide range of life events. <u>Student Support Resources</u> staff members work in partnership with campus and community resources to provide coordination of care and support appropriate to each student's situation.

The Division of Student Affairs includes (not an exhaustive list):

- <u>Athletics, Physical Education and Recreation</u>
- Career and Professional Development Center (CPDC)
- Center for Student Diversity and Inclusion
- Cohon University Center
- Counseling & Psychological Services (CaPS)
- Dining Services
- Office of Community Standards and Integrity (OCSI)
- Office of Student Leadership, Involvement, and Civic Engagement (SLICE)
- <u>University Health Services (UHS)</u>
- Wellness Initiatives

Center for Student Diversity & Inclusion

https://www.cmu.edu/student-diversity/

Diversity and inclusion have a singular place among the values of Carnegie Mellon University. The Center for Student Diversity & Inclusion actively cultivates a strong, diverse and inclusive community capable of living out these values and advancing research, creativity, learning and development that changes the world.

The Center offers resources to enhance an inclusive and transformative student experience in dimensions such as access, success, campus climate and intergroup dialogue. Additionally, the Center supports and connects historically underrepresented students and those who are first in their family to attend college in a setting where students' differences and talents are appreciated and reinforced, both at the graduate and undergraduate level. Initiatives coordinated by the Center include, but are not limited to:

- First generation/first in family to attend college programs
- LGBTQ+ Initiatives
- Race and ethnically-focused programs, including Inter-University Graduate Students of Color Series (SOC) and PhD SOC Network
- Women's empowerment programs, including Graduate Women's Gatherings (GWGs)
- Transgender and non-binary student programs

Assistance for Individuals with Disabilities

http://www.cmu.edu/disability-resources/

The Office of Disability Resources at Carnegie Mellon University has a continued mission to provide physical, digital, and programmatic access to ensure that students with disabilities have equal access to their educational experience. We work to ensure that qualified individuals receive reasonable accommodations as guaranteed by the Americans with Disabilities Act (ADA) and Section 504 of the Rehabilitation Act of 1973. Students who would like to receive accommodations can begin the process through <u>Disability Resources' secure online portal</u> or email <u>access@andrew.cmu.edu</u> to begin the interactive accommodation process.

Students with physical, sensory, cognitive, or emotional disabilities are encouraged to self-identify with the Office of Disability Resources and request needed accommodations. Any questions about the process can be directed to <u>access@andrew.cmu.edu</u>, or call (412) 268-6121.

Eberly Center for Teaching Excellence & Educational Innovation www.cmu.edu/teaching

We offer a wide variety of confidential, consultation services and professional development programs to support graduate students as teaching assistants or instructors of record during their time at Carnegie Mellon University and as future faculty members at other institutions. Regardless of one's current or future teaching context and duties, our goal is to disseminate evidence-based teaching strategies in ways that are accessible and actionable. Programs and services include campus-wide Graduate Student Instructor Orientation events and our Future Faculty Program, both of which are designed to help participants be effective and efficient in their teaching roles. The Eberly Center also assists departments in creating and conducting customized programs to meet the specific needs of their graduate student instructors. Specific information about Eberly Center support for graduate students is found at www.cmu.edu/teaching/graduatestudentsupport/index.html.

Graduate Student Assembly

www.cmu.edu/stugov/gsa/index.html

The Graduate Student Assembly (GSA) is the branch of Carnegie Mellon Student Government that represents, and advocates for the diverse interests of all graduate students at CMU. GSA is composed of representatives from the different graduate programs and departments who want to improve the graduate student experience at the different levels of the university. GSA is funded by the Student Activities Fee from all graduate students. GSA passes legislation, allocates student activities funding, advocates for legislative action locally and in Washington D.C. on behalf of graduate student issues and needs, and otherwise acts on behalf of all graduate student interests. Our recent accomplishments are a testament to GSA making a difference, and steps to implementing the vision laid out by the strategic plan. https://www.cmu.edu/stugov/gsa/About-the-GSA/Strategic-Plan.html. GSA offers an expanding suite of social programming on and off-campus to bring graduate students from different departments together and build a sense of community. GSA is the host of the Graduate Student Lounge on the 3rd floor of the Cohon University Center- a great place to study or meet up with friends. GSA also maintains a website of graduate student resources on and off-campus. Through GSA's continued funding for professional development and research conferences, the GSA/Provost Conference Funding Program and GSA/Provost GuSH Research Grants are able to run, as managed by the Graduate Education Office. As we move forward, GSA will continue to rely on your feedback to improve the graduate student experience at CMU. Feel free to contact us at <<u>gsa@cmu.edu</u>> to get involved, stop by our office in the Cohon University Center Room 304 or become a representative for your department.

Office of International Education (OIE)

http://www.cmu.edu/oie/

Carnegie Mellon hosts international graduate and undergraduate students who come from more than 90 countries. The Office of International Education (OIE) is the liaison to the University for all non-immigrant students and scholars, as well the repository for study abroad opportunities and advisement. OIE provides many services including: advising on personal, immigration, study abroad, academic, and social and acculturation issues; presenting programs of interest such as international career workshops, tax workshops, and cross-cultural and immigration workshops; international education and statistics on international students in the United States; posting pertinent information to students through email and the OIE website, and conducting orientation and pre-departure programs.

Veterans and Military Community

http://www.cmu.edu/veterans/

Military veterans are a vital part of the Carnegie Mellon University community. Graduate students can find information on applying for veteran education benefits, campus services, veteran's groups at CMU, and non-educational resources through the Veterans and Military Community website. There are also links and connections to veteran resource in the Pittsburgh community. The ROTC and Veteran Affairs Coordinator can be reached at <u>uro-vaedbenefits@andrew.cmu.edu</u> or 412-268-8747.

Carnegie Mellon Ethics Hotline

https://www.cmu.edu/hr/resources/ethics-hotline.html

The health, safety and well-being of the university community are top priorities at Carnegie Mellon University. CMU provides a hotline that all members of the university community should use to confidentially report suspected unethical activity relating to areas below:

Academic and Student Life

- Bias Reporting
- Environmental Health and Safety
- Financial Matters
- High-Risk Incident
- Human Resource Related
- Information Systems
- Research
- Threat of Business Interruption
- Threat of Violence or Physical Harm
- Title IX

Students, faculty and staff can anonymously file a report by calling 877-700-7050 or visiting <u>www.reportit.net(user name: tartans; password: plaid)</u>. All submissions are reported to appropriate university personnel.

The hotline is NOT an emergency service. For emergencies, call University Police at 412-268-2323.

Policy Against Retaliation

It is the policy of Carnegie Mellon University to protect from retaliation any individual who makes a good faith report of a suspected violation of any applicable law or regulation, university Policy or procedure, any contractual obligation of the university, and any report made pursuant to the Carnegie Mellon University Code of Business Ethics and Conduct.

Additional details regarding the Policy Against Retaliation are available at <u>https://www.cmu.edu/policies/administrative-and-governance/whistleblower.html</u>

Key Offices for Academic & Research Support

Computing and Information Resources

www.cmu.edu/computing

Computing Services maintains and supports computing resources for the campus community, including the campus wired and wireless networks, printing, computer labs, file storage, email and software catalog. As members of this community, we are all responsible for the security of these shared resources. Be sure to review the Safe Computing (<u>https://www.cmu.edu/computing/safe/</u>) section and the University Computing Policy (<u>https://www.cmu.edu/policies/information-technology/computing.html</u>)

Visit the Computing Services website (<u>https://www.cmu.edu/computing/</u>) to learn more. For assistance the Computing Services Help Center is available at 412-268-4357 (HELP) or <u>it-help@cmu.edu</u>.

Student Academic Success Center

https://www.cmu.edu/student-success/ Student Academic Support Programs

Tartan Scholars

- The Tartan Scholars program was created to provide support for limited resourced students through an intentional first year undergraduate experience with the goals of enhancing the cohort's skill and community building through a lens of self -authorship, growth mindset, and a sense of belonging. As part of the Student Academic Success Center, Tartan Scholars are invited to join the University and participate in summer initiatives and pre-orientation activities prior to their first year at the University.
- There are opportunities for graduate students to serve as accountability, learning, or development partners, workshop facilitators, and presenters. Contact Diane Hightower at <u>ddhighto@andrew.cmu.edu</u> for more details.

Learning Support

- **Supplemental Instruction:** Supplemental Instruction (SI) is an academic support model that utilizes peer-assisted study sessions. The SI program provides regularly scheduled review sessions on course materials outside the classroom. SI is a non-remedial approach to learning as the program targets high-risk courses and is available in select courses based on data related to past student performance and feasibility.
- **Peer Tutoring:** Weekly Tutoring Appointments are offered in a one-on-one and small group format to students from any discipline who need assistance with a course that may not be supported by our other services. Weekly appointments give students the opportunity to interact regularly with the same tutor to facilitate deeper understanding of concepts. Students can register online through the Student Academic Success website.
- Academic Coaching: Academic Coaching provides holistic one-on-one peer support and group workshops to help students find and implement their conditions for success. We assist students in improving time management, productive habits, organization, stress management, and study skills. Students will request support through the Academic Success Center website and attend in-person meetings or meet using video and audio conferencing technology to provide all students with support.
- "Just in Time" Workshops: The Student Academic Success team is available to partner with instructors and departments to identify skills or concepts that would benefit from supplemental offerings (workshops, boot camps) to support students' academic success and learning. We are eager to help convene and coordinate outside of the classroom skill-building opportunities that can be open to any student interested in building skill or reinforcing course concept mastery.
- **Study Partners:** Support for students to create and benefit from their own study groups: The Student Academic Success team assists students in forming and benefiting from peer study groups, whereby all students can reap the benefits of

peer-to-peer learning, student agency, and collaboration skill development. Staff from the Student Academic Success Center will be made available to instructors and students to assist with the formation of peer-led study groups. This level of support is open to any course where the instructor requests or agrees such support is appropriate and students are interested in both leading and participating.

Language and Cross-cultural Support

More than 60% of graduate students at Carnegie Mellon are international students, and others are nonnative speakers of English who have attended high school or undergraduate programs in the US. Many of these students want to hone their language and cross-cultural skills for academic and professional success. Students can choose from sessions on

- how to give a strong presentation,
- writing academic emails,
- expectations and strategies for clear academic writing,
- how to talk about yourself as a professional in the U.S.,
- developing clearer pronunciation,
- using accurate grammar,
- building fluency, and more.
- Students can make an appointment with a Language Development Specialist to get individualized coaching on language or cross-cultural issues.

The Student Academic Success Center is also charged with certifying the language of International Teaching Assistants (ITAs), ensuring that nonnative English speakers have the language proficiency needed to succeed as teaching assistants in the Carnegie Mellon classroom. Students preparing to do an ITA Certification should plan to take classes offered by the language support team at the SASC from the beginning of their first semester. Start by contacting the language support team at the SASC website or attend a Language Support Orientation at the SASC or in your department.

University Libraries

www.library.cmu.edu

The University Libraries offers a wide range of information resources and services supporting graduate students in course-work, research, teaching, and publishing. The library licenses and purchases books, journals, media and other needed materials in various formats. Library liaisons, consultants and information specialists provide indepth and professional assistance and advice in all-things information - including locating and obtaining specific resources, providing specialized research support, advanced training in the use and management of data. Sign up for workshops and hands-on topic-specific sessions such as data visualization with Tableau, cleaning data with OpenRefine, and getting started with Zotero. Weekly drop-in hours for Digital Humanities and for Research Data Research Management are scheduled during the academic year. Start at the library home page to find the books, journals and databases you need; to identify and reach out to the library liaison in your field; to sign
up for scheduled workshops; and to connect with consultants in scholarly publishing, research data management, and digital humanities.

Research at CMU

www.cmu.edu/research/index.shtml

The primary purpose of research at the university is the advancement of knowledge in all fields in which the university is active. Research is regarded as one of the university's major contributions to society and as an essential element in education, particularly at the graduate level and in faculty development. Research activities are governed by several university policies. Guidance and more general information is found by visiting the Research at Carnegie Mellon website.

Office of Research Integrity & Compliance

www.cmu.edu/research-compliance/index.html

The Office of Research Integrity & Compliance (ORIC) is designed to support research at Carnegie Mellon University. The staff work with researchers to ensure research is conducted with integrity and in accordance with federal and Pennsylvania regulation. ORIC assists researchers with human subject research, conflicts of interest, responsible conduct of research, export controls, and institutional animal care & use. ORIC also provides consultation, advice, and review of allegations of research misconduct.

Key Offices for Health, Wellness & Safety

Counseling & Psychological Services

https://www.cmu.edu/counseling/

Counseling & Psychological Services (CaPS) affords the opportunity for students to talk privately about academic and personal concerns in a safe, confidential setting. An initial consultation at CaPS can help clarify the nature of the concern, provide immediate support, and explore further options if needed. These may include a referral for counseling within CaPS, to another resource at Carnegie Mellon, or to another resource within the larger Pittsburgh community. CaPS also provides workshops and group sessions on mental health related topics specifically for graduate students on campus. CaPS services are provided at no cost. Appointments can be made in person, or by telephone at 412-268-2922.

Health Services

www.cmu.edu/HealthServices/

University Health Services (UHS) is staffed by physicians, advanced practice clinicians and registered nurses who provide general medical care, allergy injections, first aid, gynecological care and contraception as well as on-site pharmaceuticals. The CMU Student Insurance Plan covers most visit fees to see the physicians and advanced practice clinicians & nurse visits. Fees for prescription medications, laboratory tests, diagnostic procedures and referral to the emergency room or specialists are the student's responsibility and students should review the UHS website and their insurance plan for detailed information about the university health insurance requirement and fees.

UHS also has a registered dietician and health promotion specialists on staff to assist students in addressing nutrition, drug and alcohol and other healthy lifestyle issues. In addition to providing direct health care, UHS administers the Student Health Insurance Program. The Student Health Insurance plan offers a high level of coverage in a wide network of health care providers and hospitals. Appointments can be made by visiting UHS's website, walk-in, or by telephone, 412-268-2157.

Campus Wellness

https://www.cmu.edu/wellness/

At Carnegie Mellon, we believe our individual and collective well-being is rooted in healthy connections to each other and to campus resources. The university provides a wide variety of wellness, mindfulness and connectedness initiatives and resources designed to help students thrive inside and outside the classroom. The BeWell@CMU e-newsletter seeks to be a comprehensive resource for CMU regarding all wellness-inspired events, announcements and professional and personal development opportunities. Sign up for the Be Well monthly newsletter via <u>https://bit.ly/BeWellNewsletter</u> or by contacting the Program Director for Student Affairs Wellness Initiatives, at <u>alusk@andrew.cmu.edu</u>.

Religious and Spiritual Life Initiatives (RSLI)

www.cmu.edu/student-affairs/spirituality

Carnegie Mellon is committed to the holistic growth of our students, including creating opportunities for spiritual and religious practice and exploration. We have relationships with local houses of worship from various traditions and many of these groups are members of CMU's Council of Religious Advisors. We also offer programs and initiatives that cross traditional religious boundaries in order to increase knowledge of and appreciation for the full diversity of the worldview traditions. Our RSLI staff are here to support students across the spectrum of religious and spiritual practice and would be more than happy to help you make a connection into a community of faith during your time at CMU.

University Police

http://www.cmu.edu/police/

412-268-2323 (emergency only), 412-268-6232 (non-emergency)

The University Police Department is located at 300 South Craig Street (entrance is on Filmore Street). The department's services include police patrols and call response, criminal investigations, fixed officer and foot officer patrols, event security, and crime prevention and education programming as well as bicycle and laptop registration. Visit the department's website for additional information about the staff, emergency phone locations, crime prevention, lost and found, fingerprint services, and annual statistic reports.

Carnegie Mellon University publishes an annual campus security and fire safety report describing the university's security, alcohol and drug, sexual assault, and fire safety policies and containing statistics about the number and type of crimes committed on the campus and the number and cause of fires in campus residence facilities during the preceding three years. Graduate students can obtain a copy by contacting the University Police Department at 412-268-6232. The annual security and fire safety report is also available online at

https://www.cmu.edu/police/annualreports/.

Shuttle and Escort Services

Parking and Transportation coordinates the Shuttle Service and Escort Service provided for CMU students, faculty, and community. The <u>Shuttle & Escort website</u> has full information about these services, stops, routes, tracking and schedules.

Appendix B: First Year Qualification Checklist

DATE:

The following checklist is to be used as a tool during the faculty discussion of individual graduate student qualifications, nominally during the May faculty meeting. This document is to be signed by the MSE department head and will be added to the student's file. Please circle the appropriate option for each statement.

 Academic Performance (a) Does the candidate have a core QPA of at least 3.0? (b) [if answer to question 1.a is NO] Does the candidate have a two-semester QPA of at least 3.0? (c) [if answer to question 1.b is NO] The candidate's academic performance must be discussed by the faculty. 	YES / NO YES / NO
All the candidate's grades should be made available for this discussion.	
 2. Research Performance (a) Does the advisor feel that the candidate has performed satisfactorily? (b) [if answer to question 2.a is NO] The candidate's research performance must be discussed by the faculty. The candidate's Annual Report should be made available for this discussion. 	YES / NO
3. Summary of discussions:	
(a) Is the candidate's academic performance sufficient?	YES / NO
(b) Is the candidate's research performance sufficient?	YES / NO
If the outcomes from items 3a/3b are: • YES/YES → automatic pass; no further discussion needed.	

• NO/NO \rightarrow automatic fail; no further discussion needed.

• YES/NO or NO/YES \rightarrow further discussion needed, followed by a final majority vote.

Result of final vote: PASS/FAIL

Department Head Signature:

Appendix C: Student Report

Instructions for preparing this report

1. With the exception of 2.B. and 2.C., all sections of the report are intended to be cumulative.

2. Any table for which you have nothing to enter **may be deleted** from your report. However, please leave the section heading in place.

3. You can add additional rows to any table by placing the cursor at the far right of the table, just outside of the box, and hitting return.

4. Feel free to make copies of tables so as to span pages and maintain headings

5. Feel free to add/delete page breaks (if you view document in "normal mode", you can select and delete page breaks).

6. Delete these and other instructions in italic

7. Have your advisor enter a research grade for this semester (section 8), provide (optional) feedback, and sign the report on the final page

8. Submit the completed **signed** report to Suzanne Smith with a copy to your advisor. The deadline for submission is the last day of classes for each semester.

Name	
Advisor	
Date of doctoral enrollment	
Tentative dissertation title	
Dissertation committee members	

1.A. Classes Completed

Course	Units	Grade	Course Title	Semester
Number				
Total Units =				

1.B. Research Completed

Course	Units	Grade	Course Title	Semester
Number				
27-775				
27-775				
27-775				
27-775				
27-775				
27-775				
27-775				
27-775				
27-775				

Total Units =

2.A. Dissertation Research

Dissertation Milestone	Date completed or scheduled
Research Performance Evaluation	
Thesis overview	
Final Defense	

2.B. Research Narrative

(briefly describe, in less than 300 words, research highlights from the just completed semester)

2.C. Research Plan

(Briefly describe, in less than 300 words, technical goals you expect to complete by the end of next semester. State your main hypothesis, materials, and techniques. List planned papers, conference presentations, etc.)

3.A. Technical Meetings Attended

Name of Meeting	Location	Date

3.B. Talks and Posters

Title of Talk/Poster	Meeting or Event	Location	Date

3.C. Publications (list the citation information for all papers that have been submitted, are in press, or have already been published)

4.A. List classes for which you were a course assistant

Course Number	Instructor	Course Title	Semester

4.B. Undergraduate Projects Supervised

Student	Project title	Dates

5. Participation on Committees

Committee Name	Your Duties (chair, member, etc.)	Dates

6. Awards (list any awards you have won while at CMU)

7. Other (anything else you wish to report)

On this page, your advisor will enter your semester research grade along with an (optional) explanation, if you have a passing grade. If your research grade is C or lower (i.e., a failing grade), your advisor will provide a detailed explanation of the issues that you need to correct by the following semester (in that case, see also item 9).

8. Semester Research Grade

Semester:

Research Letter Grade:

Advisor Feedback (optional):

If your previous semester research grade was a failing grade, then you must respond here and describe what measures you have taken to correct the issues raised by your advisor.

9. Response to Advisor Feedback from Previous Semester

Advisor's Name

Advisory's Signature and date*

Appendix D: RPE Committee Checklist and Evaluation Instructions

RPE DATE:

The following checklist is to be used by all committee members as a tool before and during the RPE. Please circle YES or NO as appropriate for each statement.

1. Did the candidate prepare a written document of not more than 15 pages?	YES / NO
2. Did you receive the document at least 10 days prior to the evaluation?	YES / NO
Did the candidate demonstrate:	
1. a fundamental understanding of research goals of the project,	YES / NO
2. a knowledge of background literature related to the project,	YES / NO
3. an understanding of the research tools that are used to accomplish project goals,	YES / NO
4. a hypothesis for the experiments performed to date and for the immediate future,	YES / NO
5. an ability to develop a research plan,	YES / NO
6. an ability to produce and analyze their own research results,	YES / NO
7. an ability to integrate materials fundamentals that are relevant to the project.	YES / NO

The candidate is asked to give an oral presentation of less than 30 minutes related to his/her research project. Following this presentation and the question session, the candidate will be asked to leave the room, and the committee grades the exam as usual (show of hands, 1 through 10, no fives).

1. In the case of a failing grade, the relevant failed characteristics must be identified in the written committee report.

2. In the case of a passing grade, but with one or more NO entries circled above, the committee must discuss the seriousness of the failed characteristic.

After committee discussion, the candidate will receive immediate oral feedback on both the strengths and the weaknesses in all seven areas, as well as any other relevant feedback. The results of the RPE (pass/fail) are to be passed on to the department head immediately following the examination. A written summary of the RPE, including all evaluation sheets, will be provided to the department head within two weeks after the examination.

Appendix E: Thesis Overview Feedback Form

Department of Materials Science & Engineering Carnegie Mellon University

Thesis Overview Feedback Form

(completed by each dissertation committee member)

Doctoral Candidate:

Advisor(s):

Dissertation Committee Member:

This Feedback form: (1) enables the committee to alert the thesis advisor and Ph.D. candidate of potential problems well before the defense, (2) assists the committee members in recognizing common and diverging opinions on the overview strengths/weaknesses, and (3) serves as a useful record of each committee member's opinions regarding the thesis overview.

1. Is the candidate able to place their research in the context of the background literature and defend how their research represents (will represent) an advancement of the state of knowledge in the field?

2. Has a clear hypothesis (or clear hypotheses) guided the production and analysis of publishable research results?

3. Is the path to reach the stated goals of the thesis clear and has the candidate mastered the skills required to complete the research; questions of feasibility should be largely absent?

4. Are the scope of the research, analysis, and integration deemed appropriate by the committee to form an acceptable Ph.D. dissertation?

5. Is there clear evidence that at least one publication (based on the research presented in the Overview document) is "in the works"?

[You may continue comments on the back or on another sheet]

Appendix F: Thesis Overview Feedback Form (Advisor)

Department of Materials Science & Engineering Carnegie Mellon University

Thesis Overview Feedback Form

(to be completed by the thesis advisor, kept in the student's file, and submitted with the dissertation to each committee member prior to the thesis defense)

Doctoral Candidate:	
Advisor(s):	
Committee Members:	

This Feedback Summary: (1) enables the thesis advisor and Ph.D. candidate to avoid potential problems before the defense, (2) assists the committee members in their final evaluation of the dissertation prior to the thesis defense, and (3) serves as a record of the general recommendations of the committee following the thesis overview

Mandatory changes:

Optional changes:

[You may continue comments on the back or on another sheet]

Appendix G: RPE Formatting Instructions

The RPE document (in PDF format) shall be no more than 15 pages long, including figures, tables, and captions, but excluding a title page (single page) and the citations. An abstract, if present, must be located on the title page. A Table of Contents is not necessary.

Font and font size:

Arial (not Arial Narrow), Courier New, or Palatino Linotype at a font size of 10 points or larger; or Times New Roman at a font size of 11 points or larger; or

Computer Modern family of fonts (TeX/LaTeX) at a font size of 11 points or larger. Font size for equations, symbols, and table/figure captions must be at least 10 point

Page formatting:

Paper size must be standard letter size (8.5x11)

No more than six lines of text within a vertical space of one inch

Margins, in all directions, must be at least one inch; no text or other markings (with the exception of mandatory page numbers, excluding the title page) may be placed in the margins All text, figures, tables, and captions must fit within the margins.

Template .docx and .tex files are available from links on the MSE web site in the section describing the Research Performance Evaluation.

Appendix H: Ph.D. Summer Internship Plan **Carnegie Mellon University** Materials Science & Engineering **Doctoral Program** Summer Internship Plan Student Name Degree Program Organization Providing Internship Organization Address Supervisor Information Name _____ Title Phone _____ Email **Period of Assignment Begin Date** End Date Internship Title Website Listing Internship Description Approval Date Student Signature Academic Advisor Signature

Appendix I: Ph.D. Summer Internship Report

Carnegie Mellon University

Materials Science & Engineering

Doctoral Program Summer Internship Report

This form is to be accompanied by a 1-2 page summary report of the internship experience.

Student Name		
Degree Program		
Organization Providing Internship		
Organization Address		
-		
Supervisor Information		
Name		
Title		
Phone		
Email		
Period of Assignment:		
Begin Date	End Date	
Approval		Date
Student Signature		
Academic Advisor Signature		
Research Advisor Signature		