Behavioral and neural identification of birdsong under several masking conditions

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1 Introduction

Many animals are adept at identifying communication calls in the presence of competing sounds, from human listeners communicating in a cocktail party to penguins locating their kin amongst the thousands of conspecifics in their colony.

The kind of perceptual interference in such settings differs from the interference arising when targets and maskers have dissimilar spectrotemporal structure (e.g., a speech target in broadband noise). In the latter case, performance is well modeled by accounting for the target-masker spectrotemporal overlap and any low-level binaural processing benefits that may occur for spatially separated sources (Zurek 1993). However, when the target and maskers are similar (e.g., a target talker in competing speech), a fundamentally different form of perceptual interference arises. In such cases, interference is reduced when target and masker are dissimilar (e.g., in timbre, pitch, perceived location, etc.), presumably by enabling a listener to focus attention on target attributes that differentiate it from the masker (Darwin and Hukin 2000; Freyman, Balakrishnan and Helfer 2001).

We investigated the interference caused by different maskers when identifying bird songs. Using identical stimuli, three studies compare (a) human performance, (b) avian performance, and (c) neural coding in the avian auditory forebrain. Results show that the interference caused by maskers with spectrotemporal structure similar to the target differs from that caused by dissimilar maskers.

2 Common stimuli

Targets were songs from five male zebra finches (five tokens from each bird). Three maskers were used that had identical long-term spectral content but different short-term statistics (see Fig. 1): 1) song-shaped *noise* (steady-state noise with

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spectral content matching the bird songs), 2) modulated noise (songshaped noise multiplied by the envelope of a chorus), and 3) chorus (random combinations of three unfamiliar birdsongs).

These maskers were chosen to elicit different forms of interference. Although the *noise* is qualitatively different from the targets, its energy is spread evenly through time and frequency so that its spectrotemporal content



Fig. 1. Example spectrograms of a target birdsong and one of each of the three types of maskers

overlaps all target features. The *chorus* is made up of birdsong syllables that are statistically identical to target song syllables; however, the *chorus* is relatively sparse in time-frequency. The *modulated noise* falls between the other maskers, with gross temporal structure like the *chorus* but dissimilar spectral structure.

Past studies demonstrate that differences in masker statistics cause different forms of perceptual interference. A convenient method for differentiating the forms of interference present in a task is to test performance for co-located and spatially separated target and maskers. We recently examined spatial unmasking in human listeners for tasks involving the discrimination of bird song targets in the presence of the maskers described above (Best, Ozmeral, Gallun, Sen and Shinn-Cunningham 2005). Results show that spatial unmasking in the *noise* and *modulated noise* conditions is fully explained by acoustic better-ear effects. However, spatial separation of target and *chorus* yields nearly 15 dB of additional improvement beyond any acoustic better-ear effects, presumably because differences in perceived location allows listeners to focus attention on the target syllables and reduce central confusions between target and masker. Here we describe extensions to this work, measuring behavioral and neural discrimination performance in zebra finches when target and maskers are co-located.

3 Human and avian psychophysics

Five human listeners were trained to identify the songs of five zebra finches with 100% accuracy in quiet, and then asked to classify songs embedded in the three maskers for target-to-masker energy ratios (TMRs) between -40 and +8 dB. Details can be found in Best et al. (2005).

Four zebra finches were trained using operant conditioning procedures to peck a left (or right) key when presented with a song from a particular individual bird. For symmetry, songs from six zebra finches were used as targets, so that avian subjects performed a categorization task in which they pecked left for three of the songs and right for the remaining three (with the category groupings randomly chosen for each subject). Subjects were trained on this categorization task in quiet until performance reached asymptote (about 85-90% correct after 30-35 100-trial training sessions). Following training, the birds were tested with all three maskers on the target classification task at TMRs from -48 to +60 dB.

Fig. 2 shows psychometric functions (percent correct as a function of TMR) for the human and avian subjects (left and middle panels, respectively; the right panel shows neural data, discussed in Section 4). At the highest TMRs, both human and avian performance reach asymptote near the accuracy obtained during training with targets in quiet (100% for humans, 90% for birds). More importantly, results show that human performance is above chance for TMRs above -16 dB, but avian performance does not exceed chance until the TMR is near 0 dB. On this task, humans generally perform better than their avian counterparts. This difference in absolute performance levels could be due to a number of factors, including differences between the two species' spectral and temporal sensitivity (Dooling, Lohr and Dent 2000) and differences in the *a priori* knowledge available (e.g., human listeners knew explicitly that a masker was present on every trial).

Comparison of the psychometric functions for the three different maskers reveals another interesting difference between the human and avian listeners. At any given TMR, human performance is poorest for the *chorus*, whereas the avian listeners show very similar levels of performance for all three maskers. In the previous study (Best, et al. 2005) poor performance with the *chorus* masker was attributed to difficulties in segregating the spectrotemporally similar target and masker. Consistent with this, performance improved dramatically with spatial separation of target and *chorus* masker (but not for the two kinds of noise masker). The fact that the birds did not exhibit poorer performance with the *chorus* masker than the two noise maskers in the co-located condition may reflect the birds' better spectrotemporal resolution (Dooling, et al. 2000), which enable them to segregate mixtures of rapidly fluctuating zebra finch songs more easily than humans do.

For humans, differences in the forms of masker interference were best demonstrated by differences in how spatial separation of target and masker affected performance for the *chorus* compared to the two noise maskers. Preliminary results from zebra finches suggest that spatial separation of targets and maskers also improves avian performance, but we do not yet know whether the size of this improvement varies with the type of masker as it does in humans.

4 Avian neurophysiology

Extracellular recordings were made from 36 neural sites (single units and small clusters) in Field L of the zebra finch forebrain (n=7) using standard techniques (Sen, Theunissen and Doupe 2001). Neural responses were measured for "clean" targets (presented in quiet), the three maskers (each presented in quiet), and targets

embedded in the three maskers. In the latter case, the TMR was varied (by varying the intensity of the target) between -10 dB and +10 dB.



Fig. 2. Mean classification performance as a function of TMR in the presence of the three maskers for humans, zebra finches, and Field L neurons. Each panel is scaled vertically to cover the range from chance to perfect performance (also note different TMR ranges).

The ability of sites to encode target song identity was evaluated. Responses to clean targets were compared to the spike trains elicited by targets embedded in the maskers. A spike-distance metric that takes into account both the number and timing of spikes (van Rossum 2001; Narayan, Grana and Sen 2006) was used to compare responses to targets embedded in maskers to each of the clean target responses. Each masked response was classified into a target song category by selecting the target whose "clean" response was closest to the observed response. Percent-correct performance in this one-in-five classification task (comparable to the human task) was computed for each recording site, with the temporal resolution of the distance metric set to give optimal classification performance.

The recorded spike trains were examined for *additions* and *deletions* of spikes (relative to the response to the target in quiet) by measuring firing rates *within* and *between* target song syllables. Each target song was temporally hand-labeled to mark times with significant energy (*within* syllable) and temporal gaps (*between* syllable). The average firing rates in the clean and masked responses of each site were then calculated separately for the *within* and *between* syllable portions of the spike-train responses. In order to account for the neural transmission time to Field L, the hand-labeled classifications of the acoustic waveforms were delayed by 10 ms to better align them with the neural responses.

The across-site average of percent-correct performance is shown in Fig. 2 (right panel) as a function of TMR for each of the three maskers. In general, as suggested by the mean data, single-site classification performance improves with increasing TMR for all sites, but did not reach the level of accuracy possible with clean responses, even at the largest TMR tested (+10 dB TMR; rightmost data point). Strikingly, performance with the *chorus* was better than with either noise masker. This implies that, for the single-site neural representation in Field L, the spike trains in response to a target embedded in a *chorus* are most similar (in a spike-distance-metric sense) to the responses to the clean targets. The fact that zebra

finch behavioral data are similar for *chorus* and noise maskers suggests that the main interference caused by the *chorus* arises at a more central stage of neural coding (e.g., due to difficulties in segregating the target from the *chorus* masker).

As in the human and avian psychophysical results, overall percent correct performance for a given masker does not give direct insight into how each masker degrades performance. Such questions can only be addressed by determining whether the form of neural interference varies with masker type. We hypothesized that maskers could 1) suppress information-carrying spikes by acoustically masking the target content (causing spike *deletions*), and/or 2) generate spurious spikes in response to masker energy at times that the target alone would not produce spikes (causing spike *additions*). Furthermore, we hypothesized that the 1) spectrotemporally dense *noise* would primarily cause *deletions*, particularly at low TMRs, because previous data indicate that constant noise stimuli typically suppress sustained responses and the noise completely overlaps any target features in time/frequency; 2) temporally sparse modulated noise would primarily cause additions, as the broadband temporal onsets in the modulated noise were likely to elicit spikes whenever they occurred; and 3) the spectrotemporally sparse *chorus* was also likely to cause *additions*, but fewer than the *modulated noise*, since not all chorus energy would fall within a particular site's spectral receptive field.

Figure 3 shows the analysis of the changes in firing rates *within* and *between* target syllables. The patterns of neural response differ with the type of masker, supporting the idea that different maskers cause different forms of interference.

Firing rates for the *modulated noise* masker (grey bars in Fig. 3) are largest overall, and are essentially independent of both target level and whether or not analysis is within or between target syllables. This pattern is consistent with the hypothesis that the *modulated noise* masker causes neural *additions* (i.e., the firing rate is always higher than for the target alone). The *noise* masker (black bars in Fig. 3) generally elicits firing rates lower than the modulated noise but greater than the chorus (compare black bars to grey and white bars). Within syllables, the firing rate in the presence of *noise* is below the rate to the target alone at low TMRs and increases with increasing target intensity (see black bars in the top left panel of Fig. 3 compared to the solid line). This pattern is consistent with the hypothesis that the noise masker causes spike deletions. Finally, responses in the presence of a chorus are inconsistent with our simple assumptions. Within target syllables at low TMRs, the overall firing rate is below the rate to the target alone (i.e., the *chorus* elicits spike *deletions*; white bars in the top left panel of Fig. 3). Of particular interest, *between* syllables, there are fewer spikes when the target is present than when only the chorus masker is present (i.e., the target causes deletions of spikes elicited by the chorus; e.g., the white bars in the bottom right panel of Fig. 3 are negative).

In summary, the general trends for the *noise* and the *modulated noise* maskers are consistent with our hypotheses i.e., we observe *deletions* for the *noise* at low TMRs and the greatest number of *additions* for the *modulated noise*. However, the results for the *chorus* are surprising. While we hypothesized that the *chorus* would cause a small number of *additions*, instead we observe nonlinear interactions, where the targets suppress responses to the *chorus*, and vice versa.



Fig. 3. Analysis of firing rates within and between target song syllables. Top panels show average rates as a function of TMR for each masker (line shows results for target in quiet). Bottom panels show changes in rates caused by addition of the target songs (i.e., relative to presentation of the masker alone).

5 Conclusions

In order to communicate effectively in everyday settings, both human and avian listeners rely on auditory processing mechanisms to ensure that they can 1) hear the important spectrotemporal features of a target signal and 2) segregate it from similar competing sounds.

The different maskers used in these experiments caused different forms of interference, both perceptually (as measured in human behavior) and neurally (as seen in the pattern of responses from single-site recordings in Field L). Equating overall masker energy, humans have the most difficulty identifying a target song embedded in a *chorus*. In contrast, for the birds, all maskers are equally disruptive, and in Field L, the *chorus* causes the least disruption. These avian behavioral and physiological results suggest that species specialization enables the birds to segregate and identify an avian communication call target embedded in other bird songs more easily than humans can. Neither human nor avian listeners performed as well in the presence of the *chorus* as might be predicted by the single-site neural responses (which retained more information in the presence of the *chorus* than the two noise maskers). However, the neural data imply that there is a strong non-linear interaction in neural responses to mixtures of target songs and a *chorus*.

Human behavioral results suggest that identifying a target in the presence of spectrotemporally similar maskers causes high-level perceptual confusions (e.g., difficulties in segregating a target song from a bird song *chorus*). Moreover, such confusion is ameliorated by spatial attention (Best, et al. 2005). Consistent with

this, neural responses are degraded very differently by the *chorus* (i.e., there are significant interactions between target and masker responses) than by the *noise* (which appears to cause neural deletions) or the *modulated noise* (which causes neural additions). Future work will explore the mechanisms underlying the different forms of interference more fully, including gathering avian behavioral data in spatially separated conditions to see if spatial attention aids performance in a *chorus* masker more than in noise maskers. We will also explore how spatial separation of target and masker modulates the neurophysiological responses in Field L. Finally, we plan on developing an awake, behaving neurophysiological preparation to explore the correlation between neural responses and behavior on a trial-to-trial basis and to directly test the importance of avian spatial attention on behavioral performance and neural responses.

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