How cortico-basal ganglia-thalamic subnetworks can shift decision policies to maximize reward rate

Jyotika Bahuguna^{1¤}, Timothy Verstynen^{1,2}, Jonathan E. Rubin^{2,3},

1 Department of Psychology & Neuroscience Institute, Carnegie Mellon University, Pittsburgh, Pennsylvania, United States of America

 ${\bf 2}$ Center for the Neural Basis of Cognition, Pittsburgh, Pennsylvania, United States of America

 ${\bf 3}$ Department of Mathematics, University of Pittsburgh, Pittsburgh, Pennsylvania, United States of America

These authors contributed equally to this work.

¤Current Address: Université de Strasbourg, Laboratoire de Neurosciences Cognitives et Adaptatives (LNCA), CNRS, UMR 7364, Strasbourg, France

* jyotika.bahuguna@gmail.com,timothyv@andrew.cmu.edu,jonrubin@pitt.edu

Abstract

All mammals exhibit flexible decision policies that depend, at least in part, on the cortico-basal ganglia-thalamic (CBGT) pathways. Yet understanding how the complex connectivity, dynamics, and plasticity of CBGT circuits translate into experience-dependent shifts of decision policies represents a longstanding challenge in neuroscience. Here we present the results of a computational approach to address this problem. Specifically, we simulated decisions driven by CBGT circuits under baseline, unrewarded conditions using a spiking neural network, and fit an evidence accumulation model to the resulting behavior. Using canonical correlation analysis, we then replicated the identification of three control ensembles (responsiveness, pliancy and choice) within CBGT circuits, with each of these subnetworks mapping to a specific configuration of the evidence accumulation process. We subsequently simulated learning in a simple two-choice task with one optimal (i.e., rewarded) target and found that feedback-driven dopaminergic plasticity on cortico-striatal synapses effectively manages the speed-accuracy tradeoff so as to increase reward rate over time. The learning-related changes in the decision policy can be decomposed in terms of the contributions of each control ensemble, whose influence is driven by sequential reward prediction errors on individual trials. Our results provide a clear and simple mechanism for how dopaminergic plasticity shifts subnetworks within CBGT circuits so as to maximize reward rate by strategically modulating how evidence is used to drive decisions.

Author summary

The task of selecting an action among multiple options can be framed as a process of accumulating streams of evidence, both internal and external, up to a decision threshold. A decision policy can be defined by the unique configuration of factors, such as accumulation rate and threshold height, that determine the dynamics of the evidence accumulation process. In mammals, this process is thought to be regulated by low dimensional subnetworks, called control ensembles, within the cortico-basal ganglia-thalamic (CBGT) pathways. These control ensembles effectively act by tuning specific aspects of evidence accumulation during decision making. Here we use simulations and computational analysis to show that synaptic plasticity at the

1

> cortico-striatal synapses, mediated by choice-related reward signals, adjusts CBGT control ensemble activity in a way that improves accuracy and reduces decision time to maximize the increase of reward rate during learning.

Introduction

A characteristic of nearly all mammals is the ability to quickly and flexibly shift how 14 currently available evidence is used to drive actions based on past experiences (1). For 15 example, feedback may be used to shift between making exploratory decisions, where 16 low value actions are sampled to gain information, and exploitative decisions, where 17 high value actions are taken to maximize immediate rewards (2; 3; 4). Orthogonal to 18 this exploration-exploitation dimension is a complementary choice about decision speed: 19 actions can be made quickly or slowly depending on immediate goals and confidence 20 level (5). These shifts between fast or slow and exploratory or exploitative decision 21 policies can be interpreted as different states of an underlying evidence accumulation 22 process (6; 7), often captured by mathematical models such as the drift diffusion model 23 (DDM; (8; 9; 10; 11; 12)). From this perspective, the values of DDM parameters, such 24 as the drift rate (v); the rate of evidence accumulation during a single decision) and boundary height (a; the amount of evidence needed to trigger a decision) can be tuned 26 to capture a particular decision policy. Thanks to this mapping, specific (a, v) pairs 27 effectively correspond to positions on a manifold of possible decision policies that 28 determine how both internal and external evidence combine to drive eventual actions (Figure 1, "WHAT" panel). Although speed and accuracy are negatively correlated a priori, according to Fitt's Law (13), the goal of learning is to converge to a position on 31 this manifold of decision policies that manages to optimize both speed and accuracy for a given task context (14; 15; 16).

This form of learning is managed, at least in part, by the cortico-basal ganglia-thalamic (CBGT) circuits, a distributed set of interconnected brain regions that is ideally situated to influence nearly every aspect of decision-making (17; 18; 19; 20; 21) (Fig. 1, "WHERE" panel). The cannonical CBGT circuit includes a collection of interacting basal ganglia pathways that receive cortical inputs and compete for control of an output region (predominantly the internal globus pallidus, GPi, in primates or the substantia nigra pars reticulata, SNr, in rodents) that impacts thalamocortical or superior collicular activity to influence actions (22; 23; 24). The balance of this competition is thought to map to a configuration of the evidence accumulation process (7; 25; 26; 27; 28; 29; 30). Therefore, if behavioral flexibility reflects the *what* and CBGT circuits represent the *where* of flexible decision-making, then we are left with an open question of how: how do CBGT circuits control flexibility in decision policies during learning?

In prior work we showed how the computational logic of normative CBGT circuits 47 can be expressed in terms of three low-dimensional subnetworks, called *control* 48 ensembles. Each control ensemble tunes specific configurations of the evidence 49 accumulation process, manifested as control over distinct dimensions of a decision policy 50 (30). In theory, these control ensembles, dubbed responsiveness, pliancy, and choice 51 (Fig 1, "HOW" panel), provide candidate mechanisms for implementing shifts in 52 decision policies during learning. Here we illustrate how a single plasticity mechanism 53 acting at the cortical inputs to the basal ganglia can, through network interactions, 54 leverage the control ensembles to steer behavior during learning. To this end, we 55 simulated a biologically-constrained spiking CBGT model that learns to select one of 56 two actions via dopamine-dependent plasticity, driven by reward prediction errors, at 57 the cortico-striatal synapses. We then implemented an upwards mapping approach (31), 58 in which the behavioral features (decision times and choices) produced by the simulated 59

10

11

12

13

25

29

30

32

33

34

35

36

37

38

39

40

41

42

43

45

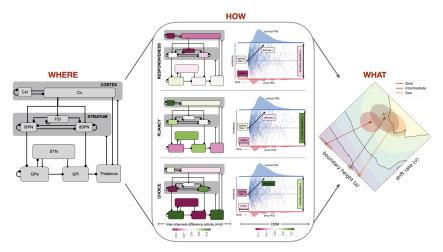


Fig 1. Decision-making deconstructed. Most voluntary decision policies depend on the CBGT circuits (WHERE; left panel). This can be described at the algorithmic level by a set of parameters in a process model (e.g., the DDM) that drives an evidence accumulation process. The goal of this process is to determine the effective reward rate of choices (WHAT; right panel contours), as well as other decision parameters. Control ensembles within CBGT circuits determine the relative configuration of decision policy parameters (HOW; middle panel) (30). What remains unclear, and we address in this work, is how learning modulates the balance between control ensembles in a way that shifts decision policies so as to maximize reward rate. Cx, cortical PT cells; CxI, inhibitory interneurons; FSI, fast spiking interneurons; d/iSPN, direct/indirect spiny projection neurons; STN, subthalamic nucleus; GPe, external globus pallidus; GPi, internal globus pallidus

CBGT network were modeled across stages of learning using the DDM (see (29; 30?)). Finally, we used various analytical approaches to replicate the existence of the low-dimensional control ensembles prior to learning and quantify how their influence levels change over the course of training. Our results show that value-based learning leads to a specific tuning of CBGT control ensembles in a way that manages the speed-accuracy tradeoff so as to maximize reward rate across successive decisions.

Results

Feedback learning in CBGT networks maximizes reward rate

We consider a situation where an agent encounters a new environment for which it has 68 no relevant prior experience or bias, so that the selection of all options is equally likely 69 at first. In a simple two-choice bandit task, with one rewarded and one unrewarded 70 option, this unbiased starting point would correspond to a 50% error rate. With 71 learning it should be possible to make fewer errors over time, leading to increased 72 rewards, but exactly how this is achieved in practice depends on the decision policy that 73 the agent adopts. For example, if the agent prioritizes speed over all else in its action 74 selection, then its error rate will likely remain high, leading to fewer rewards over time. 75 Conversely, by making sufficiently slow decisions, the agent may be able to achieve an 76 extremely low error rate, leading to greater likelihood of reward on individual trials, but 77 if the response speed is too slow then the rate of reward return over time may take a 78 significant hit. The overall reward rate achieved by the agent thus depends on both 79

60

61

62

63

64

65

66

decision speed and accuracy. Intuitively this may be optimized for a fixed level of experience via some compromise between these two dimensions (14; 32).

To understand how optimized speed and accuracy emerge from CBGT circuits, we simulated 300 instances of a spiking computational model of CBGT pathways. For each instance, a parameter set was pseudo-randomly selected from preset intervals to keep average firing rates of all relevant cell types within known biological ranges (updated slightly from our past work (30); see Supporting Information Appendix, Supp. Fig. S1A). The networks performed a two-armed bandit task with deterministic reward feedback (i.e., the reward probability was 100% for the optimal choice and 0% for the suboptimal one). Using a deterministic reward task, as opposed to a task where rewards are delivered probabilistically, explicitly ties accuracy to reward return and also makes the optimal learning strategy a simple "win-stay/lose-switch" policy (33). Learning in the network was implemented with dopamine-dependent plasticity at the cortico-striatal synapses, where the magnitude of the phasic dopamine response following each decision was based on reward prediction error (for details see (34)). It should be noted that despite this being a deterministic task, there is ample variability in the network performance. Even after 15 trials of learning, the networks do not reach perfect performance, averaging $\approx 90\%$ accuracy (Supp. Fig. S3).

Following a set of simulated trials in this task, we fit the reaction time (RT) and choice probabilities of each network, together reflecting the form of its decision policy, with a hierarchical version of the DDM (35; 36). The DDM provides an intuitive 100 framework for mapping behavioral responses to an evidence-accumulation 101 representation of the decision policy that can be described by only a few parameters (8). 102 Although there are many possible variants of the DDM that we could use, including 103 versions with collapsing bounds (37; 38; 39) or trial wise evolution of specific 104 parameters (40), our task does not involve factors like urgency or non-stationarity of 105 task states. Thus, we opted for the simplest version of the model for the sake of 106 parsimony. The quality of the fits obtained are shown in Supp Fig. S2. After each 107 predetermined step in learning (2, 4, 6, and 15 trials with plasticity on), we froze the 108 network by turning off plasticity, simulated 300 trials to generate an RT distribution 109 and choice probabilities from the current state of the network, and fit the DDM to these 110 behavioral measures again. After these probe trials, learning was turned back on and 111 the task progressed. This process allowed us to plot each network's performance as a 112 trajectory in the DDM parameter space. 113

Because of the mapping from network behavioral responses to DDM parameters, we will refer to the 2-dimensional plane of drift rates (v) and boundary heights (a) as a decision policy manifold. Figure 2 shows the average trajectories of three groups of networks on the (v, a) decision policy manifold. For each v and a we also estimated the average RT (Fig. 2A), accuracy (Fig. 2B) and reward rate (Fig. 2C; see also (15)). The three network groups shown in this figure represent a tertiary split of the full set of simulated networks into fast (short RT, orange), intermediate (medium RT, brown), and slow (long RT, red) groups, based on their initial RT values (Fig. S1B). We implemented this split to determine whether decision policy adjustments due to learning were influenced by initial biases in the networks. Despite their initial speed differences, all three network groups showed chance level performance before plasticity (Fig. S1C) and converged to similar regions of the (v, a) space with learning (Figure 2, shaded ellipses). A comparison of behavioral measures and DDM parameters before and after plasticity is presented in Figure S3.

These trajectories clearly demonstrate that our CBGT network can learn from 128 simple dopaminergic feedback at the cortico-striatal synapses. But what exactly is the 129 objective being maximized by the network? To address this question, we compared the 130 change at each step of learning to the predicted direction that the network would take if 131

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

98

99

114

115

116

117

118

119

120

121

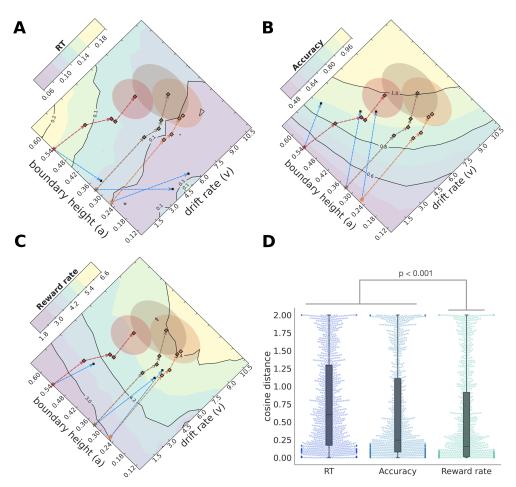
122

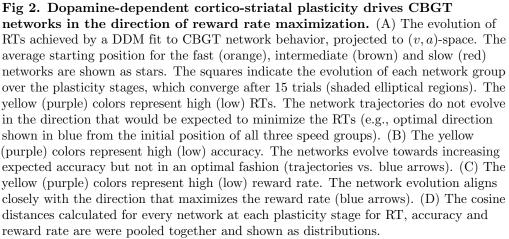
123

124

125

126





it were maximizing one of the three possible behavioral objectives: speed, accuracy, or reward rate. Note that we can plot contours for each of these quantities (using RT as a gauge of speed) over the (v, a) domain. Although the mapping between (v, a) and either speed, accuracy or reward rate is not bijective, we will nonetheless refer to the (v, a)plane as the speed manifold, accuracy manifold, or reward rate manifold when it is shown along with the contours of the corresponding quantity. These predicted

> directions of objective maximization are illustrated as blue vectors in Figure 2A-C, 138 reflecting steps from each initial point that are in the direction of the gradient of each 139 objective (i.e., the direction of maximal change, which lies orthogonal to the contours, 140 shown with the same length as the vector representing the actual network evolution at 141 the first step of learning in each case). Analysis of the trajectories in Figure 2A reveals 142 that while plasticity decreases RTs with learning, the angles of the learning trajectories 143 do not align with the optimal directions for maximally reducing RT. Similarly, the 144 network trajectories do not align with the vectors that would be expected if they were 145 maximizing accuracy alone (Figure 2B). In contrast, the average trajectories along the 146 reward rate manifold were closest to the gradient and hence to the optimal trajectories 147 attainable for that manifold (Fig. 2C). Moreover, the rate of increase in reward rate was 148 similar regardless of the network's initial speed bias. 149

> To quantify the alignment of observed network trajectories to the expected 150 directions of maximal change, we calculated the cosine distance between the observed 151 vector and the optimal vector, normalized to the observed vector's length, at each 152 learning step. While there is substantial variability across networks (Figure 2D), there 153 was a consistent effect of objective type on the network fits (F[3597, 2]=47.2, p<0.0001). 154 Fits to the reward rate trajectories were consistently better than to either speed 155 (t(299)=13.59, p<0.0001) or accuracy (t(299)=8.35, p<0.0001) trajectories. This effect 156 held regardless of a network's initial speed (Figure S4). Thus, our biologically detailed 157 model of the CBGT circuit can effectively learn to maximize reward rate by managing 158 the speed-accuracy tradeoff during the evidence accumulation process via dopaminergic 159 plasticity at the cortico-striatal synapses. 160

Low-dimensional control ensembles that map to general decision policies

The CBGT network and DDM are, respectively, implementation-level and 163 algorithmic-level descriptions of the evidence accumulation process that guides 164 goal-directed behavior. We have previously shown that there is a low-dimensional, 165 multivariate mapping between these two levels of analysis in the absence of learning 166 (30). Here we set out to replicate this observation with the CBGT parameter sets used 167 in the current study, with the aim of analyzing their contributions to the dopaminergic 168 learning process. For this step, we considered two aspects of activity within each CBGT 169 population: global activation across the two action representations (sum of the activity 170 in that region, across both channels; Σ) and bias towards one action representation 171 (difference in activity within each region, across the action channels; Δ). Using 172 canonical correlation analysis (CCA), we captured the low-dimensional components that 173 maximally correlate variation in CBGT activity with variation in DDM parameters. 174 This analysis identified three such components (Fig. 3). We refer to these 175 low-dimensional components as *control ensembles*. 176

The three control ensembles identified by our analysis nearly perfectly replicate our 177 prior work (30), where they are described in more detail (see also Section Upward 178 mapping). Thus we kept the labels responsiveness, pliancy, and choice ensembles for the 179 first, second, and third components, respectively. The recovered components are shown 180 in both CBGT and DDM parameter spaces in Figure 3 (right panels). The 181 responsiveness component describes the agent's sensitivity to evidence, both in terms of 182 the delay before the agent starts to accumulate evidence (t) and how significantly the 183 presence of evidence contributes to achieving the decision threshold (a). The dominant 184 features of CBGT activity that vary along the responsiveness control ensemble loadings 185 are a global inhibitory signal, including fast-spiking interneuron (FSI) and overall 186 internal globus pallidus ($\operatorname{GPi}(\Sigma)$) activity, as well as overall excitatory and inhibitory 187

161

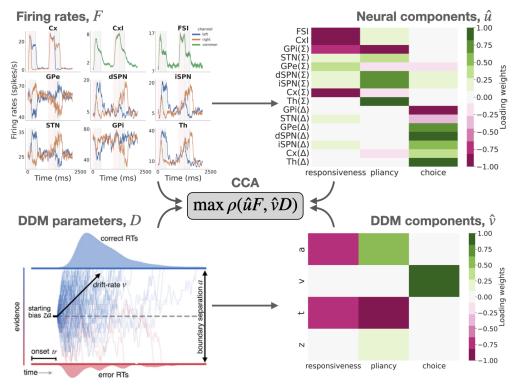


Fig 3. Canonical correlation analysis (CCA) identifies control ensembles (cf. (30)). Given matrices of average firing rates, F (both summed rates across channels, Σ , and between-channel differences, Δ), and fit DDM parameters, D, derived from a set of networks at baseline (left panels), CCA finds the low-dimensional projections, \hat{u} for firing rates and \hat{v} for DDM parameters (right panels), which maximize the correlation, ρ , between the projections $\hat{u}F$ and $\hat{v}D$ of F and D. Blue lines in the F plot show left channel activity, orange show right channel activity, and green shows populations that go across both channels.

cortical activity ($Cx(\Sigma)$, CxI). Because the dominant CBGT and DDM loadings for the responsiveness control ensemble have the same sign (all negative), they imply that a *decrease* in the weighted activity of the loaded populations corresponds to an *decrease* in onset time, t, and a and, hence, to an *increase* in overall responsiveness.

The pliancy component refers to the level of evidence that must be accumulated 192 before committing to a decision. As with responsiveness, pliancy loads mostly on a and 193 t, but now with opposing signs for these two loadings, corresponding to the idea that 194 even though an agent is attentive to evidence (small t), it requires a substantial 195 accumulation of evidence to reach its threshold (large a). The CBGT activity features 196 that characterize pliancy are the overall engagement of the BG input nodes (i.e., global 197 dSPN and iSPN activity, with a smaller STN contribution), as well as total GPi and 198 thalamic activity, with oppositely signed loadings to each other. For the pliancy 199 component, a change in the activity consistent with the cell type loadings (e.g., increase 200 in SPN activity) corresponds to a decrease in overall pliancy (e.g., increase in a). 201

Lastly, the choice component represents the intensity of the choice preference and is reflected largely in v and the neural correlates of competing choice representations in the CBGT (i.e., differences in activity across the two action channels within each BG region). A change in activity consistent with the cell type loadings (e.g., greater difference in dSPN activity between the two channels) corresponds to a stronger commitment towards the more rewarded option (i.e., larger v).

In summary, each CBGT control ensemble can be interpreted as specifying a coordinated collection of changes in CBGT neural activity levels that can, in theory, most effectively tune a set of decision policy parameters (captured by the DDM). Now that we have delineated the control ensembles embedded within the CBGT network (cf. (30)), we are ready to consider how dopamine-dependent plasticity regulates their influence in a way that collectively drives decision policies to maximally increase reward rate.

Cortico-striatal plasticity drives control ensembles during learning

Our analysis of the CBGT network behavior (Figure 2) shows that dopamine signaling at the cortico-striatal synapses is enough to elicit changes in the evidence accumulation process that maximize reward rate. This observation suggests that there are emergent driver mechanisms, originating from cortico-striatal synaptic changes, that tune the control ensembles in a way that achieves this outcome. That is, if each control ensemble represents a knob to tune an aspect of the decision policy, then a driver mechanism selects a set of adjustments of the knobs that yields an overall decision policy selection. We next set out to identify these emergent drivers.

As a first step toward quantifying the modulation of CBGT activity after 15 learning 225 trials, we calculated the principal components of the overall change in firing rates of all 226 300 networks. The first 5 of these components collectively explain more than 90% of the 227 observed variance (Fig. S5A, thick blue line marked "All"). The loading weights (Fig. 228 4A) show that the first and third components reflect the global activity of subsets of 229 CBGT nuclei. The second, fourth and fifth components relate more strongly to the bias 230 towards one option, with predominant loadings on differences in rates across action 231 channels. Together, these components represent the collection of changes in firing rates 232 that result from learning-related changes at the cortico-striatal synapses. 233

We next calculated the matrix S of weighting factors (*drivers*) for the firing rate components, describing what combination of adjustments to the control ensembles best accounts for the associated firing rate changes (Fig. 4B; for full description of this approach, see Methods subsection *Modulation of control ensembles by plasticity*). To

217

218

219

220

221

222

223

224

234

235

236

237

202

203

204

205

206

207

208

209

210

211

212

213

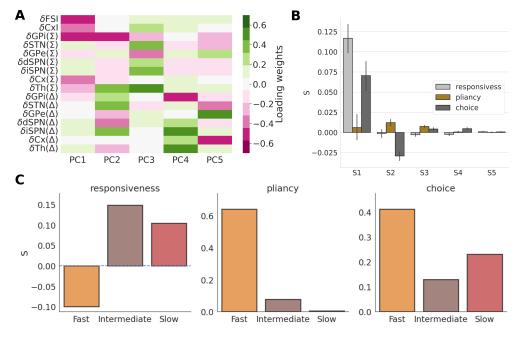


Fig 4. Plasticity-induced changes of control ensemble influence. (A) The loading weights of the first 5 PCs of firing rate changes from before to after plasticity, pooled for all networks. (B) The drivers (columns of S), which quantify the modulations of control ensembles (responsiveness, pliancy, choice) that capture each PC (pooled for all network classes). (C): The variance-weighted drivers for the three control ensembles, computed separately for the three network classes (fast, intermediate and slow).

interpret the drivers of control ensemble influence (Fig. 4B), it is important to note that 238 positive (negative) coefficients correspond to changes in control ensemble activity in the 239 same (opposite) direction as indicated by the loadings in Fig 3. The first driver 240 corresponds to a large amplification of the responsiveness control ensemble, and hence a 241 decrease in various forms of global inhibition in the CBGT network. The first driver is 242 also associated with a boost to the choice control ensemble, increasing the bias towards 243 the rewarded choice The second driver has a strong negative weight on the choice control 244 ensemble and a positive weight on the pliancy control ensemble. The third, fourth and 245 fifth drivers feature weaker effects, with small modulations of all three control ensembles. 246 Based on this analysis across all of the networks, the overall modulation of the control 247 ensembles due to plasticity, calculated as the weighted sum over all drivers, adjusted by 248 the % of variance explained by each PC, is shown in Supp. Fig. S5B. All three control 249 ensembles end up being boosted. This means that, to varying extents, the activity 250 measures that comprise these ensembles change in the directions indicated by their 251 loadings in Fig. 3. In this way the general trend is for the CBGT networks to become 252 more responsive, yet less pliant, which together amount to an earlier onset of evidence 253 accumulation without much change in boundary height. Coincident with this, we also 254 see that the CBGT networks exhibit more of an emergent choice bias with learning. 255

Because of the difference in decision policies across the fast, intermediate, and slow 256 networks, we recomputed the drivers separately for each network type. This was done 257 by considering the firing rate differences (ΔF) and calculating the S loadings for fast, 258 intermediate, and slow networks separately (see Methods - section Modulation of control 259 ensembles by plasticity). The explained variance for each of the three network types is 260 shown in Supp. Fig. S5A, and their corresponding PCs and goodness of fits are shown 261 in Supp. Fig. S6. As expected, the drivers show different changes across the network 262 types (Fig. 4C). The driving factor corresponding to responsiveness is negative for fast 263 networks, while remaining positive for the others. The pliancy and choice factors were 264 positive for all three networks, but pliancy was by far the largest for fast networks and 265 quite small for the other two network types. Referring to the DDM parameter changes 266 associated with changes in control ensemble loadings (Fig. 3), we see that the decrease 267 in loading of responsiveness and strong increase in loading of pliancy for fast networks 268 would both promote an increase in boundary height, a. This aligns with the fact that, 269 of the three network types, only fast networks show an increase in a over the course of 270 learning (Fig. 2, Supp. Fig. S7). Overall, we see that the specific way that plasticity 271 adjusts the weighting of the control ensembles to drive changes in decision policies 272 depends on the initial tuning of the network. Since plasticity results from the sequence 273 of decisions and rewards that occur during learning, we next investigate more directly 274 how specific decision outcomes lead to this dependency. 275

The influence of feedback sequences on driving of control ensembles

In the previous section we described the overall effects of cortico-striatal plasticity on control ensemble tuning. We now turn to analyzing the early temporal evolution of these effects by focusing on the initial two learning trials. Specifically, we examined the modulation of the control ensembles for different combinations of successes (i.e., rewarded trials; R) and failures (i.e., unrewarded trials; U) achieved by the first two consecutive choices. For this analysis, we implemented our usual DDM fitting process followed by CCA for networks that were frozen (i.e., with plasticity switched off) after two trials, and we grouped the results based on the sequence of choice outcomes. The drivers (combined columns of S) for each sequence of outcomes, U-U, U-R, R-U and R-R, are shown in Fig. 5A.

276

277

278

279

280

281

282

283

284

285

286

287

November 11, 2024

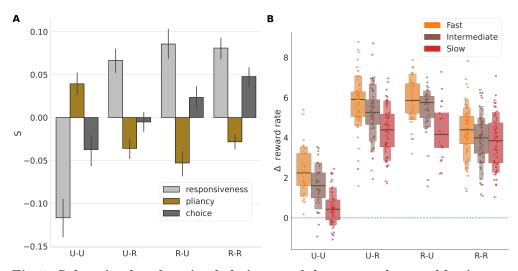


Fig 5. Suboptimal and optimal choices modulate control ensembles in opposite directions. (A) The modulation of control ensembles associated with various reward sequences encountered in two initial trials with cortico-striatal plasticity. U represents "Unrewarded" and R represents "Rewarded" trials. (B) The reward rate changes obtained by simulation of networks with synaptic weights frozen after various reward sequences occurred on two initial trials.

First, consider the case of networks that receive no rewards (U-U). Here we infer 288 that the boundary height increases, due to a simultaneous decrease in driving of the 289 responsiveness ensemble and increase in driving of the pliancy ensemble, both of which 290 result in a boost of the boundary height. In addition, driving of the choice ensemble is 291 reduced. Thus, two consecutive unsuccessful trials yield an overall increase in the degree 292 of evidence needed to make a subsequent decision by simultaneously increasing the 293 boundary height and decreasing the drift rate. Moreover, slow networks encounter U-U 294 outcomes more often than other network classes in the first two trials (Supp. Table 1), 295 which presumably constrains the increase in responsiveness and choice seen in these 296 networks during learning (Fig. 4C). On average, however, fast networks make more 297 mistakes than the other networks. This result, which we can display graphically in 298 terms of the proportion of unrewarded trials, or mistakes, encountered after the first 299 two plasticity trials (Fig. S7D), likely explains the negative loading for responsiveness 300 and high positive loading for pliancy for fast networks shown in Fig. 4C. 301

In contrast, two consecutive successful trials (R-R, far right of Fig. 5A) produce largely the opposite effect. The influences of the responsiveness and choice ensembles increase, resulting in lower onset time and boundary height, along with an increase in the drift rate. This coincides with a weak change in pliancy. As a result, in the R-R case, the decision policy is tuned to include a decreased degree of evidence needed to make subsequent decisions.

Not surprisingly, the two mixed combinations of outcomes (U-R, R-U) have largely 308 similar effects on the responsiveness and pliancy ensembles, regardless of the order of 309 outcomes. In both cases the loading of responsiveness increases and that of pliancy 310 decreases, resulting in less overall evidence needed to trigger a decision (by shrinking 311 the boundary height, without much change in the onset time). However, when the first 312 trial is unsuccessful (U-R) the influence of the choice ensemble decreases, while it 313 increases when the first trial is successful (R-U). Indeed, looking at the progressive 314 change in the choice ensemble across the four unique sequences of trials, it appears that 315 early success (i.e., reward in the first trial) boosts the choice ensemble influence while 316

302

303

304

305

306

early failure (i.e., unrewarded first trial) does the opposite. When these combined drivers are recomputed separately for each network class, the learning-induced modulations of the ensembles follow the same general trend (Supp. Fig. S8), with quantitative details depending on the network class.

The preceding analysis shows how the relative contributions of the control ensembles 321 to the evidence accumulation process depend on trial outcomes. What are the results of 322 these changes on the performance of the network? To illustrate these effects, we plot the 323 distribution of changes in reward rates associated with each set of outcomes and 324 separate by network types in Fig. 5B. Although all distributions are generally positive, 325 there is significant variation in reward rate changes across the different feedback 326 sequences (F(586, 3) = 254.4, p<0.0001). The reward rate also varies significantly with 327 the network type (F(586, 2) = 46.8, p<0.0001), and the interaction term between 328 network types and feedback sequences is significant as well (F(586, 6)=3.8, p = 0.001). 329 Compared to all other conditions, the networks that made two consecutive unsuccessful 330 choices (U-U) yielded the smallest changes in reward rates (values of all network types 331 pooled together, all two-sample t(319) < -18.27, all p < 0.0001). The two mixed feedback 332 conditions (U-R, R-U) had higher growth in reward rates than the condition with two 333 rewarded trials (R-R; all t(384) > 8.1, all p < 0.001), because mixed conditions not only 334 lead to strengthening of the correct choice but also weakening of the incorrect choice, 335 unlike R-R which only leads to the former. In all cases, the trend was for faster 336 networks to achieve greater increases in reward rate than slower networks. As expected, 337 the impact of feedback sequences on reward rate is associated with underlying changes 338 in both accuracy (Fig. S9A) and decision speed (Fig. S9B). Like reward rate, the 339 increase in accuracy was highest for the mixed feedback conditions (U-R, R-U) due to 340 the combined strengthening of the correct choice and weakening of the incorrect choice. 341 Two consecutive unsuccessful choices (U-U) represents the only condition that leads to 342 an increase in decision times, expressed as negative ΔRTs . This outcome is consistent 343 with the increase in boundary height that occurs in this case, whereas all other feedback 344 conditions lead to a decrease in decision times. 345

Discussion

Adaptive behavior depends on flexible decision policies (what), driven by CBGT 347 networks (*where*) that shift their activity in order to maximize reward rate by 348 coordinated adjustments of a set of underlying control ensembles (*how*; Fig. 1). In this 349 work, we focused on the *how* part of this process, employing a mapping upward in 350 abstraction between a biologically realistic model of CBGT pathways and the DDM. 351 This approach helps to reveal the complex, low-dimensional structure of CBGT 352 subnetworks that influence decision-making policies (Fig. 3). Specifically, we 353 recapitulated recent results (30) showing the existence of three main CBGT control 354 ensembles shaping decision-making that represent responsiveness, pliancy, and choice 355 (direct vs. indirect pathway competition; Fig 3) and serve to regulate the process of 356 converting evidence accumulation into action selection. We then showed how, within 357 our model, driver mechanisms tune these control ensembles strategically during learning 358 (Figs. 4 & 5) in order to maximize reward rate. Moreover, although they all optimize 359 the same quantity (reward rate), we found that modulation of control ensembles differs 360 across networks depending on their *a priori* decision policy (fast, intermediate, or slow). 361 While plasticity increases responsiveness and choice in all networks, to varying extents, 362 fast networks alone decrease responsiveness (Fig. 4C) and correspondingly increase 363 boundary height (a; Fig. S7A). Put together, our results propose a new framework for 364 understanding how subnetworks within CBGT circuits can dynamically regulate 365 decision-making, driven by dopaminergic plasticity at the cortico-striatal synapses. 366

317

318

319

320

> Perhaps the most surprising aspect of this theoretical analysis is the sophisticated 367 adjustments that emerged from a simple plasticity mechanism acting on just one class 368 of CBGT synapses. Dopaminergic learning at the cortico-striatal synapses was sufficient 369 to push our naive networks from an exploratory decision policy to an exploitative policy 370 that effectively managed the speed-accuracy trade off by maximizing average reward 371 rate (Fig. 2). This behavior was recently observed in rats engaged in a perceptual 372 learning task (32), indicating that reward rate maximization may be an innate behavior 373 in many, if not all, mammals. However, the expression of this mechanism can vary 374 depending on task contexts, such as differences in effort or feedback (15: 41). The 375 rewards in our task that drove learning were based only on the accuracy of each 376 selection. So how is it possible that rewards based only on accuracy can lead to an 377 optimization of reward rate? The answer to this question lies in the architecture of the 378 CBGT circuits. While synaptic plasticity in our model is limited to the cortico-striatal 379 synapses, the resulting activity changes propagate throughout the entire CBGT network 380 due to the synaptic coupling among the network's interconnected populations. An 381 emergent result from our simulations is that these cascading effects produce a 382 subsequent reduction of decision times, even without any reward incentive that 383 explicitly depends on speed. Thus, our model tends to act more slowly in the early 384 phases of learning, but increases accuracy and speeds up decisions as learning progresses. 385 This progression is similar to behavioral observations in rodents (32), non-human 386 primates (42), and humans (43; 44). Our results predict that this complex behavior is a 387 natural consequence of dopamine-dependent plasticity at the cortico-striatal synapses 388 together with the architecture of the CBGT circuit. 389

Related predictions at the abstract level have been made by models that directly combine reinforcement learning with evolution in the DDM parameters (45; 46). These studies demonstrate that the drift rate depends on the difference in values between optimal and suboptimal actions, which increases with learning. In contrast, the boundary height is proportional to the effective values of the choices and typically shows a slight decrease as learning progresses. Another class of promising models are the reinforcement learning and racing diffusion (RL-RD) models, which can represent multi-choice decision-making with DDM-like accumulation. Some of these models (47) share a conceptual similarity with our CCA components in that they include q-values related to sum (Σ) and difference (Δ) elements. The RL-RD class of models offers alternative options for parameterizing learning data from our CBGT circuit model. However, for the current work, we limited our analysis to estimating DDM parameters across learning stages to maintain consistency with our previous findings (30) and our current results on control ensembles in naïve CBGT networks.

Our primary goal with the analyses described in this paper was to decompose the 404 circuit-level effects of plasticity that underlie adaptive reward rate maximization in 405 terms of learning-related changes in the driving of the control ensembles. Based on the 406 relation of the control ensemble loading to the evidence accumulation parameters (Fig. 407 3 & 4C), the effective learning-related changes result in shorter decision onset delays, 408 higher rates of evidence accumulation, and variable changes in decision threshold as 409 learning progresses (Fig. S6). On the shorter timescale of consecutive trials, each 410 possible set of pairs of reward outcomes induces a specific adjustment of control 411 ensembles in a way that increases subsequent accuracy and reward rate (Fig. 5, Fig. 412 S8). Interestingly, but perhaps not surprisingly, our results predict that mixed feedback, 413 such as one rewarded and one unrewarded trial, will result in a stronger increase in 414 reward rate than two consecutive rewarded trials. This finding is consistent with past 415 results, as well as general intuition, on the benefits of exploration for effective learning 416 (48; 49). It is, however, important to note that cortico-striatal plasticity may explain 417 only a part of the decrease in decision speed seen in experiments. Additional boosts in 418

390

391

392

393

394

395

396

397

308

399

400

401

402

speed may result from an agent's increased confidence in the outcomes of its decision derived from other information sources (50). Moreover, an experimental paradigm that requires learning an explicit minimization of decision times may reveal other novel CBGT control ensembles, apart from those that we report here.

The existence of a small set of CBGT control ensembles, and the details of their 423 components, represent some of the key predictions that emerge from our modeling study. 424 Directly recovering these ensembles in real CBGT circuits would necessitate 425 simultaneous in vivo recording of nine distinct cell populations across at least five 426 distinct brain regions during a learning task. This is currently outside the scope of 427 available empirical technology. While we hope that future experiments will test more 428 focused aspects of our predictions, we can already extract relevant findings from the 429 extant literature. For example, the predominant loadings in the responsiveness ensemble 430 reflect the level of engagement primarily of input-level (cortical and FSI) components 431 and inhibitory outputs (GPi) of the network, with higher loadings corresponding to less 432 activation (Fig. 3). The increase in responsiveness associated with learning in 433 intermediate and slow networks in our model therefore matches the suppression of 434 activity in the subpopulation of striatal FSIs that was observed after learning in 435 non-human primates (51). Interestingly, experiments have also found evidence for an 436 earlier onset of activity in the striatum with the progression of learning in non-human 437 primates (52). This is consistent with the decrease in onset time that arises via the 438 learning-induced increase in the responsiveness and pliancy ensembles in all network 439 classes in our simulations. 440

The pliancy ensemble, reflecting the influence of global striatal activity, including 441 thalamic inputs to the striatum, as well as the influence of STN activity, is associated 442 with the onset time and boundary height parameters; however, unlike the responsiveness 443 ensemble, the pliancy ensemble has opposing loadings between onset time and boundary 444 height. Thus, an increase in activity of the pliancy ensemble corresponds to an earlier 445 onset of evidence accumulation, but with more information required to trigger a 446 decision. This places an emphasis not on the collection of evidence itself, but instead on 447 the agent's willingness to be convinced by this evidence. It has been shown that an 448 increase in the conflict between action values is associated with an increase in global 449 STN activity (53; 54; 55), consistent with a strengthened driving of our pliancy 450 ensemble. Also, because our simulations show an increase in efficacy of the pliancy 451 ensemble with value-based learning (Fig. 4C) for fast and intermediate networks, we 452 predict that the overall level of striatal SPN activity will increase as learning progresses. 453 In contrast, activity in the GPi would decrease. The predominant contributions of this 454 effect are predicted to occur in response to unrewarded trials (Fig. 5A). Consistent with 455 these predictions, past studies have shown increases in striatal activity with learning 456 (56). Related findings have been interpreted as being potentially linked to increased 457 task attentiveness (57) or increased motivation (58; 59). Both effects are consistent with 458 the lowering of onset time associated with our pliancy ensemble. Interestingly, increases 459 in striatal activity, as measured via fMRI, have been found to be beneficial for learning 460 in adolescents (60); our results suggest that such increases in the pliancy ensemble 461 loading could relate to learning from mistakes (Fig. 5A, U-U case). 462

Finally, the choice ensemble, which corresponds to the degree of competition 463 between direct and indirect pathways across action channels, is strongly associated with 464 drift rate. Consistent with this relationship, single unit activity in dorsal striatum has 465 been shown to reflect the rate of evidence accumulation and consequently preference for 466 a specific response to a stimulus (61). At the macroscopic scale, we recently found that 467 the competition between action representations in CBGT circuits, measured with fMRI, 468 is indeed reflected in the drift rate in humans (7). At the causal level, a recent study 469 with patients suffering from dystonia showed that deep brain stimulation in the GPi 470

> increased the likelihood of exploratory behavior, which was encoded as decrease in the drift rate (20). Whether deep brain stimulation (DBS) increases or decreases the output of its target area remains controversial (62; 63; 64). However, based on the loadings in the choice ensemble, we predict that the observed decrease in drift rate corresponds to increased similarity in activity across GPi neurons in different channels — a likely outcome if DBS similarly impacts all channels.

> As learning occurs in our model CBGT network, the control ensemble loadings 477 appear to co-evolve. The merit of the control ensemble idea is that it lets us decompose 478 a complicated evolution process into interpretable components. Nonetheless, it can also 479 be informative to consider combined effects that result from the simultaneity of changes 480 in control ensemble loadings. As one example, we note that in non-human primates, 481 stimulation of the caudate nucleus in the striatum reveals a negative correlation 482 between drift rate and boundary height (65). Our model captures this early negative 483 correlation in learning, where pairs of unrewarded trials decrease the loading of 484 responsiveness and choice while increasing the loading of pliancy. This shift reflects 485 overall striatal engagement across both channels, potentially mirroring the effects of 486 stimulation, resulting in an increase in boundary height and a decrease in drift rate. In 487 contrast, other outcome pairs produce the opposite effects. Over the longer course of 488 learning in slow and intermediate networks, we observe an increase in drift rate and a 489 decrease in boundary height, with responsiveness and choice ensembles playing a more 490 prominent role. This trend suggests a gradual shift in importance from pliancy (e.g., 491 overall striatal engagement) to responsiveness as learning progresses. 492

> Overall, our results suggest how the low-dimensional substructure of CBGT circuits 493 may adapt behavior during learning by adjusting specific aspects of the evidence 494 accumulation process, thereby influencing the current state of a decision policy. Notably, 495 we demonstrate that dopamine-dependent synaptic plasticity at cortico-striatal synapses, 496 driven by choice-related reward signals, can strategically coordinate control ensemble 497 activity to improve accuracy while reducing decision times, thereby maximizing reward 498 rate. As we have discussed, these findings not only align with previous empirical 499 observations but also offer clear predictions for future experimental investigations. 500

Materials and Methods

CBGT network

The CBGT model used in this work is a biologically constrained spiking network including neuronal populations from the striatum (dSPNs, iSPNs and FSIs), globus pallidus external segment (GPe), subthalamic nucleus (STN), globus pallidus internal segment (GPi), thalamus and cortex (excitatory and inhibitory components). For a two-choice task, each choice representation is implemented as a "channel" (24; 29; 30; 34; 66), so the model includes two populations of each neuron type except FSIs and inhibitory cortical neurons, which are shared across channels. On each trial, the two excitatory cortical populations receive excitatory synaptic inputs, representing evidence related to the available options, from a stochastic spike generator. This process has a baseline rate sampled from a normal distribution with a mean and standard deviation of 2.5 Hz and 0.06, respectively. To this baseline, we add a ramping component representing the presence of some stimulus or internal process that drives the consideration of possible choices. This component rises linearly until it reaches a maximum value ($f_{target} = 0.8$), which was kept constant for all simulations in order to appropriately compare decision times. Specifically, we take

$$f_{ramp}(t) = f_{ramp}(t - dt) + 0.1 \left[f_{target} - f_{ramp}(t - dt) \right]$$

501

where dt is the integrator time step, such that the total frequency of inputs to the cortical populations evolves according to

$$f_{ext}(t) = f_{ext}(t - dt) + f_{ramp}(t).$$

In all of our simulations, evidence for the two options, as represented by this 505 frequency of inputs to the two cortical populations, was equally strong, such that 506 changes in outcomes across conditions resulted entirely from learning downstream from 507 the cortex. Specifically, the cortico-striatal projections to both dSPNs and iSPNs in the 508 model were plastic and were modulated by a dopamine-dependent spike timing 509 dependent plasticity rule (67; 68?). On a trial, a choice was selected if the firing rate in 510 the thalamic population within its action channel reached 30 Hz before the rate of the 511 other thalamic population hit that level. The complete details of this network can be 512 found in our methods paper (34). 513

Characterization of networks before plasticity

In our previous work, we identified control ensembles based on extensive simulation of 515 the CBGT network with each of 300 parameter sets selected using Latin hypercube 516 sampling from among the ranges of synaptic weights that maintained biologically 517 realistic firing rates across all populations (30). In that work, in which no learning 518 occurred, however, the cortico-striatal projections to the choice representations 519 (channels) were considered to be independent. Hence, some sampled network 520 configurations were biased towards one of the choices. Because we studied the evolution 521 of the control ensembles under plasticity in this work, we started with completely 522 unbiased networks. Hence we resampled the networks from the joint synaptic weight 523 distribution using genetic algorithms (see below) and isolated 300 networks that 524 produced firing rates of all CBGT populations within experimentally observed ranges. 525 The actual firing rate distributions are shown in Supp. Fig S1A. The networks before 526 plasticity showed a diversity of reaction times (RTs, Supp. Fig S1B). The RT 527 distribution was divided into 3 equal tertiles and used to define "fast" (orange), 528 "intermediate" (brown) and "slow" (red) networks. All of the networks before plasticity 529 showed chance levels of accuracy (Supp Fig S1C). 530

Genetic algorithms

The DEAP library (69) was used to run a genetic algorithm (GA) designed to sample 532 CBGT networks with parameters from the ranges used in our previous work (30). Two 533 additional criteria were used for the optimization function of the GA, namely (a) the 534 network should produce trial timeouts (when no action was selected within 1000 ms) on 535 fewer than 1% of trials, and (b) the network should be cortico-basal-ganglia driven; that 536 is, the correlation between cortical activity and striatal activity should be positive. The 537 first criterion ensured that we had ample decision trials included in the data, as needed 538 to appropriately fit the DDM parameters (timeouts are dropped before fitting the DDM) 539 parameters). The second criterion ensured that the networks did not operate in a 540 cortico-thalamic driven regime, in which cortical inputs alone directly pushed thalamic 541 firing over the decision threshold. 542

The range for each parameter specified in past work (30) was divided into 30 bins and this grid was sampled to create populations. The indices of each bin served as a pointer to the actual values of the parameters in the ranges considered. The GA uses these indices to create, mate and mutate the populations. This ensures that the values of parameters remain within their specified ranges. For example, suppose that parameter A has range (-2.0,2.0) and parameter B has range (-0.3,1.0) and these ranges

531

503

504

are each divided into 5 bins. The grids for parameters A and B will be:

$$A_{grid} = \begin{pmatrix} -2 & -1 & 0 & 1 & 2 \end{pmatrix}$$
$$B_{grid} = \begin{pmatrix} -0.3 & 0.025 & 0.35 & 0.675 & 1 \end{pmatrix}$$

If individual population members have indices $ind_1 = (0 \ 1)$ and $ind_2 = (4 \ 0)$ for (A, B), then they have (A, B) = (-2, 0.025) and (A, B) = (2, -0.3), respectively. Supposed that the individuals mate by crossing over the 1st and 2nd elements. Then $ind_3 = (4 \ 1)$ with parameter values (2, 0.025) and $ind_4 = (0 \ 0)$ with parameter values (-2, -0.3). The individuals ind_3 and ind_4 are included in the next iteration of evolution.

New individuals created from mating were used to overwrite the original individuals 548 that were mated together (*cxSimulatedBinary*). The individuals could also mutate by 549 shuffling of the indices of the attributes (*mutShuffleIndexes*) with a probability of 0.2. 550 After a round of mating and mutation, tuples of two values for each individual, namely 551 the % of timeouts and the Pearson's correlation coefficient between cortical and striatal 552 activity, were compared to select the individuals for the next round of evolution. The 553 selection algorithm that was used was tournament selection (selTournament) of size 3, 554 which picked the best individual among 3 randomly chosen individuals, 10 times, where 555 10 is the size of the population of networks in every iteration of the GA. During every 556 iteration, any network configuration that met the criteria (a) and (b) above was saved as 557 a correct solution. The GA was run for 2000 iterations or until 300 solutions were found, 558 whichever was sooner. Post hoc, we confirmed that the firing rates of the members of 559 the final, selected populations remained within the originally targeted ranges (Fig. S1). 560

DDM fits

The DDM parameters were fit to each of the 300 selected networks independently using the HDDM package (35). In order to ensure that the HDDM fits describe the choice and RT distributions well, we compared the post-predictive distributions of the network simulations with those generated by the corresponding DDM parameters, both before (Fig. S2A) and after (Fig. S2C) plasticity. The quantile-quantile plots for percentiles 5, 10, ...,90, 95 show a significant and very high correlation between the network-generated and DDM-generated data (Fig. S2B,D).

Accuracy, RT and reward rate manifolds

The manifolds shown in Figure 2A-C were generated by simulating the DDM with all combinations of drift rate (v) and boundary height (a) values that the naive CBGT networks can show before and after plasticity. The values of RTs, accuracy and reward rates were averaged over 15 seeds of 200 trials each.

Upward mapping

The DDM parameters and activity of the CBGT nuclei for our 300 network 575 configurations, before plasticity, were used to identify CBGT control ensembles through 576 canonical correlation analysis (CCA), as was also done in our previous work (30) and is 577 illustrated in Fig 3. The CCA scores were calculated using k-fold validation (k=4), 578 where the 300 networks were divided into groups of 4 (75 networks each) and a CCA 579 score was calculated for each of the groups. The CCA scores for actual data were 580 compared with a shuffled version of data (firing rates and DDM components for 300 581 networks) and the set of components giving rise to the maximum CCA score, which we 582 found to include three elements as in our previous work (30), were selected. 583

561

569

570

571

572

573

Modulation of control ensembles by plasticity

We used a single approach to compute a set of effective drivers of the control ensembles either from the full collection of CBGT networks or from one of the network subtypes (fast, intermediate, or slow) that we considered. Let

 $X \in \{\texttt{all}, \texttt{fast}, \texttt{intermediate}, \texttt{slow}\}$ denote the class of networks being used. From the set of vectors of changes in CBGT firing rates computed by subtracting firing rates before plasticity from those after plasticity (ΔF_X), we extracted 5 principal components (PCs) that together explain at least about 90% of the variance (Fig. 4A, Supp. Fig. S5A). ΔF_X was then projected onto these 5 PCs to form the target matrix P_X . Specifically, we computed

$$P_X = (\Delta F_X) V_X \tag{1}$$

where the 5 PCs comprise the columns of V_X . Note that P_X is an n by 5 matrix, where n is the number of firing rate data vectors used. ΔF_X was also projected onto the three control ensemble components obtained from the full collection of baseline networks before plasticity, via the mapping 597

$$C_X = (\Delta F_X)U\tag{2}$$

where the components of the 3 control ensembles form the columns of U, such that C_X ⁵⁹⁸ is an n by 3 matrix. Finally, we found the least squares solution S_X , representing the ⁵⁹⁹ element in the range of C_X that is closest to P_X , from the normal equation ⁶⁰⁰

$$S_X = (C_X^T C_X)^{-1} C_X^T P_X. (3)$$

The least squares solution S_X is a 3×5 matrix independent of n. The columns of S_{all} for are displayed in Fig. 4B. The sums of the columns of the appropriate S_X , each weighted by the percent of variance explained, comprise Figs. 5C and S7 (X = fast, for X = intermediate, and X = slow), as well as Figs. 5A and S5B (X = all).

Reward rates

The reward rate was calculated as:

$$RR = \frac{1 - p(err)}{DT + T_0}$$
$$= \frac{accuracy}{RT}$$

where p(err) denotes the error rate and where the reaction time, RT, is the sum of the decision time, DT, and the additional non-decision time that arises within each trial, T_0 , which in our analysis is ascribed to the onset delay represented by the DDM parameter t.

Plasticity stages

The effect of plasticity on the network was studied at four stages: (a) after 2 trials of 612 plasticity, (b) after 2 additional trials (total 4) of plasticity, (c) after 2 more additional 613 trials (total 6) of plasticity, (d) after 9 additional trials (total 15) of plasticity. The state 614 of the network was frozen at each of these stages by suspending the plasticity, so that 615 we could use the frozen network to perform probe trials. The choices and reaction times 616 from the probe trials were used to calculate DDM parameters and reward rate 617 distributions for each stage of plasticity, based on upward mapping and CCA, and thus 618 to generate the trajectories in Fig. 2, the time courses in Fig. S7, and the 2-trial results 619 in Figs. 5, S8, and S9. 620

605

606

611

584

588

589

590

591

592

Data sharing

We thank all members of the exploratory intelligence group for their helpful comments on the manuscript. JB is supported by ANR-CPJ-2024DRI00039. TV, JB and JER are partly supported by NIH awards R01DA053014 and R01DA059993 as part of the CRCNS program. JER is partly supported by NIH award R01NS125814, also part of the CRCNS program. 632

Supporting Information Appendix (SI)

Network type Reward sequence	Fast	Intermediate	Slow
R-R	36.3%	35.1%	32.1%
R-U	18.7%	19.9%	10.7%
U-R	28.9%	29.4%	31.1%
U-U	16.2%	15.6%	26.0%

Table S1. Percentage of first pairs of trials for which networks encounter each possible reward sequence. Slow networks encounter a higher proportion of two consecutively unrewarded choices (U-U) and fewer R-U sequences than intermediate and fast networks.

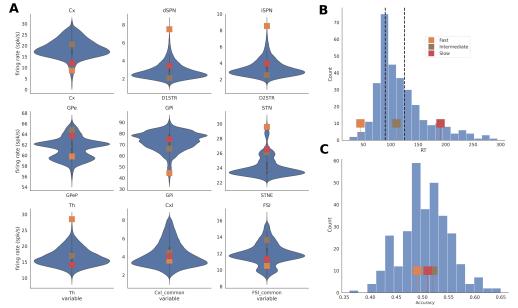


Fig S1. Network firing rates, RTs, and accuracy before plasticity. A: The distributions of average firing rates for the 9 CBGT regions based on 300 networks. An average was calculated for each population over the whole simulation time. One example each from three categories of network – fast (orange), intermediate (brown) and slow (red) – are marked on the distribution. B: The networks before plasticity were categorized as fast, intermediate and slow based on a tertiary split of the reaction time (RT) distribution (vertical dashed linebs). The RTs for the exemplar fast (orange), intermediate (brown) and slow (red) networks are marked. C: The average accuracies of all 300 networks. The accuracy distribution is centered around 50% (0.5) because the networks had not yet undergone plasticity.

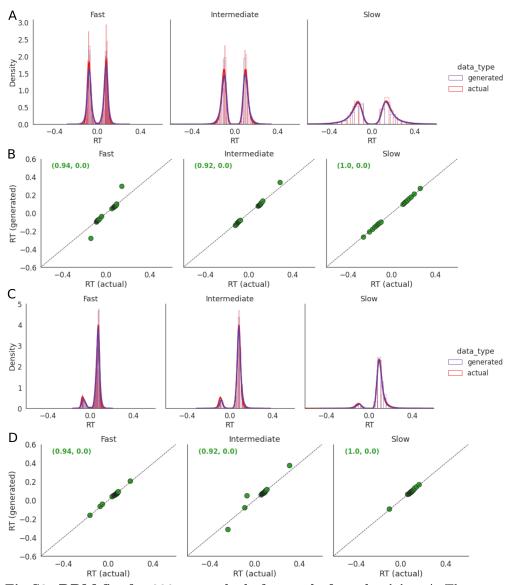


Fig S2. DDM fits for 300 networks before and after plasticity. A: The post-predictive choice (i.e., split between positive and negative RTs) and RT distributions from the naive (before plasticity) network simulations (red, "actual") and distributions generated by the DDM parameters fitted to the data (purple, "generated") separately for fast, intermediate and slow networks. Note the near-symmetry of the two RT peaks for the two choices (left \rightarrow positive, right \rightarrow negative). B: Quantile-Quantile plots for the distributions shown in A for percentiles in steps of 5 (i.e., 5, 10...90, 95). The Pearson correlation and p-value between the actual and generated data are annotated in green. The Pearson correlation was significant for all three network types (0.94, 0.92 and 1.0 for fast, intermediate and slow networks, respectively). C: Same as A but after plasticity with the left choice (positive RTs) rewarded. D: Same as B but after plasticity.

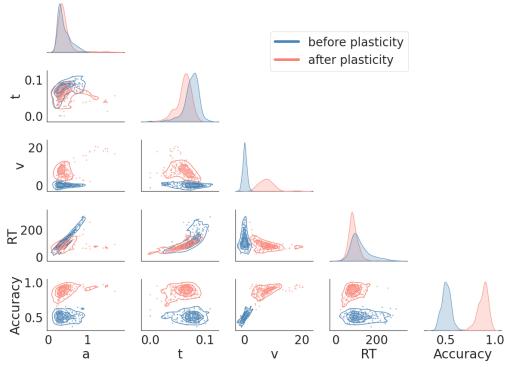


Fig S3. Comparison of DDM and behavioral measures for all 300 networks before (blue) and after (pink) plasticity. The subplots on the diagonal represent the marginal distributions for DDM parameters (a, t, v) and behavioral features (RT and accuracy). The onset delay (t) shows a decrease, the drift rate (v) shows an increase, RTs show a decrease, and accuracy shows an increase after plasticity. The off-diagonal subplots show the pairwise covariances.

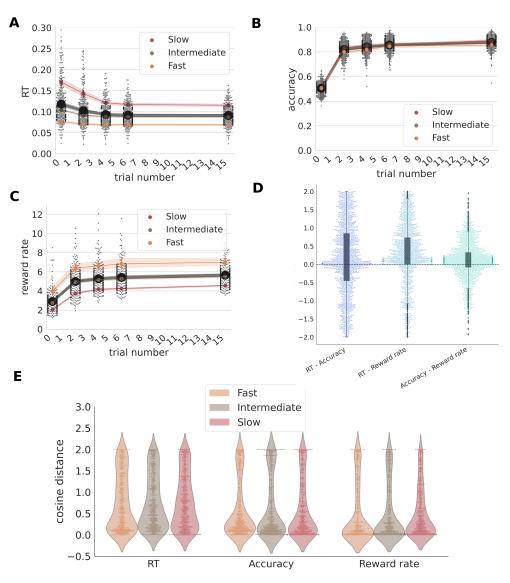
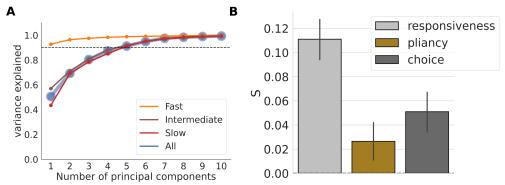
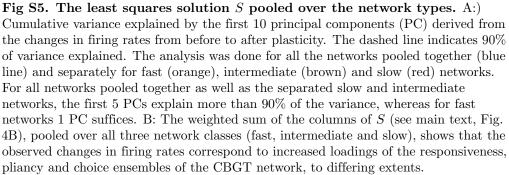


Fig S4. Evolution of behavioral measures for 300 networks over 16 trials with plasticity. A: Network behavior was assessed after each of 2, 4, 6, 9 and 15 trials. The RTs steadily decreased for all three network categories: fast (orange), intermediate (brown) and slow (red). The average over all 300 networks also showed a steady decrease as shown in black markers and lines. B: The accuracy for the three categories of the networks and the average over all 300 networks increased with plasticity. C: The reward rate for three categories of network and the average over 300 networks increased with plasticity. D: The distribution of differences in cosine distance, measured relative to the direction of greatest increase, for changes in RT vs accuracy, RT vs reward rate, and accuracy vs reward rate for all 300 networks and all stages of plasticity. The comparisons with reward rate yield distributions skewed to significantly above 0, suggesting that the cosine distances are lowest for reward rates. E: Absolute cosine distance distributions shown separately for the three network classes, fast (orange), intermediate (brown) and slow (red).





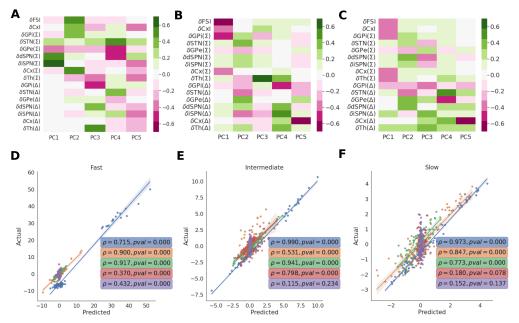


Fig S6. Reconstruction of firing rate changes from the least squares solution S for the three network classes. (A) The first 5 PCs for the firing rate changes in the fast networks. Although the 1st PC explains around 90% of the variance for fast networks, we used 5 PCs to calculate S coefficients (Fig 4C) to be consistent with slow and intermediate networks (Supp. Fig. S5A). (B,C): Same as (A) for intermediate and slow networks, respectively. (D-F) The dot products of the CCA component vector (C)with each of the 5 columns of S, the least squares solution of P = CS, provide an approximate reconstruction of the 5 PCs of the changes in firing rate from before to after plasticity, (ΔF) . The quality of the reconstruction was checked by projecting ΔF onto the original PCs for each network (marked as Actual on y-axis) and comparing the results with the projections of ΔF onto the reconstructed PCs (marked as *Predicted* on x-axis). The goodness of fit is calculated as the Spearman rank correlation (ρ) between the actual and predicted values. For fast networks (D), the rank correlations (ρ) are high and significant (p < 0.0001) for all of the PCs as shown, suggesting that the reconstruction is excellent. For intermediate networks (E), the rank correlations are significant for all PCs except the 5th PC. For slow networks (E), the rank correlations are significant for all except 4th and 5th PCs.

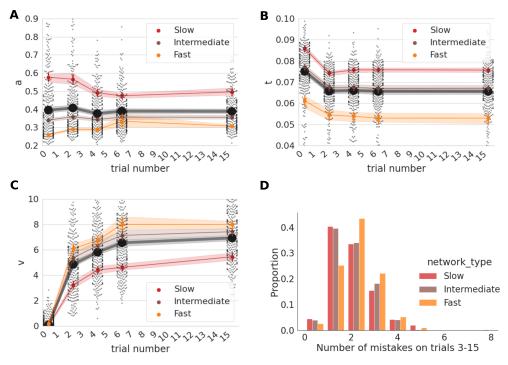


Fig S7. Evolution of DDM parameters with plasticity. (A) The change in boundary height (a) due to plasticity is dependent on network type: slow networks (red) show a decrease, intermediate (brown) show little change, and fast (orange) networks show a slight increase. The mean over all networks is shown by large black circles. (B) All network types show a decrease in decision onset time (t) due to plasticity. (C) All network types show a strong increase in drift rate (v) due to plasticity. (D) Fast networks make more mistakes on average. The histograms show the proportion of unrewarded ("U") trials encountered by all the three network classes after the first two plasticity trials.

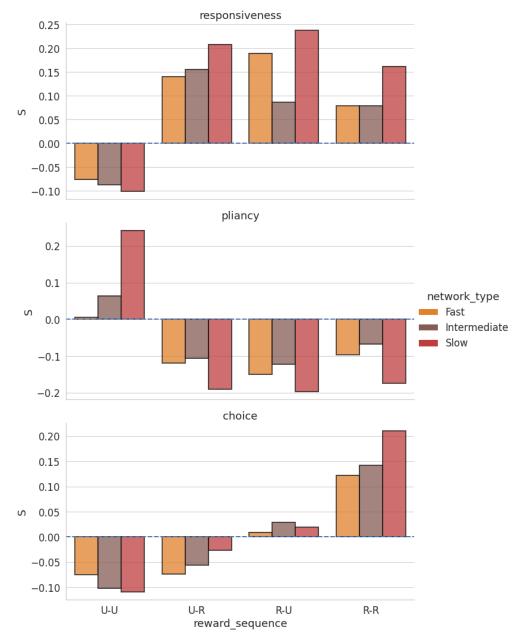


Fig S8. Effect of reward sequences on the weighting coefficients S for the three network classes. The weighting coefficients S shown in Fig. 5A combine the three network types. The separated coefficients here show the same trends as the combined ones.

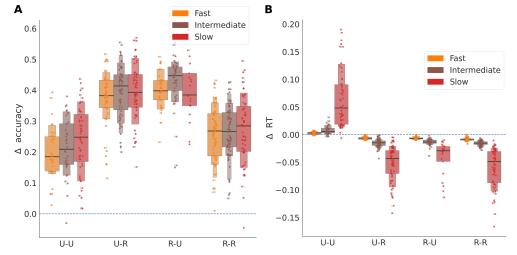


Fig S9. Effect of reward sequences on changes in accuracy and reaction times (RTs). (A) The change in accuracy showed an increase in all cases, but to different extents. The highest increase in accuracy was for one rewarded and one unrewarded trial (U-R and R-U), due to strengthening of the cortico-striatal projection to dSPNs of the optimal choice along with strengthening of cortico-striatal projections to iSPNs of the sub-optimal choice. (B) The change in RTs after plasticity for the four outcome sequences. All sequences involving at least one rewarded trial yielded a decrease in RT, whereas the sequence with two consecutive unrewarded trials (U-U) induced an increase in RT.

References

- 1. Gold JI, Shadlen MN. The neural basis of decision making. Annu Rev Neurosci. 2007;30:535–574.
- 2. Cohen JD, McClure SM, Yu AJ. Should I stay or should I go? How the human brain manages the trade-off between exploitation and exploration. Philosophical Transactions of the Royal Society B: Biological Sciences. 2007;362(1481):933–942.
- 3. Mehlhorn K, Newell BR, Todd PM, Lee MD, Morgan K, Braithwaite VA, et al. Unpacking the exploration–exploitation tradeoff: A synthesis of human and animal literatures. Decision. 2015;2(3):191.
- 4. Wilson RC, Bonawitz E, Costa VD, Ebitz RB. Balancing exploration and exploitation with information and randomization. Current opinion in behavioral sciences. 2021;38:49–56.
- 5. Dudman JT, Krakauer JW. The basal ganglia: from motor commands to the control of vigor. Current opinion in neurobiology. 2016;37:158–166.
- Bond K, Dunovan K, Porter A, Rubin JE, Verstynen T. Dynamic decision policy reconfiguration under outcome uncertainty. eLife. 2021;10:e65540. doi:10.7554/eLife.65540.
- Bond KAM, Rasero Daparte J, Madan R, Bahuguna J, Rubin JE, Verstynen T. Competing neural representations of choice shape evidence accumulation in humans. eLife. 2023;12:e85223. doi:10.7554/eLife.85223.
- Ratcliff R. A theory of memory retrieval. Psychological Review. 1978;85(2):59–108. doi:10.1037/0033-295X.85.2.59.
- 9. Ratcliff R, McKoon G. Drift Diffusion Decision Model: Theory and data. Neural Computation. 2008;20(4):873–922.
- 10. Ratcliff R, Smith PL, Brown SD, McKoon G. Diffusion decision model: Current issues and history. Trends in cognitive sciences. 2016;20(4):260–281.
- Bogacz R, Wagenmakers EJ, Forstmann BU, Nieuwenhuis S. The neural basis of the speed-accuracy tradeoff. Trends in Neurosciences. 2010;33(1):10–16. doi:10.1016/j.tins.2009.09.002.
- 12. Smith PL, Ratcliff R. Psychology and neurobiology of simple decisions. Trends in Neurosciences. 2004;27(3):161–168. doi:10.1016/j.tins.2004.01.006.
- Fitts PM. The information capacity of the human motor system in controlling the amplitude of movement. Journal of Experimental Psychology: General. 1992;121(3):262.
- Bogacz R, Brown E, Moehlis J, Holmes P, Cohen JD. The physics of optimal decision making: A formal analysis of models of performance in two-alternative forced-choice tasks. Psychological Review. 2006;113(4):700–765. doi:10.1037/0033-295X.113.4.700.
- Leng X, Yee D, Ritz H, Shenhav A. Dissociable influences of reward and punishment on adaptive cognitive control. PLoS computational biology. 2021;17(12):e1009737.

- Zacksenhouse M, Bogacz R, Holmes P. Robust versus optimal strategies for two-alternative forced choice tasks. Journal of Mathematical Psychology. 2010;54(2):230–246. doi:10.1016/j.jmp.2009.12.004.
- 17. Yttri EA, Dudman JT. Opponent and bidirectional control of movement velocity in the basal ganglia. Nature. 2016;533(7603):1–16. doi:10.1038/nature17639.
- Tecuapetla F, Jin X, Lima S, Costa R. Complementary Contribution of Striatal Projection Pathways to the Initiation and Execution of Action Sequences. Submitted. Cell. 2016; p. 1–13. doi:10.1016/j.cell.2016.06.032.
- Herz DM, Bange M, Gonzalez-Escamilla G, Auer M, Ashkan K, Fischer P, et al. Dynamic control of decision and movement speed in the human basal ganglia. Nature Communications. 2022;13(1):7530.
- de A Marcelino AL, Gray O, Al-Fatly B, Gilmour W, Douglas Steele J, Kühn AA, et al. Pallidal neuromodulation of the explore/exploit trade-off in decision-making. eLife. 2023;12:e79642. doi:10.7554/eLife.79642.
- Geddes CE, Li H, Jin X. Optogenetic Editing Reveals the Hierarchical Organization of Learned Action Sequences. Cell. 2018;174(1):32–43.e15. doi:10.1016/j.cell.2018.06.012.
- Albin RL, Young AB, Penney JB. The functional anatomy of disorders of the basal ganglia. Trends in Neurosciences. 1995;18(2):63–64. doi:http://dx.doi.org/10.1016/0166-2236(95)80020-3.
- DeLong MR. Primate models of movement disorders of basal ganglia origin. Trends in Neurosciences. 1990;13(7):281–285. doi:https://doi.org/10.1016/0166-2236(90)90110-V.
- Lo CC, Wang XJ. Cortico-basal ganglia circuit mechanism for a decision threshold in reaction time tasks. Nature neuroscience. 2006;9(7):956–63. doi:10.1038/nn1722.
- Bogacz R, Gurney K. The Basal Ganglia and Cortex Implement Optimal Decision Making Between Alternative Actions. Neural Computation. 2007;19(2):442–477. doi:10.1162/neco.2007.19.2.442.
- Bogacz R, Moraud EM, Abdi A, Magill PJ, Baufreton J. Properties of neurons in external globus pallidus can support optimal action selection. PLoS Computational Biology. 2016;In press:1–28. doi:10.1371/journal.pcbi.1005004.
- Dunovan K, Verstynen T. Believer-Skeptic meets actor-critic: Rethinking the role of basal ganglia pathways during decision-making and reinforcement learning. Frontiers in Neuroscience. 2016;10(MAR):1–15. doi:10.3389/fnins.2016.00106.
- Bariselli S, Fobbs WC, Creed MC, Kravitz AV. A competitive model for striatal action selection. Brain research. 2018;(October):0–1. doi:10.1016/j.brainres.2018.10.009.
- Dunovan K, Vich C, Clapp M, Verstynen T, Rubin J. Reward-driven changes in striatal pathway competition shape evidence evaluation in decision-making. PLoS computational biology. 2019;15(5):e1006998.
- Vich C, Clapp M, Rubin JE, Verstynen T. Identifying control ensembles for information processing within the cortico-basal ganglia-thalamic circuit. PLOS Computational Biology. 2022;18(6):e1010255. doi:10.1371/journal.pcbi.1010255.

- Frank MJ. Linking across levels of computation in model-based cognitive neuroscience. An introduction to model-based cognitive neuroscience. 2015; p. 159–177.
- Masís J, Chapman T, Rhee JY, Cox DD, Saxe AM. Strategically managing learning during perceptual decision making. eLife. 2023;12:1–43. doi:10.7554/eLife.64978.
- Robbins H. Some aspects of the sequential design of experiments. Bulletin of the American Mathematical Society. 1952;58(5):527–535.
- 34. Clapp M, Bahuguna J, Giossi C, Rubin JE, Verstynen T, Vich C. CBGTPy: An extensible cortico-basal ganglia-thalamic framework for modeling biological decision making. accepted and to appear in PloS One. 2023;doi:10.1101/2023.09.05.556301.
- 35. Wiecki TV, Sofer I, Frank MJ. HDDM: Hierarchical Bayesian estimation of the drift-diffusion model in Python. Frontiers in neuroinformatics. 2013; p. 14.
- 36. Fengler A, Bera K, Pedersen ML, Frank MJ. Beyond Drift Diffusion Models: Fitting a Broad Class of Decision and Reinforcement Learning Models with HDDM. Journal of cognitive neuroscience. 2022;34(10):1780–1805.
- 37. Bowman NE, Kording KP, Gottfried JA. Temporal integration of olfactory perceptual evidence in human orbitofrontal cortex. Neuron. 2012;75(5):916–927.
- Shadlen MN, Kiani R. Decision making as a window on cognition. Neuron. 2013;80(3):791–806.
- Malhotra G, Leslie DS, Ludwig CJH, Bogacz R. Time-varying decision boundaries: insights from optimality analysis. Psychonomic Bulletin and Review. 2018;25(3):971–996. doi:10.3758/s13423-017-1340-6.
- 40. Ratcliff R, Frank MJ. Reinforcement-based decision making in corticostriatal circuits: mutual constraints by neurocomputational and diffusion models. Neural computation. 2012;24(5):1186–1229.
- Grahek I, Leng X, Musslick S, Shenhav A. Control adjustment costs limit goal flexibility: Empirical evidence and a computational account. bioRxiv. 2024;doi:10.1101/2023.08.22.554296.
- Hikosaka O, Rand MK, Miyachi S, Miyashita K. Learning of sequential movements in the monkey: process of learning and retention of memory. Journal of neurophysiology. 1995;74(4):1652–1661.
- Balci F, Simen P, Niyogi R, Saxe A, Hughes JA, Holmes P, et al. Acquisition of decision making criteria: Reward rate ultimately beats accuracy. Attention, Perception, and Psychophysics. 2011;73(2):640–657. doi:10.3758/s13414-010-0049-7.
- Dutilh G, Vandekerckhove J, Tuerlinckx F, Wagenmakers EJ. A diffusion model decomposition of the practice effect. Psychonomic Bulletin and Review. 2009;16(6):1026–1036. doi:10.3758/16.6.1026.
- Fontanesi L, Gluth S, Spektor MS, Rieskamp J. A reinforcement learning diffusion decision model for value-based decisions. Psychonomic Bulletin and Review. 2019;26(4):1099–1121. doi:10.3758/s13423-018-1554-2.

- Pedersen ML, Frank MJ, Biele G. The drift diffusion model as the choice rule in reinforcement learning. Psychonomic Bulletin and Review. 2017;24(4):1234–1251. doi:10.3758/s13423-016-1199-y.
- Miletić S, Boag RJ, Trutti AC, Stevenson N, Forstmann BU, Heathcote A. A new model of decision processing in instrumental learning tasks. eLife. 2021;10:1–55. doi:10.7554/eLife.63055.
- Uehara S, Mawase F, Therrien AS, Cherry-Allen K, Celnik P. Interactions between motor exploration and reinforcement learning. Journal of Neurophysiology. 2019;122:797–808. doi:10.1152/jn.00390.2018.
- Liquin EG, Gopnik A. Children are more exploratory and learn more than adults in an approach-avoid task. Cognition. 2022;218:104940. doi:https://doi.org/10.1016/j.cognition.2021.104940.
- 50. Hanks T, Kiani R, Shadlen MN. A neural mechanism of speed-accuracy tradeoff in macaque area LIP. Elife. 2014;3:e02260.
- Banaie Boroujeni K, Oemisch M, Hassani SA, Womelsdorf T. Fast spiking interneuron activity in primate striatum tracks learning of attention cues. Proceedings of the National Academy of Sciences. 2020;117(30):18049–18058.
- 52. Pasupathy A, Miller EK. Different time courses of learning-related activity in the prefrontal cortex and striatum. Nature. 2005;433(7028):873–876.
- Cavanagh JF, Wiecki TV, Cohen MX, Figueroa CM, Samanta J, Sherman SJ, et al. Subthalamic nucleus stimulation reverses mediofrontal influence over decision threshold. Nature Neuroscience. 2011;14(11):1462–1467. doi:10.1038/nn.2925.
- 54. Zaghloul Ka, Weidemann CT, Lega BC, Jaggi JL, Baltuch GH, Kahana MJ. Neuronal activity in the human subthalamic nucleus encodes decision conflict during action selection. Journal of Neuroscience. 2012;32(7):2453–2460. doi:10.1523/JNEUROSCI.5815-11.2012.
- 55. Frank MJ, Scheres A, Sherman SJ. Understanding decision-making deficits in neurological conditions: Insights from models of natural action selection. Philosophical Transactions of the Royal Society B: Biological Sciences. 2007;362(1485):1641–1654. doi:10.1098/rstb.2007.2058.
- Dahlin E, Bäckman L, Neely AS, Nyberg L. Training of the executive component of working memory: subcortical areas mediate transfer effects. Restorative Neurology and Neuroscience. 2009;27(5):405–419.
- Tremblay L, Hollerman JR, Schultz W. Modifications of reward expectation-related neuronal activity during learning in primate striatum. Journal of Neurophysiology. 1998;80(2):964–977.
- Murayama K, Matsumoto M, Izuma K, Matsumoto K. Neural basis of the undermining effect of monetary reward on intrinsic motivation. Proceedings of the National Academy of Sciences. 2010;107(49):20911–20916.
- 59. Shohamy D. Learning and motivation in the human striatum. Current Opinion in Neurobiology. 2011;21(3):408–414.
- 60. Peters S, Crone E. Increased striatal activity in adolescence benefits learning. Nature Communications. 2017;8(1):1983.

- Yartsev MM, Hanks TD, Yoon AM, Brody CD. Causal contribution and dynamical encoding in the striatum during evidence accumulation. eLife. 2018;7:1–24. doi:10.7554/eLife.34929.
- Wu YR, Levy R, Ashby P, Tasker RR, Dostrovsky JO. Does stimulation of the GPi control dyskinesia by activating inhibitory axons? Movement Disorders. 2001;16(2):208–216. doi:10.1002/mds.1046.
- Hashimoto T, Elder CM, Okun MS, Patrick SK, Vitek JL. Stimulation of the subthalamic nucleus changes the firing pattern of pallidal neurons. Journal of Neuroscience. 2003;23(5):1916–1923.
- McCairn KW, Turner RS. Deep brain stimulation of the globus pallidus internus in the parkinsonian primate: Local entrainment and suppression of low-frequency oscillations. Journal of Neurophysiology. 2009;101(4):1941–1960. doi:10.1152/jn.91092.2008.
- Doi T, Fan Y, Gold JI, Ding L. The caudate nucleus contributes causally to decisions that balance reward and uncertain visual information. eLife. 2020;9:e56694. doi:10.7554/eLife.56694.
- Wei W, Rubin JE, Wang Xj. Role of the Indirect Pathway of the Basal Ganglia in Perceptual Decision Making. Journal of Neuroscience. 2015;35(9):4052–4064. doi:10.1523/JNEUROSCI.3611-14.2015.
- 67. Gurney KN, Humphries MD, Redgrave P. A new framework for cortico-striatal plasticity: behavioural theory meets in vitro data at the reinforcement-action interface. PLoS Biology. 2015;13(1):e1002034.
- Baladron J, Nambu A, Hamker FH. The subthalamic nucleus-external globus pallidus loop biases exploratory decisions towards known alternatives: a neuro-computational study. European Journal of Neuroscience. 2019;49(6):754–767.
- Fortin FA, Rainville FMD, Gardner MA, Parizeau M, Gagne C. DEAP: Evolutionary Algorithms Made Easy. Journal of Machine Learning Research. 2012;13(70):2171–2175.