

CMU Proposal Routing & Sign Off Sheet

Date 3/1/07 New Renewal
 CMU Proposal # 071257 Revised budget Supplement
 CMU Award # Continuation
 Contract Number

Sponsor:
 CMU Ownership: Begin Date: End Date:

Division:
 Program:
 Solicitation #:

If the sponsor is a non-federal agency, is the funding originating from any federal agency?
 Yes No

If you answered yes above, what is the source:

Principal Investigator(s) **Home Department(s)** **Impacted Departments:**

Title:

Total Project Cost		Fringe Benefit Rate	
Direct Cost Sharing	\$0	Indirect Cost Rate	Base Rate: MTDC
Indirect Cost Sharing	\$0	On-Campus	
\$Requested from Sponsor		Off-Campus	

Budget Prepared by Steve Evanchec (stevee@andrew.cmu.edu) **Extension** 83254

COST SHARING

- Is it mandatory c/s?
- Is it non-mandatory c/s?
- Is it effective OH rate c/s?
- Is it tuition c/s?
- Is it equipment c/s?

NSF ONLY:

Amount of Direct Cost Sharing:
 Amount of Indirect Cost Sharing:

Direct C/SAccount Code(s)

MATCHING FUNDS

Does this proposal include matching funds? Source:
 Are the necessary agreement letters attached? N/A Amt of matching funds?

SUBCONTRACTS

Does this proposal include subcontracts? **No** % of total budget?

Subcontract to: CMU ownership? Why was this company chosen?
N/A

JOINT PROPOSAL WITH OTHER DEPARTMENT/DIVISION

Will separate centers be needed if awarded? **No**

DELIVERABLES

PERSONNEL COMMITMENTS

This proposal does NOT include any personnel positions not already established within the proposing department(s) or division(s).

EXPORT CONTROLS

1. Does (and/or do you anticipate that) any project document (including RFPs, solicitations, proposals and agreements):

- a. Contain restrictive markings (including, but not limited to "ITAR Controlled," "EAR Controlled," and/or "Export Controlled")?
- b. Ask that you review or take receipt of materials controlled export control laws such as EAR or ITAR?
- c. Restrict participation by non-US companies or entities based on country of origin?
- d. Prohibit or restrict access by non-US persons (i.e., not citizens or green card holders) to project information?
- e. Prohibit or restrict the hiring or use of non-US persons (i.e., not citizens or green card holders) on the project?
- f. Restrict publication and/or grant the Sponsor a pre-approval right or review over publications (other than a limited 30-day review to prevent inadvertent inclusion of Sponsor's proprietary and/or to allow sponsor to identify information to which sponsor would like to obtain patent protection)?
- g. Allow the sponsor to own research results (except with respect to any pre-existing proprietary information or pre-existing intellectual property sponsor)?
- h. Allow the sponsor to treat the research results as sponsor's own confidential proprietary or confidential information?

2. Do you anticipate that your project work may involve:

- a. Any military equipment or technology, or materials or technology specifically designed, modified, or adapted (even slightly) for a military purpose?
- b. Any classified materials, equipment, technology or data?

3. Do you anticipate that your project may involve work done at a location other than Carnegie Mellon or another institution of higher learning in the United States?

- a. Do you anticipate that your project may involve work done at a location other than Carnegie Mellon or another institution of higher learning in the United States?
- b. If you answered "YES" to 3(a), will the project documents (including RFPs, solicitations, proposals and agreements) expressly authorize publication of your research results?

HUMAN SUBJECTS IN RESEARCH

IRB Approval Status Expiration Date

N/A

ANIMAL SUBJECTS IN RESEARCH

Animal Subject
Use Status
N/A

Approval
Date

rDNA IN RESEARCH

rDNA
Use Status
N/A

Approval
Date

SPACE REQUIREMENTS

Amount of space required: sq. feet

Type of Space required:

This matter has been discussed with:

CARNEGIE MELLON STRATEGIC PLAN

INVESTIGATOR(S) DISCLOSURES AND ASSURANCES

By signing below, I certify that I have read the following statements and certify that the statements contained therein are accurate and truthful to the best of my knowledge:

That the proposed project with this sponsor (initial one) _____ does or _____ does not present a potential for conflict of interest or conflict of significant financial interest for me as an investigator involved in this project. If answered in the affirmative, then I certify that I have provided a complete disclosure of this matter, as instructed by current University policy and/or Federal regulation. As an investigator on this project, I understand that according to NSF and NIH rules any conflicts must be resolved before any spending is permitted under any award granted as the result of this proposal.

That the proposal submitted herewith is: (i) complete in its technical content; (ii) adheres to the rules of proper scholarship, including specifically the proper attribution and citation for all text and graphics; (iii) complies with Federal standards for the integrity of research (e.g., NSF Misconduct Science Policy); and (iv) is in accordance with specifications established by the sponsoring agency.

That if the proposal submitted herewith is funded and accepted by the University, I will conduct the project in accordance with the terms and conditions of the sponsoring agency and the policies of the University, and I will be fully responsible for meeting the requirements of the award, including providing the proper stewardship of sponsored funds, submitting all required technical reports and deliverables on a timely basis, and properly disclosing all inventions in accordance with University Intellectual Property policy, Federal policy, and/or contractual terms.

That the information submitted within the application is true, complete and accurate to the best of my knowledge; and any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties; and that I agree to accept responsibility for the scientific conduct of the project.

Date	Department Head	Date	Dean	Date
	Department Head	Date	Dean	Date
	Department Head	Date	Dean	Date
	Department Head	Date	Dean	Date
	Department Head	Date	Dean	Date

Office of Sponsored Programs _____ Date

Office of the Provost _____ Date