

**CONFLICT OF INTEREST/COMMITMENT
DISCLOSURE FORM FOR CARNEGIE MELLON UNIVERSITY**

(Ref: Carnegie Mellon University Policy on Conflict of Interest/Commitment)

NAME _____

OFFICE/DEPARTMENT - _____

I have read the above referenced Conflict of Interest/Commitment Policy and I am filing this form in accordance with the Policy.

1. Offices and Positions

Are you, your spouse, your registered domestic partner or a dependent child an officer, director, trustee, partner (general or limited), employee or regularly retained agent of any organization**with which (a) the University (or an organization closely affiliated with the University) has had business dealings during the past year or (b) there is a reasonable possibility the University (or an organization closely affiliated with the University) may in the next year have business dealings? (A list of such closely affiliated organizations will be updated periodically.) YES ___ NO ___

If "YES," please provide the following information:

ORGANIZATION	POSITION	YOU OR NAME OF FAMILY MEMBER	NATURE OF ORGANIZATION'S BUSINESS
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2. Ownership Interests

Do you, your spouse, your registered domestic partner or a dependent child, individually or in the aggregate, have the actual or beneficial ownership of stock, equity, debt or any other financial interest (other than a Permitted Interest as defined below***) in any organization with which (a) the University (or an organization closely affiliated with the University) has had business dealings during the past year or (b) there is a reasonable possibility the University (or an organization closely affiliated with the University) may in the next year have business dealings? YES ___ NO _____

If "YES," please provide the following information:

ORGANIZATION	LOCATION(S)	NATURE OF ORGANIZATION'S BUSINESS
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3. Remunerative Activities

Do you, your spouse, your registered domestic partner or a dependent child, either individually or in the aggregate, expect to receive in the current or a future year, remuneration for services (other than salary as an employee) in excess of \$500 from an organization with which (a) the University (or an organization closely affiliated with the University) has had business dealings during the past year or (b) there is a reasonable possibility the University (or an organization closely affiliated with the University) may in the next year have business dealings?

YES ____ NO ____

If "YES," please provide the following information:

ORGANIZATION	LOCATION(S)	NATURE OF ORGANIZATION'S BUSINESS
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4. Other Transactions

Please briefly describe any other interest, relationship or transaction that has in the past year created, or reasonably could in the next year create, a real, apparent or potential conflict of interest contrary to the spirit or general principles set forth in the University's Conflict of Interest/Commitment Policy (e.g., a proposed University transaction with a nephew, even though a nephew is not included in the definition of "Related Person").

5. Certification

I understand and accept my obligation to disclose in a timely fashion any interest a Related Person or I may have in a proposed University Transaction, as required by Section 2 and other parts of the Conflict of Interest/Commitment Policy.

The above is an accurate and current statement of all my reportable outside interests and activities, to the best of my knowledge.

Date: _____ Signature: _____

Please return this form to the next higher administrator in your supervisory line that is at least at the level of director or department chairperson, by _____.

REVIEWING ADMINISTRATOR'S STATEMENT

I certify, to the best of my knowledge, that the person named above does not have any impermissible conflict of interest, or has reported them and resolved them.

Date: _____ Signature: _____

** "Organization" includes any corporation, partnership, proprietorship, association, trust, government agency or other entity.

*** "Permitted Interest" means the ownership of (a) shares of stock listed on the New York Stock Exchange, the American Stock Exchange or any other recognized stock exchange, so long as the amount of such stock of any one issuer is less than 5 percent of the outstanding voting shares; (b) shares of mutual funds; (c) an interest in a blind trust; or (d) any debt instruments of publicly-held companies.

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